

# Immunotherapy in Hematological Malignancies **2018**

CUNEO

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Centro Incontri



## **Alloreactivity of NK cells (and beyond..)**

Andrea Velardi

Transplantation Programme, Univ. of Perugia

## The beginning of ex-vivo manipulation for safe haplo BMT..



Reisner Y, Kapoor N, Kirkpatrick D, Pollack MS, Cunningham-Rundles S, Dupont B, Hodes MZ, Good RA, O'Reilly RJ  
Transplantation for severe combined immunodeficiency with HLA-A,B,D,DR incompatible parental marrow cells fractionated by soybean agglutinin and sheep red blood cells. *Blood* 1983, 61:341-8.

**A      B**



# Mother

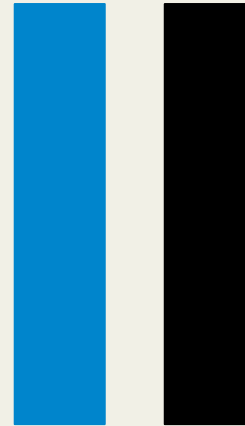
# Transplant

**A C**

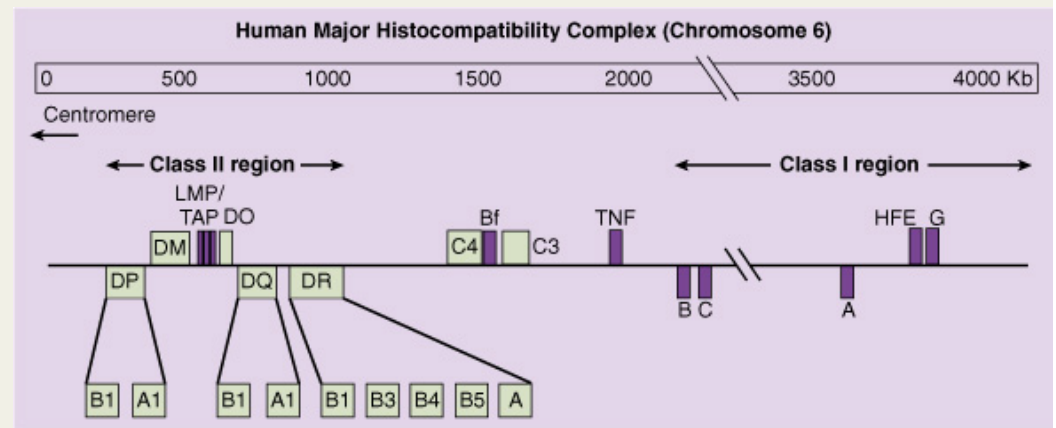


# Child

**C      D**



# Father

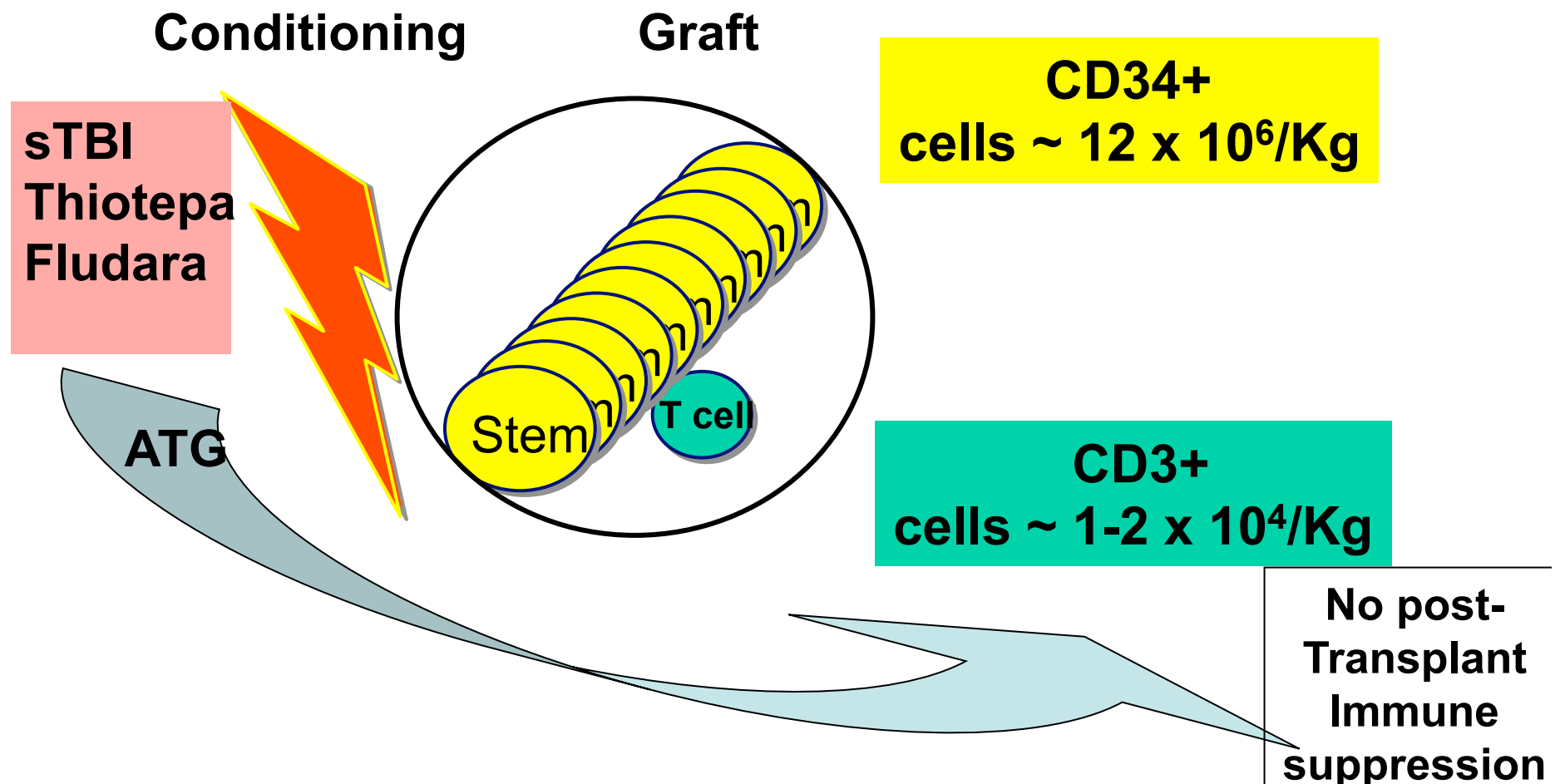


## Fully mismatched HLA haplotype

# Clinical traslation of the “megadose” stem cell concept

In mice: Reisner and coll: Nat Med 1995,

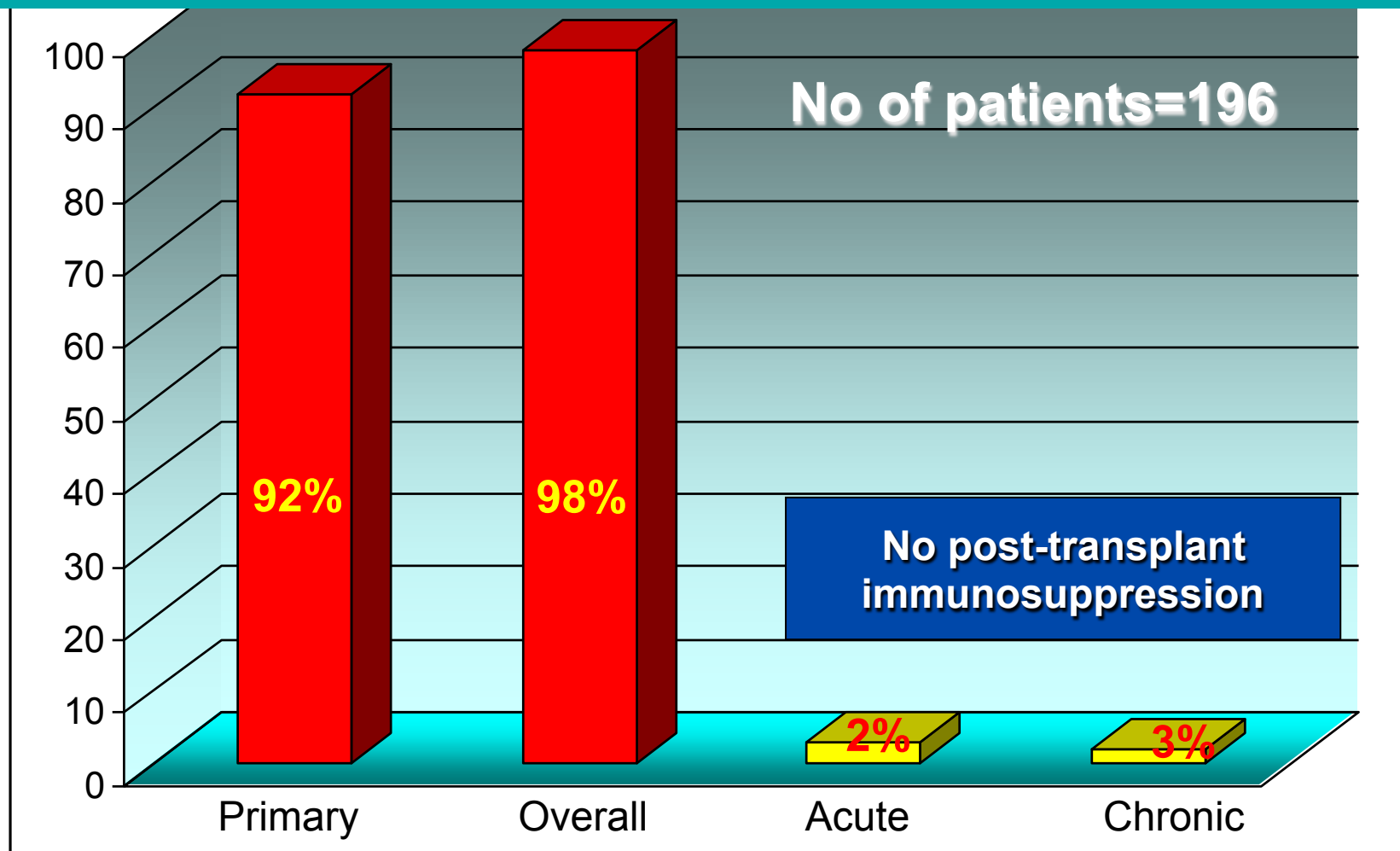
In leukemia: Aversa et al, Blood 1994; NEJM 1998; JCO 2005





# Engraftment

# GvHD

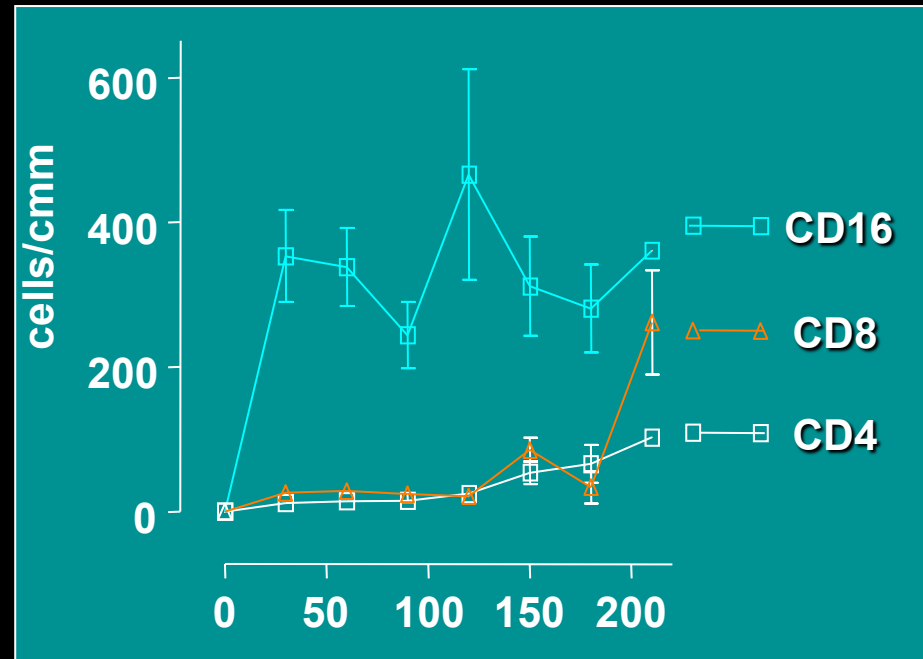
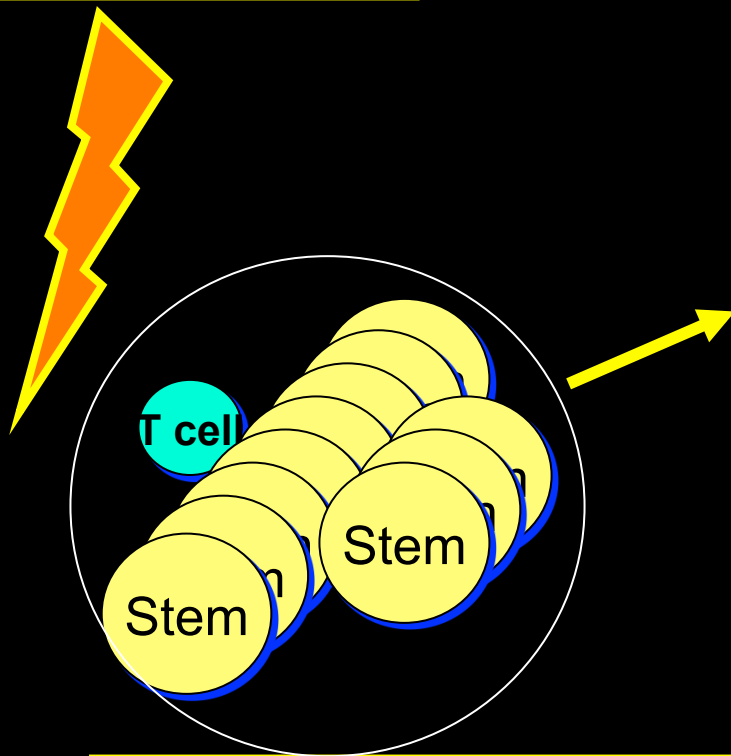


*Aversa F. et al., N Engl J Med 1998;339:1186-1193*

*Aversa F. et al., J Clin Oncol 2005;23:3447-3454*

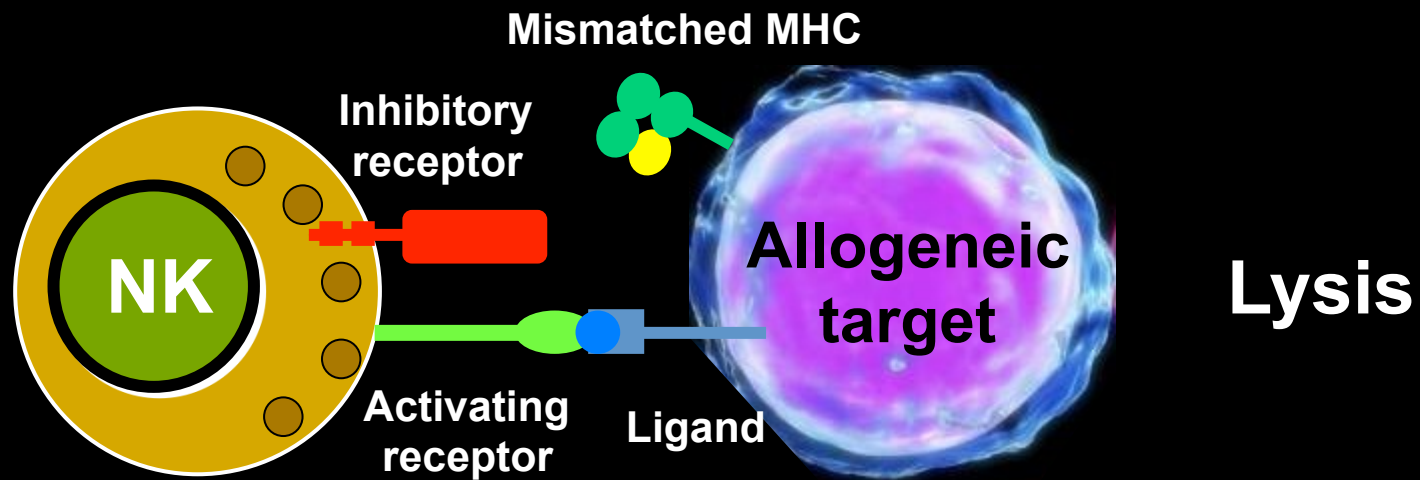
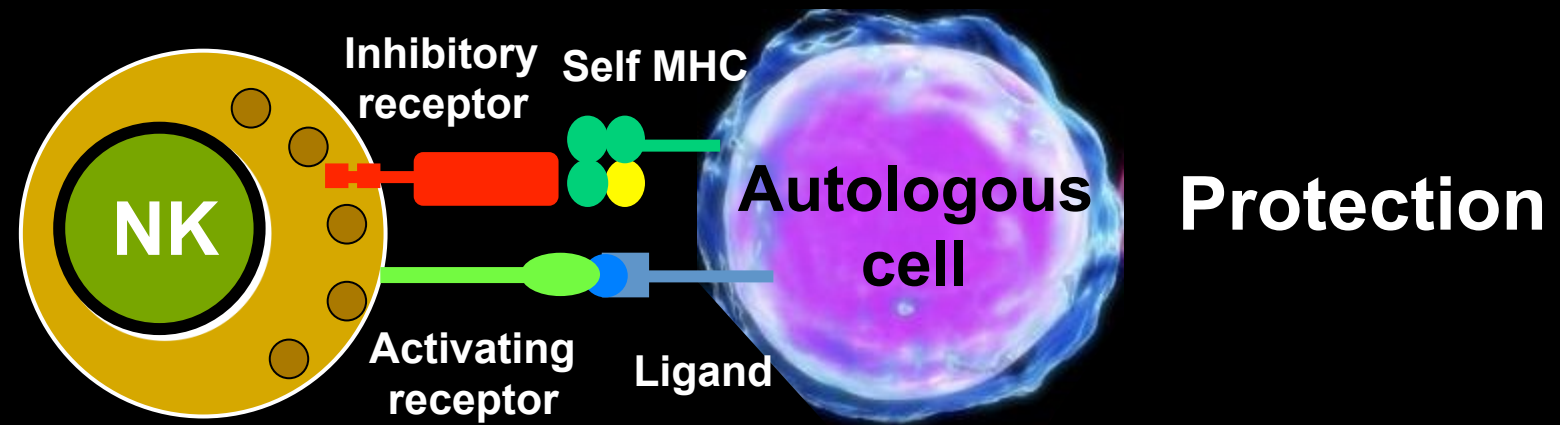
# Post-transplant immune reconstitution

High-intensity conditioning



Mega-dose stem cells from "allo NK" donor  
No post-transplant immune suppression

# **“Missing self” recognition and alloreactivity**



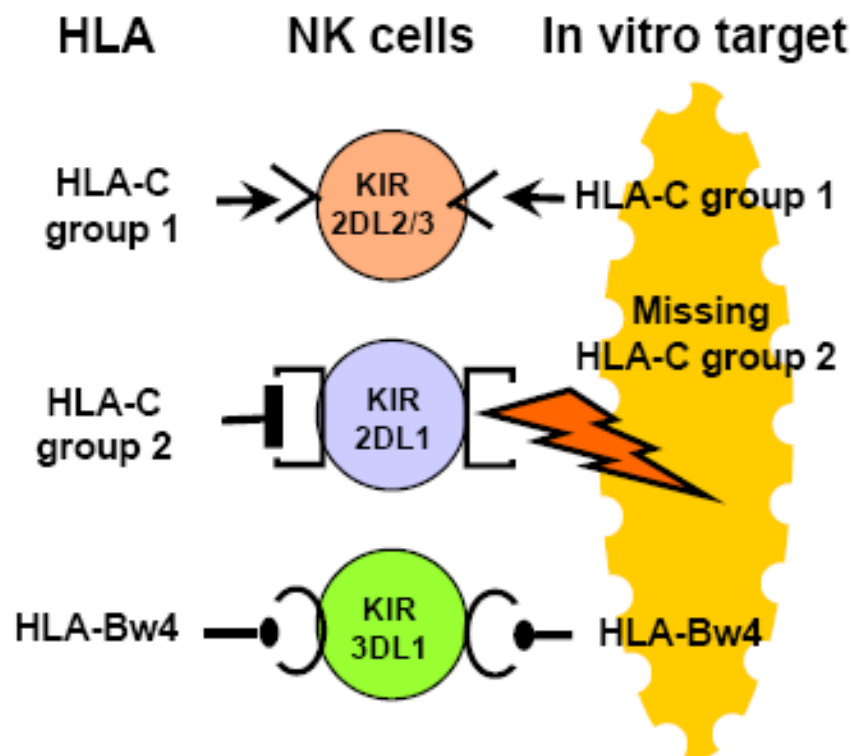
K Kärre et al., Nature 1986

E Ciccone...A Moretta, JEM 1992

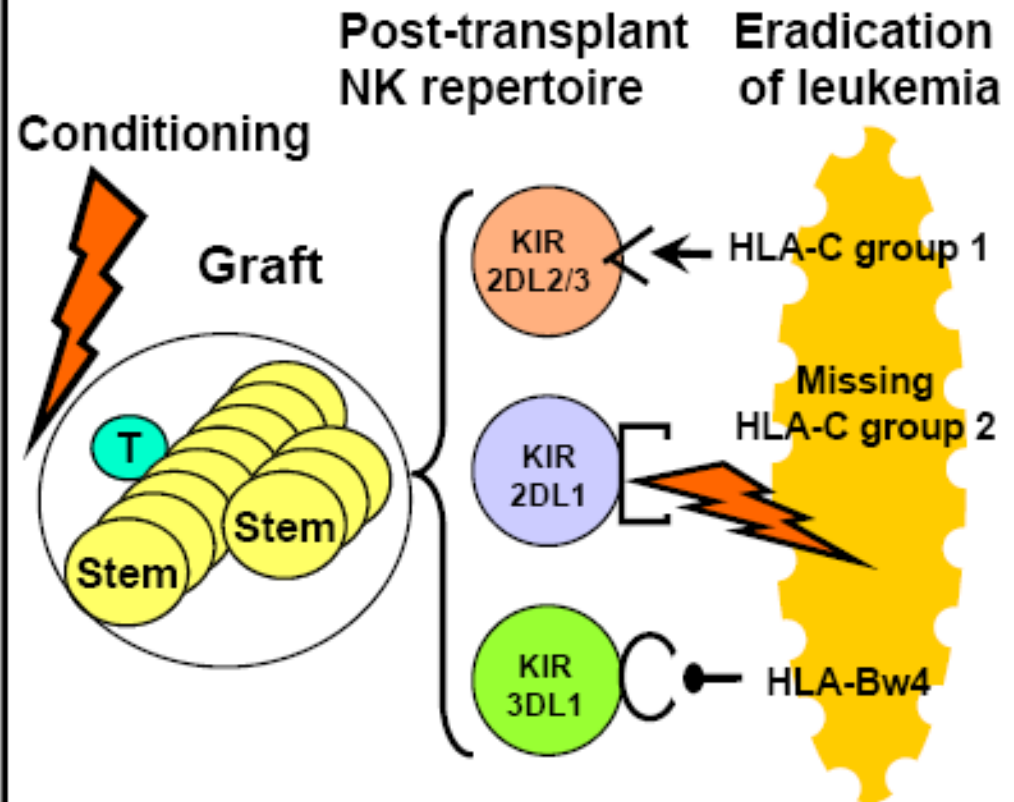
M Colonna...J Strominger, Science 1993

# Donor versus recipient NK cell alloreactivity

## Donor selection



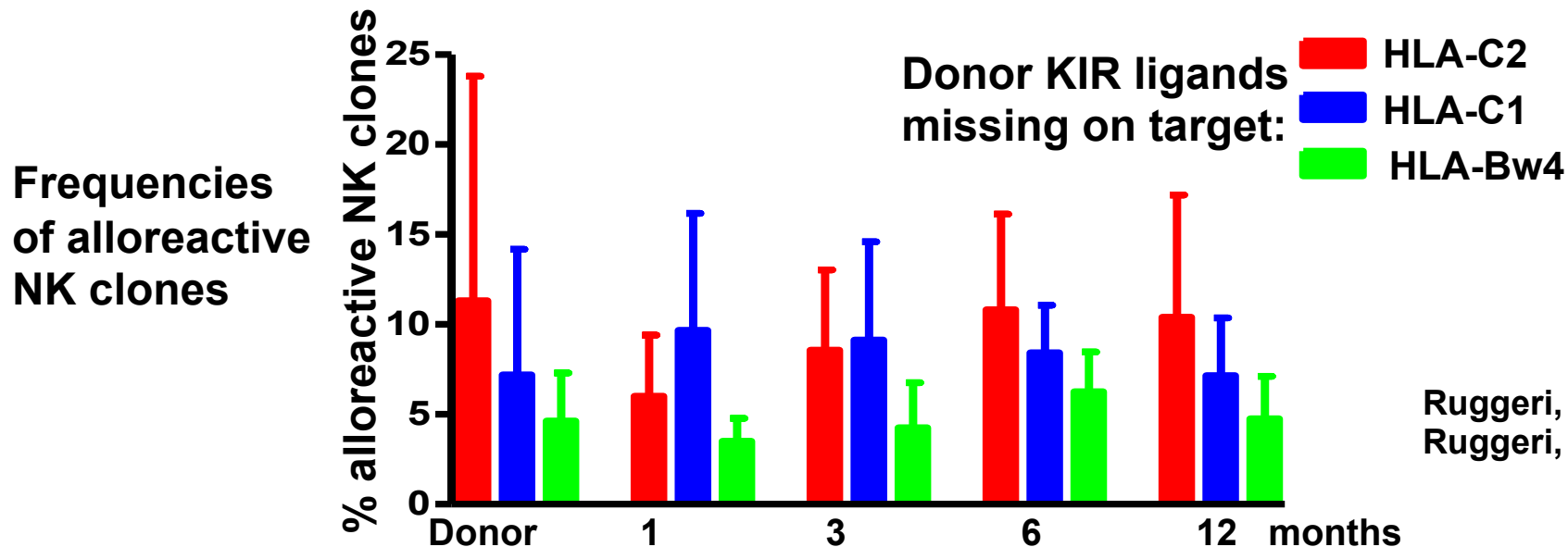
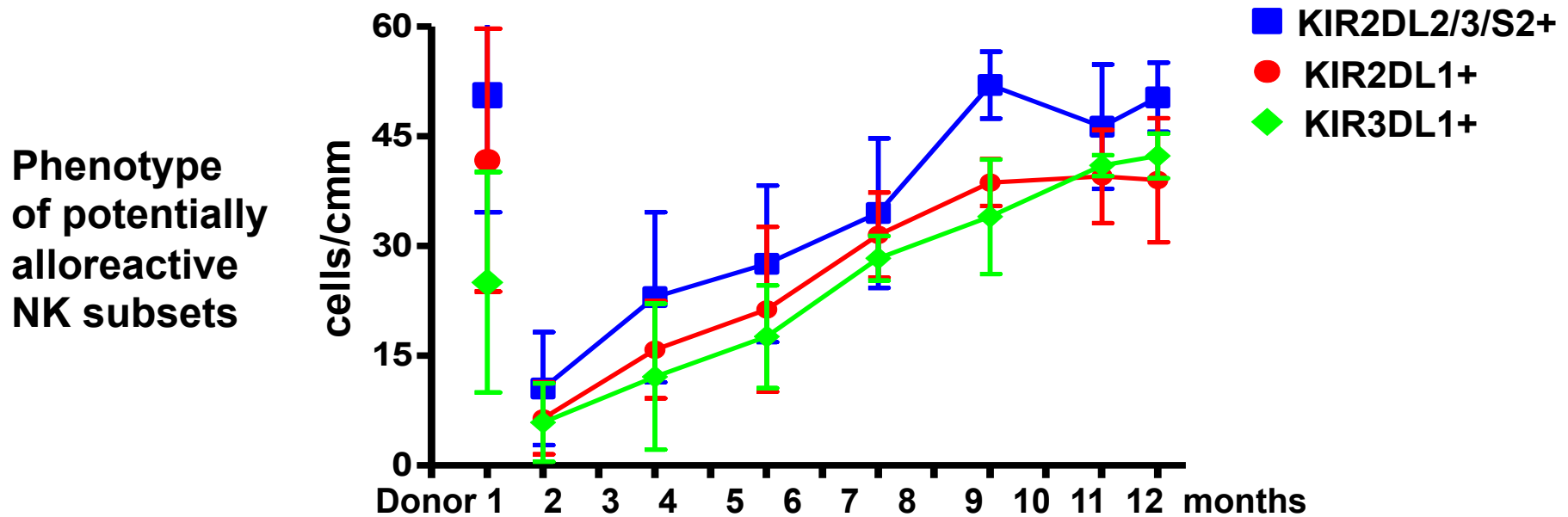
## Post transplant NK reconstitution



- Ruggeri et al., Blood 1999
- Ruggeri et al., Science 2002
- Ruggeri et al., Blood 2007
- Stern et al., Blood 2008
- Mancusi et al., Blood 2015

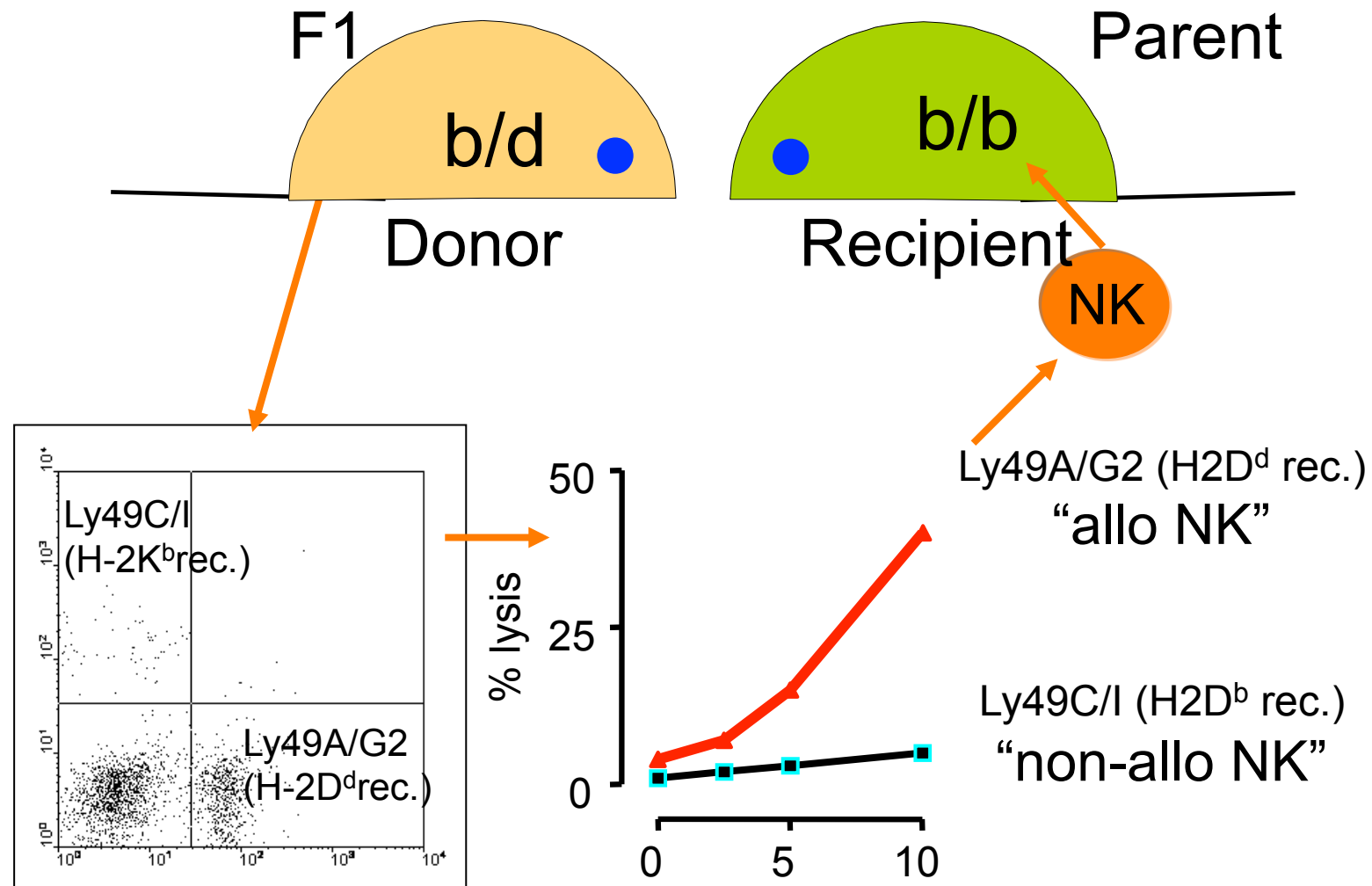
- EBMT Van Bekkum Award, Montreax 2002
- AICF's Prize for Excellence in Clinical Medicine, New York, 2003

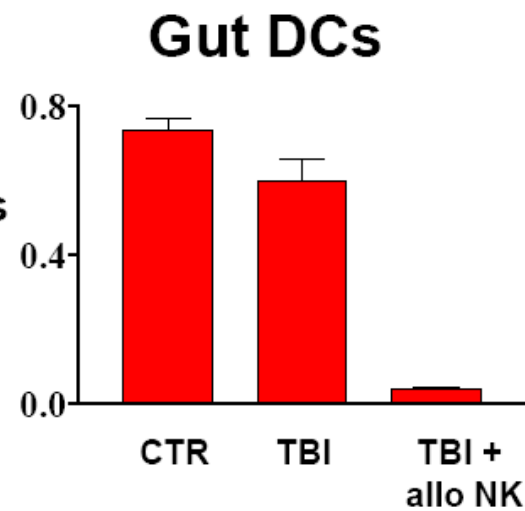
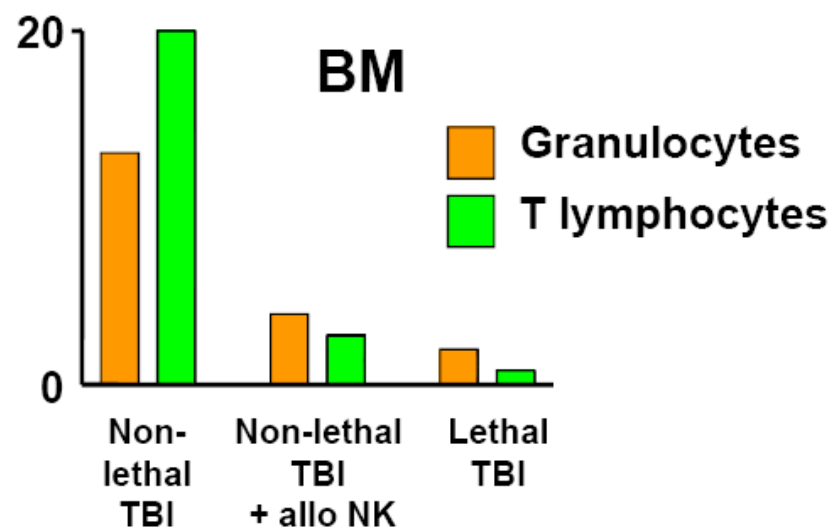
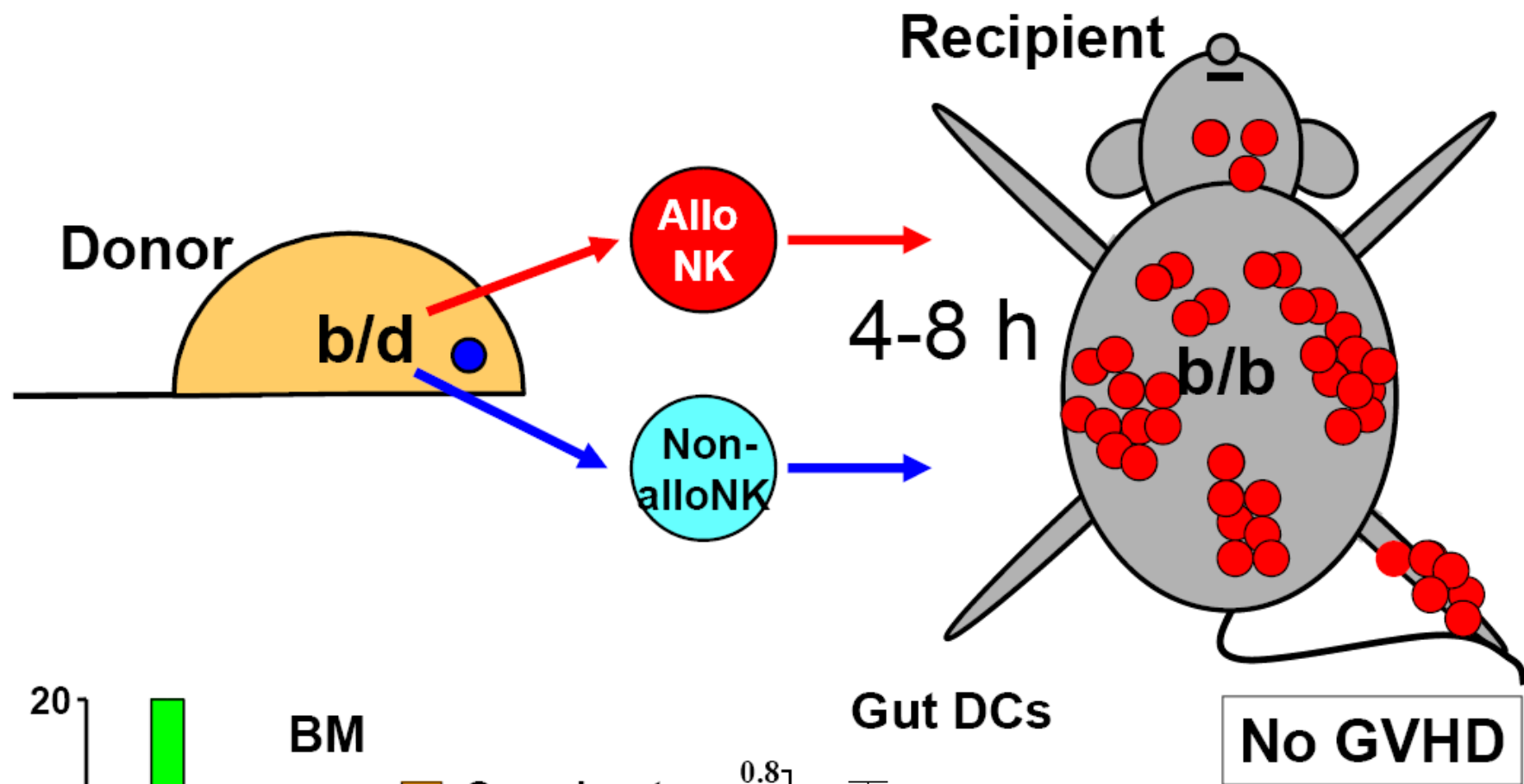
# Post-transplant regeneration of donor vs recipient alloreactive NK repertoires



Ruggeri, Blood 1999,  
Ruggeri, Blood 2007

# A mouse model of donor-vs-recipient NK cell alloreactivity in transplantation





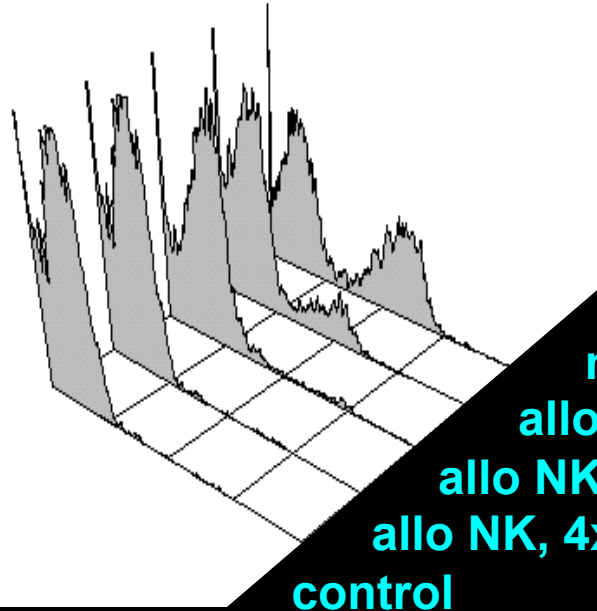
Ruggeri et al. Science 2002



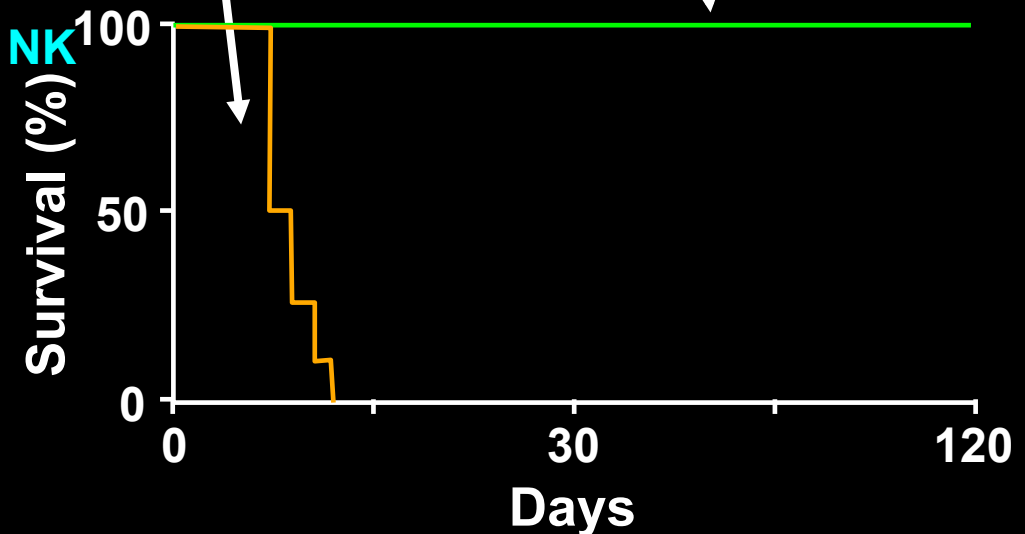
# Clearance of human leukemia by human alloreactive NK clones in SCID mice

CML blastic crisis in NOD-SCID mice

Human CD45

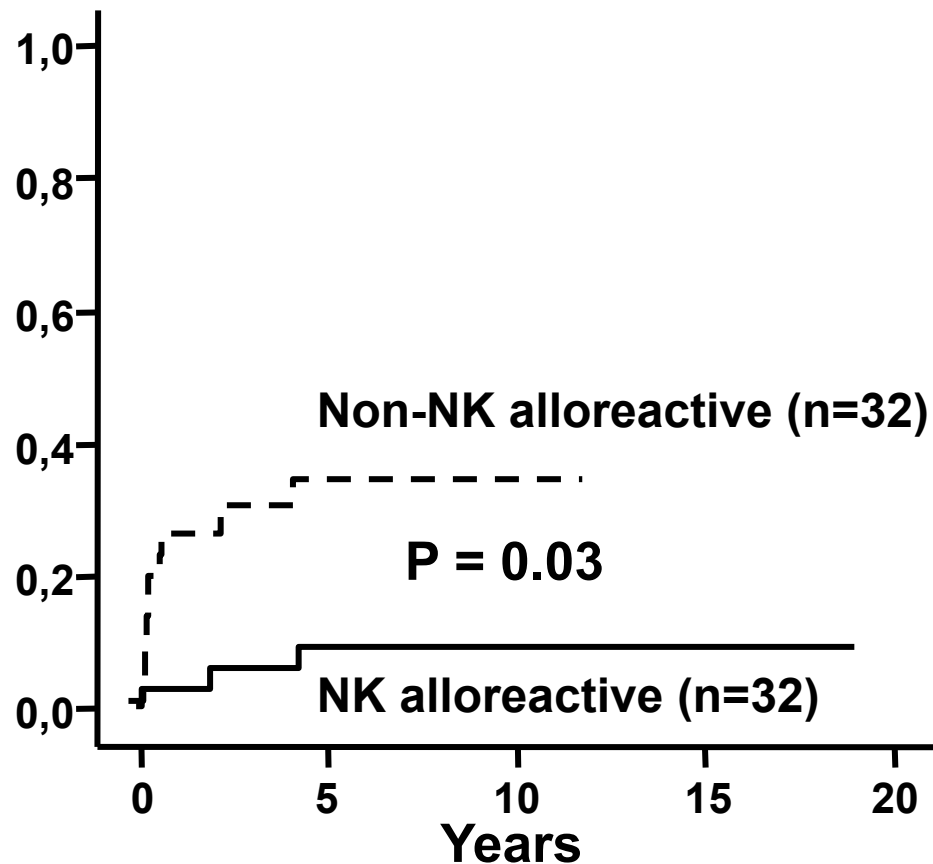


non-allo NK cells,  $8 \times 10^6$   
allo NK,  $10^5$   
allo NK,  $2 \times 10^5$   
allo NK,  $4 \times 10^5$   
allo NK,  $8 \times 10^5$

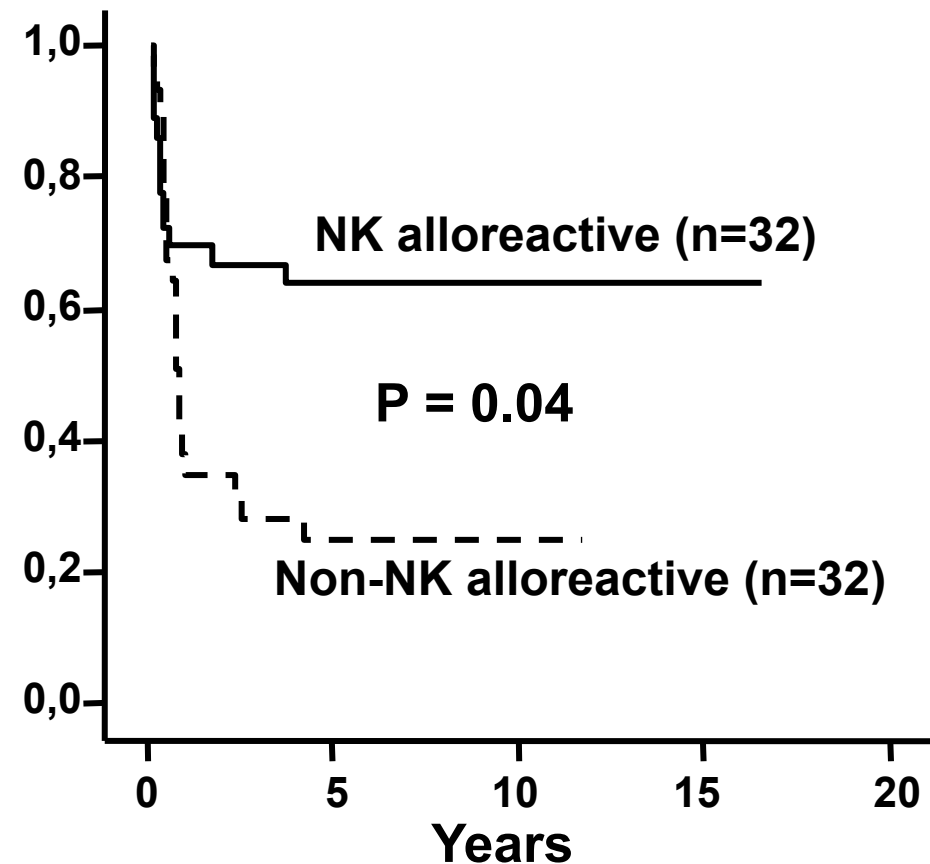


# Relapse and survival of AML patients transplanted in any remission

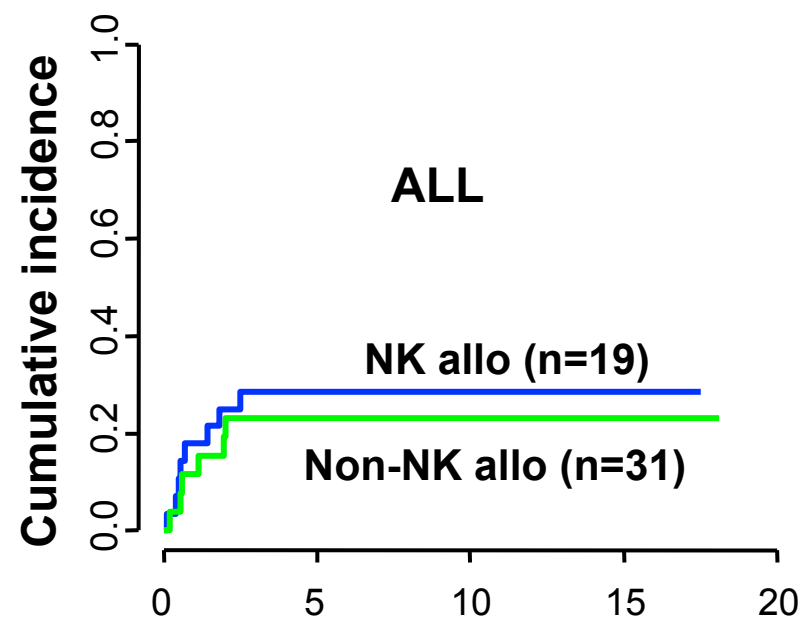
## Cumul. incidence of relapse



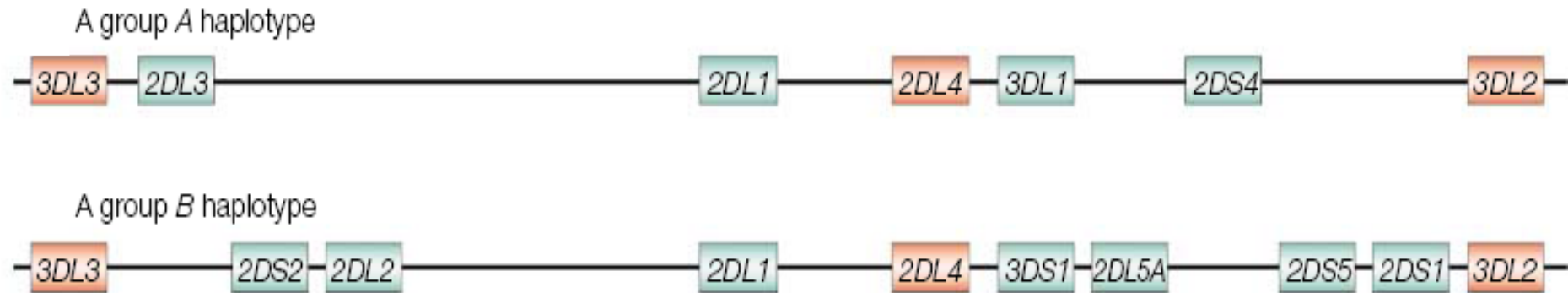
## Probability of survival



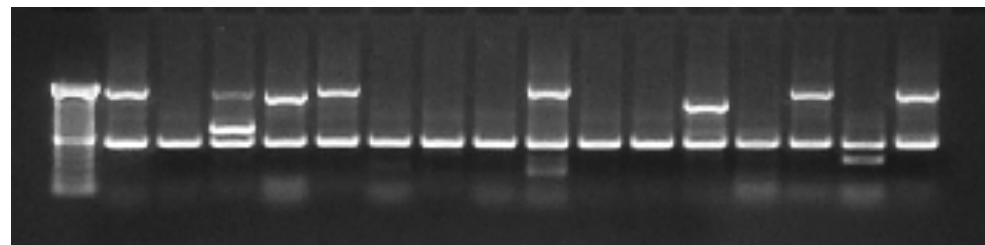
*Ruggeri Blood 1999; Science 2002; Blood 2007; Stern Blood 2008; Mancusi Blood 2016*



# Killer cell Ig-like receptor (KIR) haplotypes

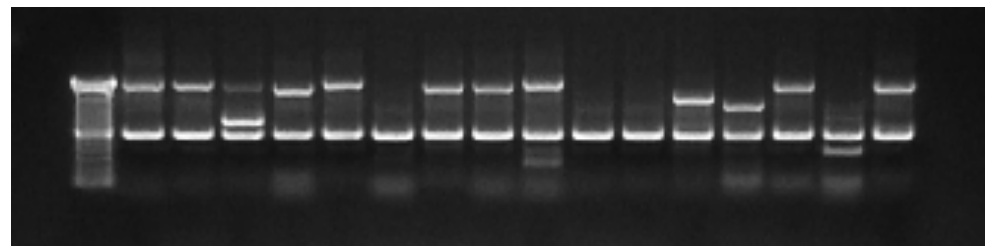


Group A haplotype KIR genes  
“AA” (~25% of individuals)



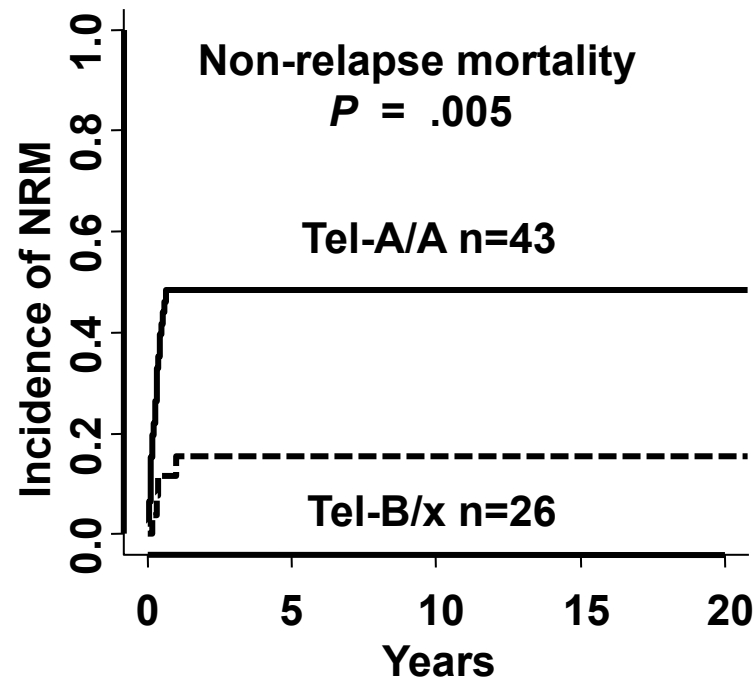
KIR2DL1  
KIR2DL2  
KIR2DL3  
KIR3DL1  
KIR3DL2  
KIR2DS1  
KIR2DS2  
KIR2DS3  
KIR2DS4  
KIR2DS5  
KIR3DS1  
KIR2DL4  
KIR2DL5  
KIR3DL3  
KIR3DP1  
KIR2DP1

Group B haplotype KIR genes  
“BX” (~75% of individuals)

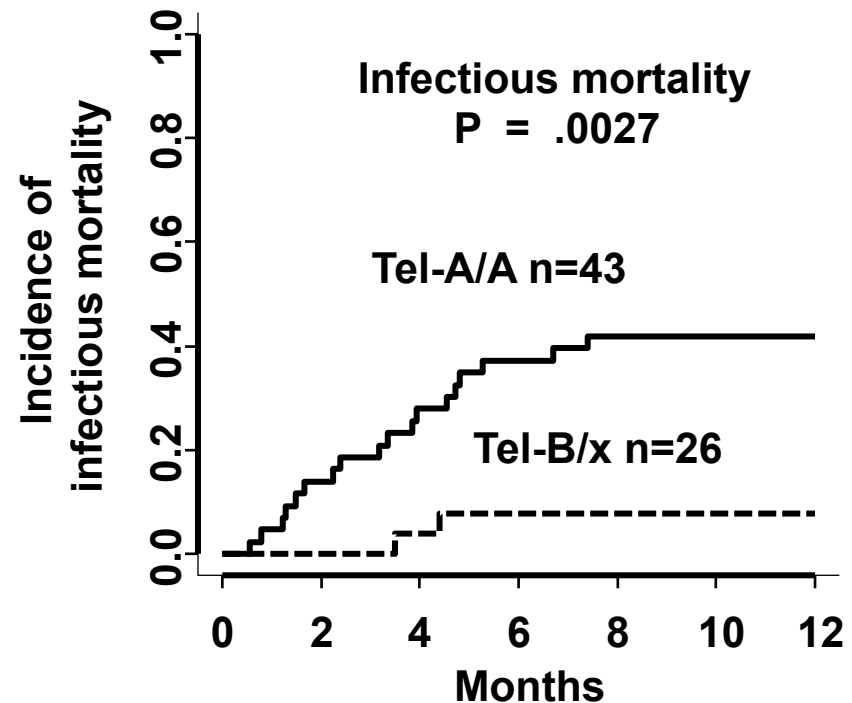


KIR2DL1  
KIR2DL2  
KIR2DL3  
KIR3DL1  
KIR3DL2  
KIR2DS1  
KIR2DS2  
KIR2DS3  
KIR2DS4  
KIR2DS5  
KIR3DS1  
KIR2DL4  
KIR2DL5  
KIR3DL3  
KIR3DP1  
KIR2DP1

# Transplantation from NK alloreactive donors with concomitant activating KIRs reduces non-relapse (infectious) mortality



Tel-B/x versus Tel-A/A: HR: 0.23;  
95% CI: 0.08-0.66;  $P = .007$



Tel-B/x versus Tel-A/A: HR: 0.13;  
95% CI: 0.03-0.58;  $P = .007$

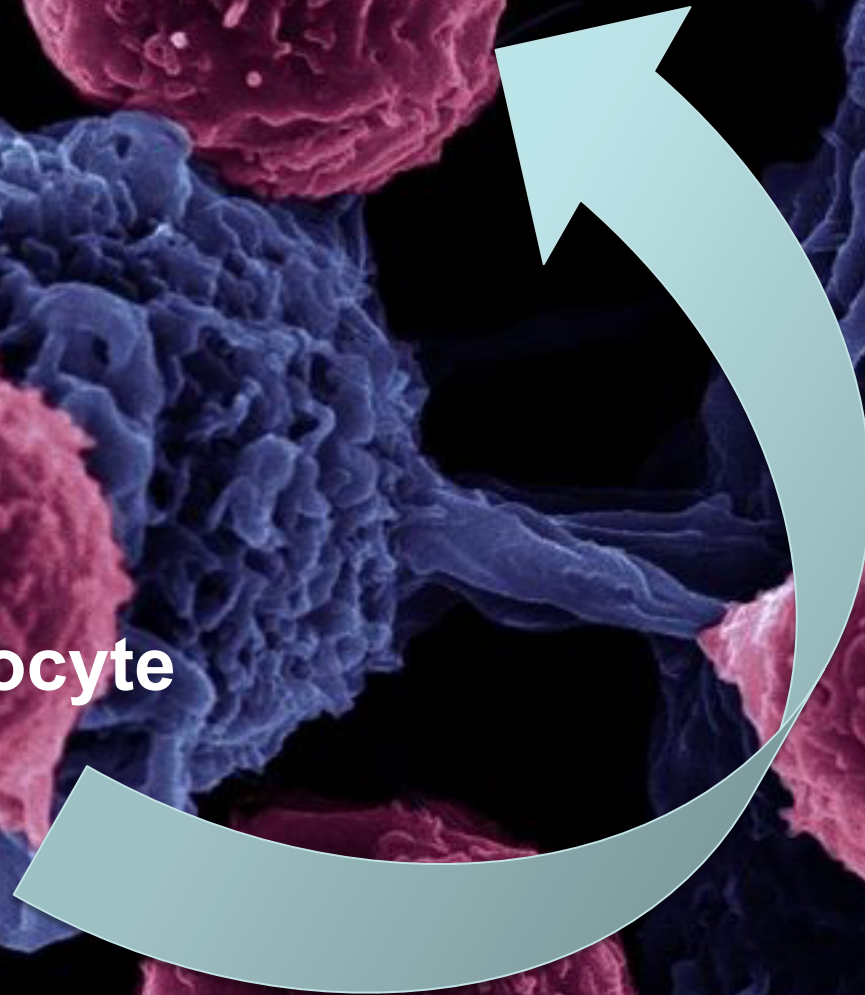
**Mancusi et al., Blood 2015**

## **Limitations of allo NK cell immunotherapy:**

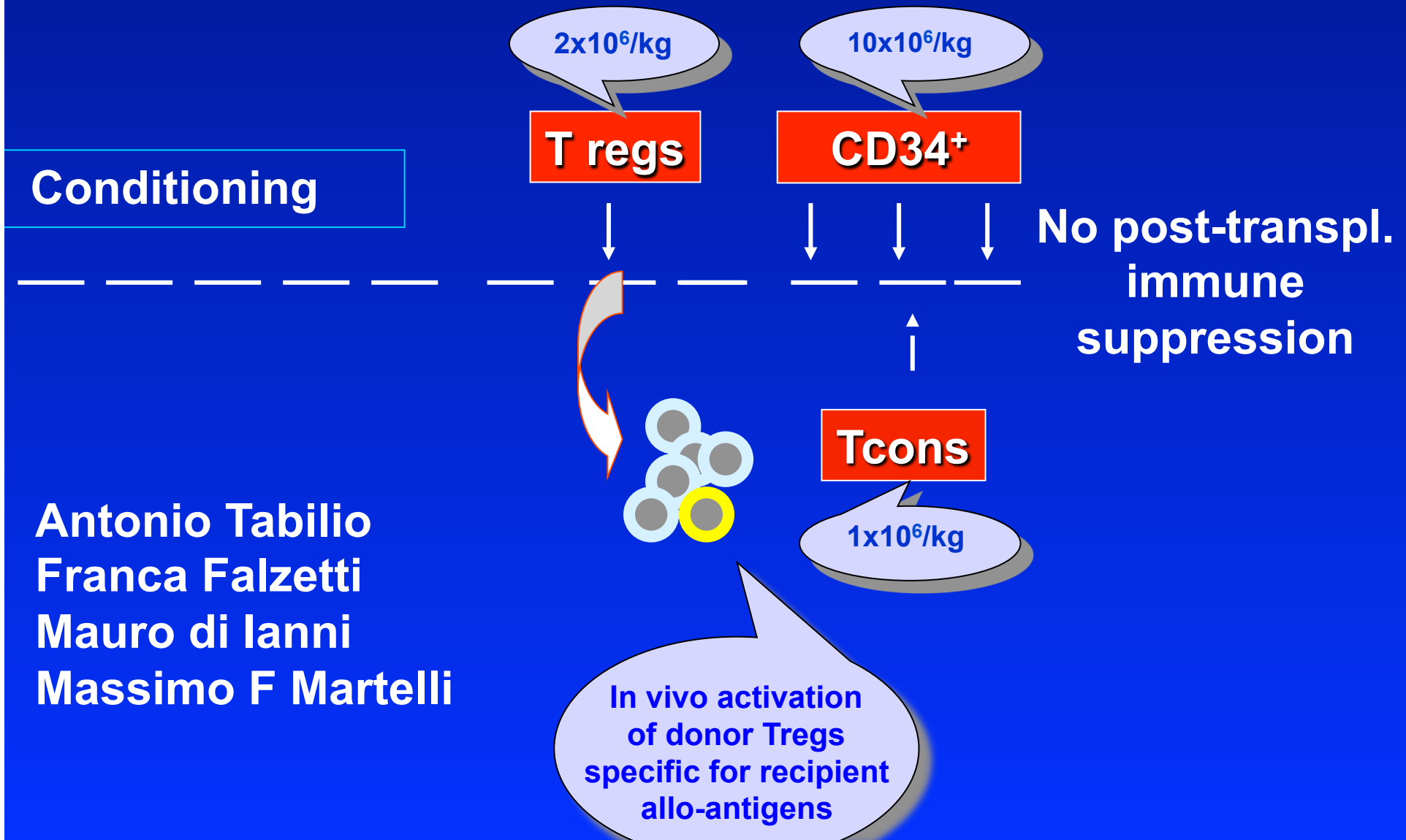
- 1) KIR ligand mismatches present in 50% of transplants**
- 2) Not effective in (B cell precursor) ALL**

**Conventional T lymphocyte**

**Regulatory T lymphocyte**

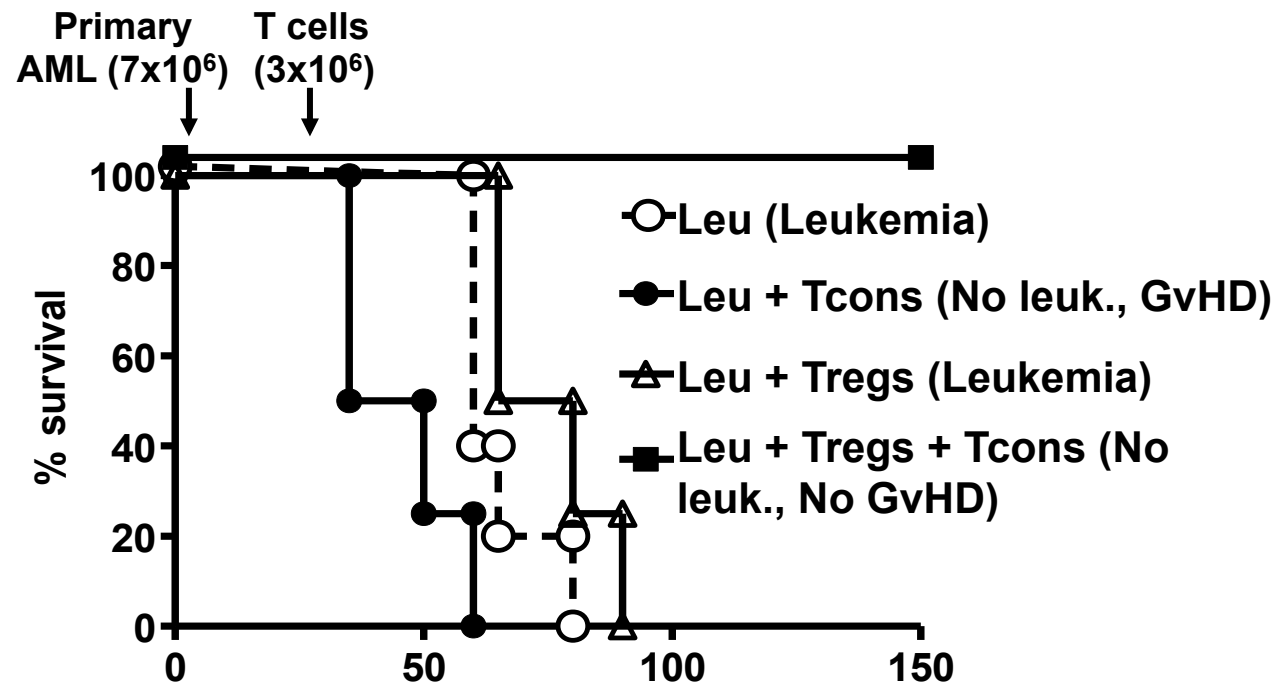


# Improving on NK cell-mediated GvL: Treg and Tcon adoptive immunotherapy?





# Clearance of human leukemia w/o GvHD in immunodeficient (NSG) mice given peripheral blood human T regs + T cons



# Clinical scale immunomagnetic selection of human peripheral blood CD4+/CD25+/Fox P3+ regulatory T cells



1st step:  
Depletion of  
CD8+/CD19+cells

2nd step:  
Selection of  
CD25+ cells

## Cell processing

Franca Falzetti

Roberta Iacucci

Tiziana Zei

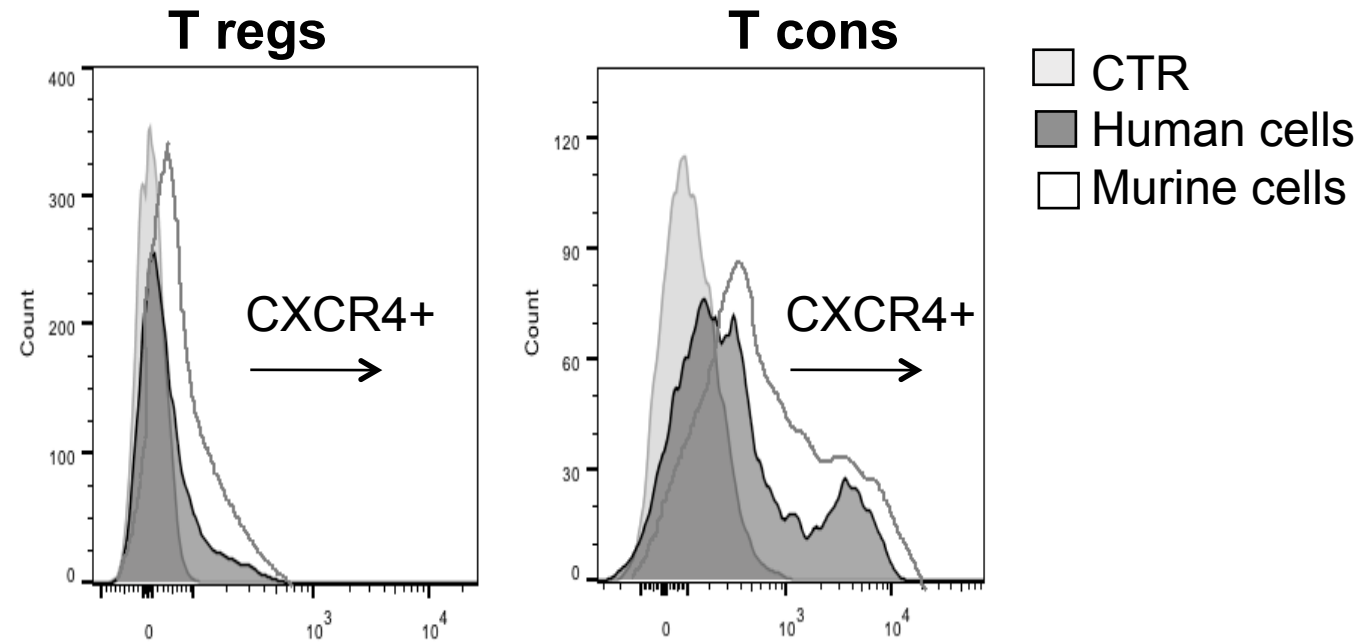
Final product

Cells (x10<sup>9</sup>) = 280 (202- 390)

CD4/CD25+ = 92% (90-97%)

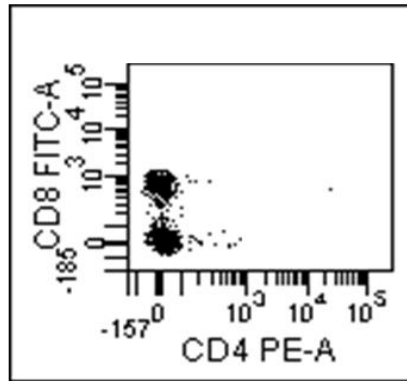
Fox P3+ cells = 90%

Unlike T cons, human peripheral blood T regs (and mouse spleen T regs) are largely negative for CXCR4 bone marrow homing receptor

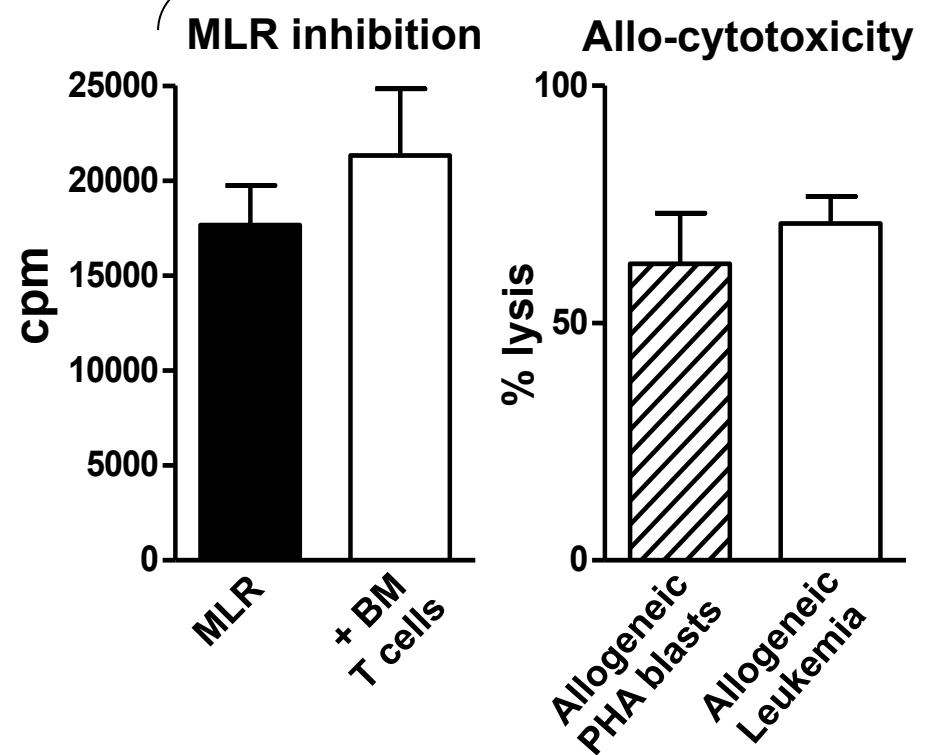
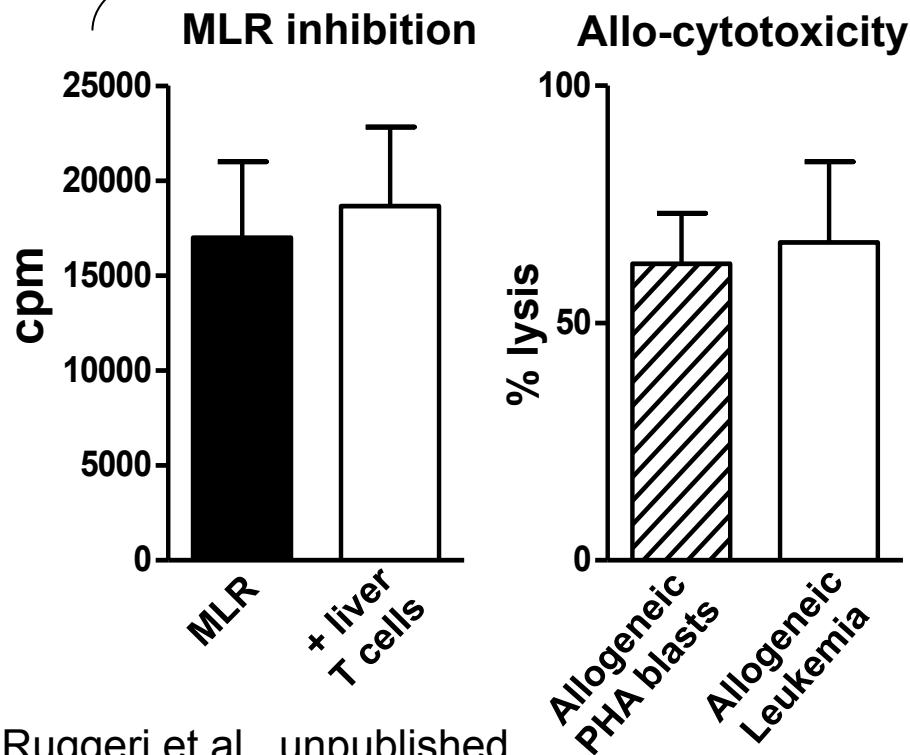
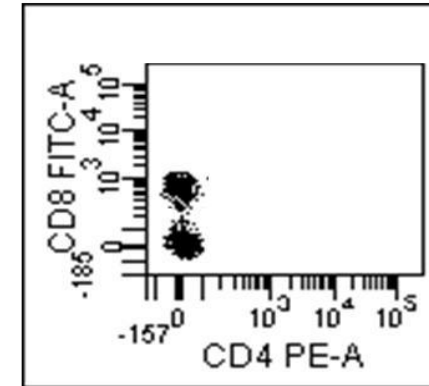


Immunodeficient mice + human T cons (clear leukemia but die of GvHD)

### Liver and Gut

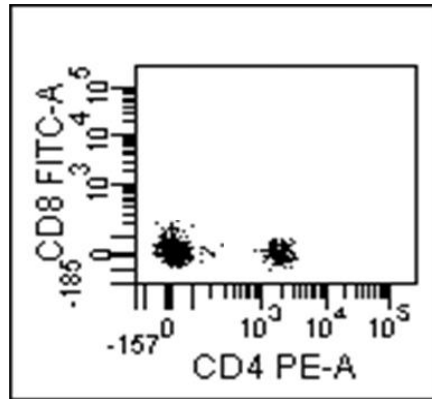


### Bone Marrow

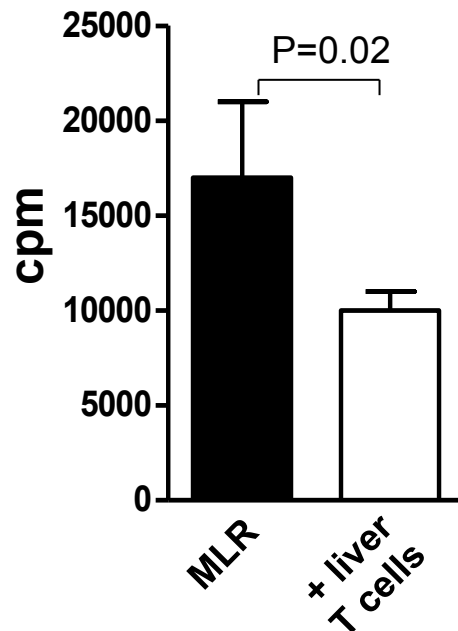


# Immunodeficient mice + human Tregs (die of leukemia, w/o GvHD)

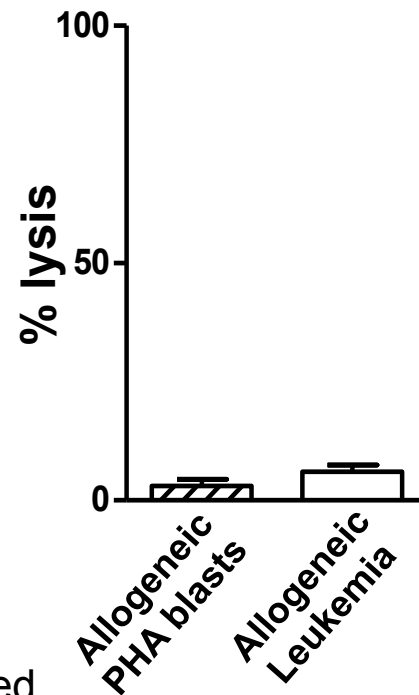
## Liver and Gut



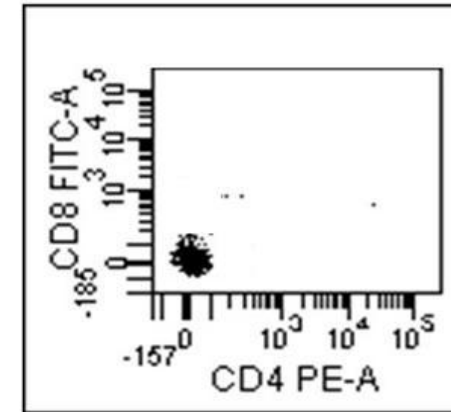
### MLR inhibition



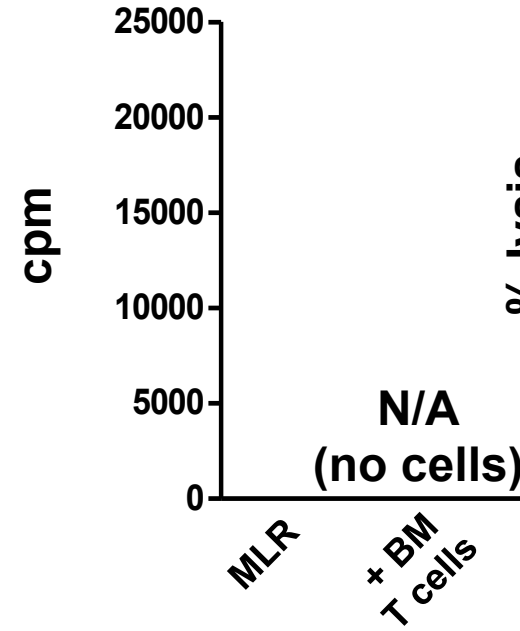
### Allo-cytotoxicity



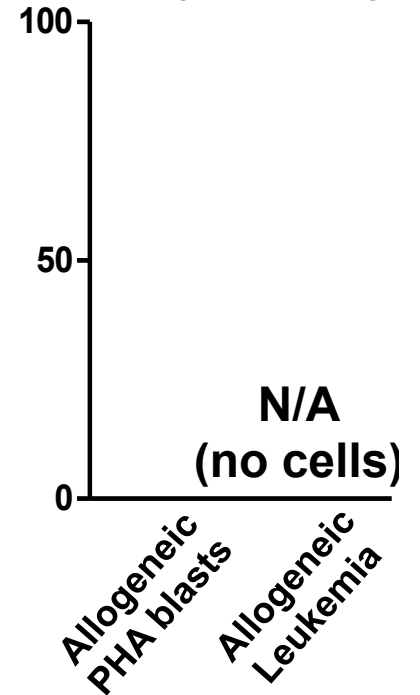
## Bone Marrow



### MLR inhibition

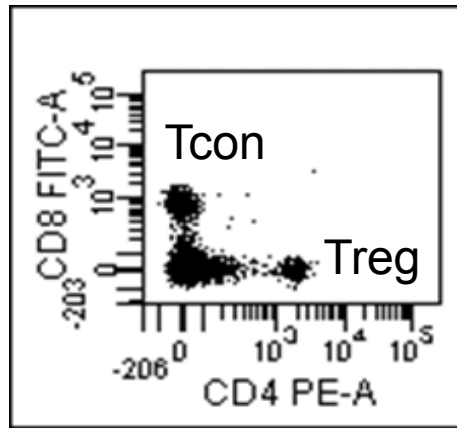


### Allo-cytotoxicity

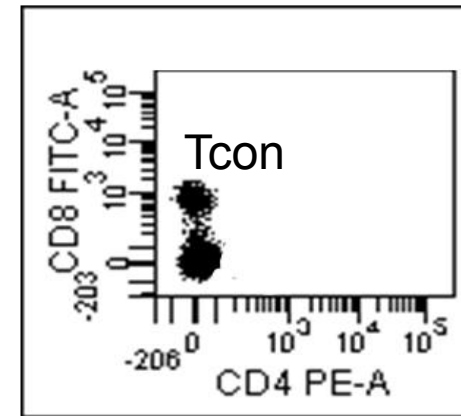


# Immunodeficient mice given human Tcons and Tregs clear leukemia w/o GvHD

Liver and Gut

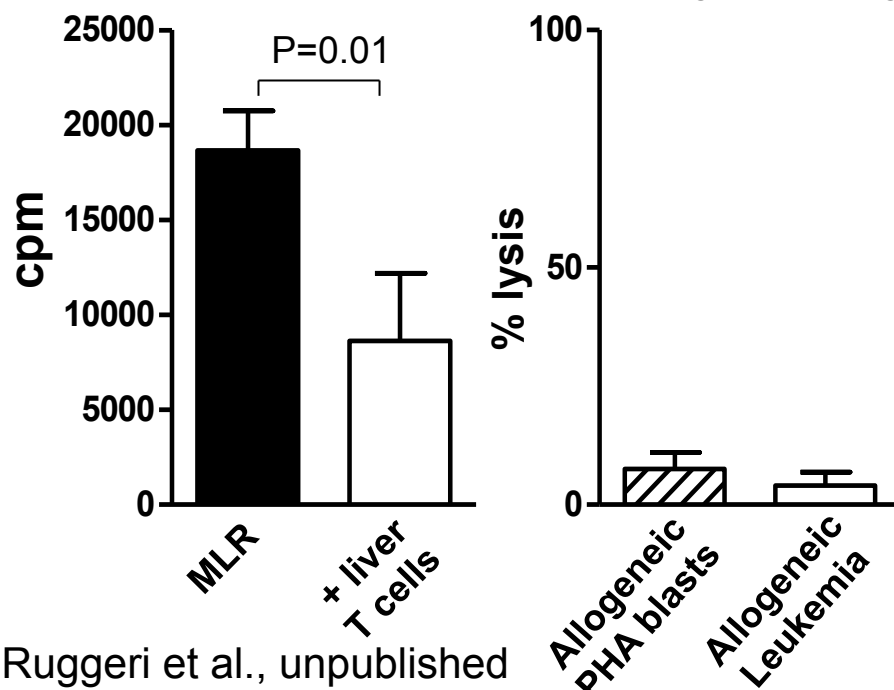


Bone Marrow



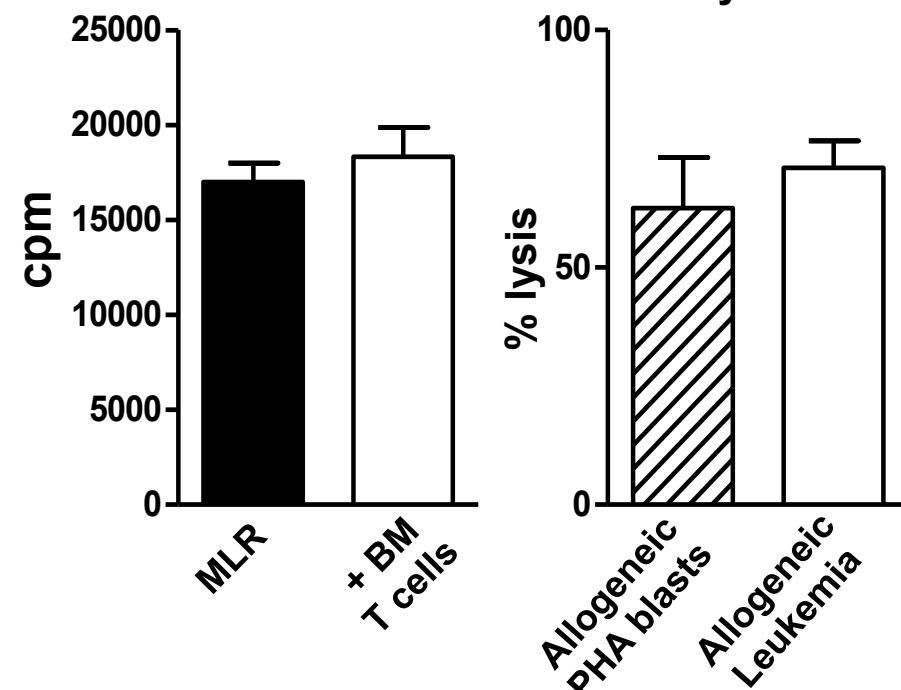
MLR inhibition

Allo-cytotoxicity

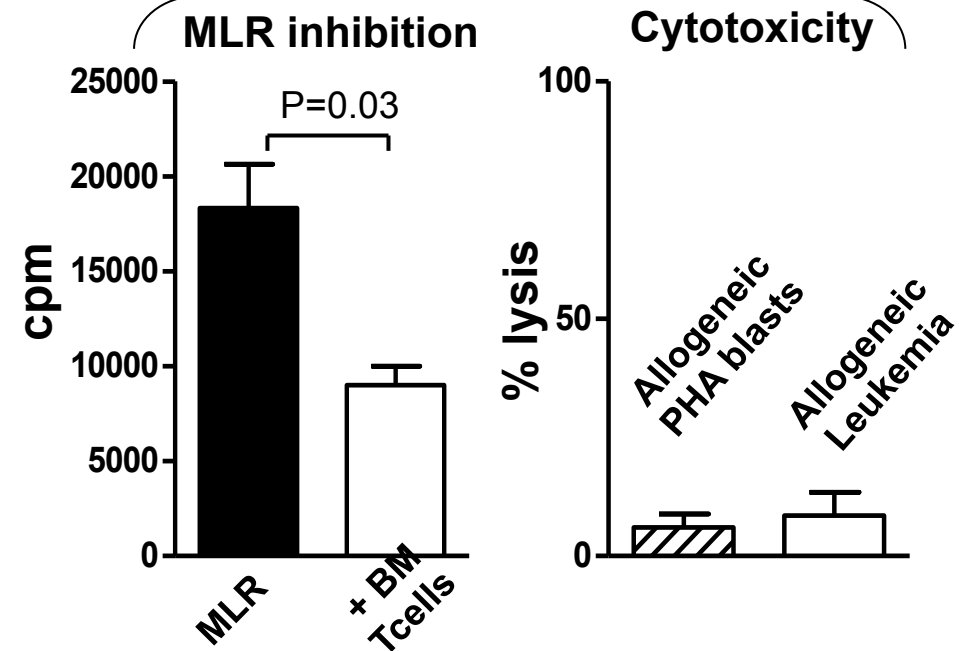
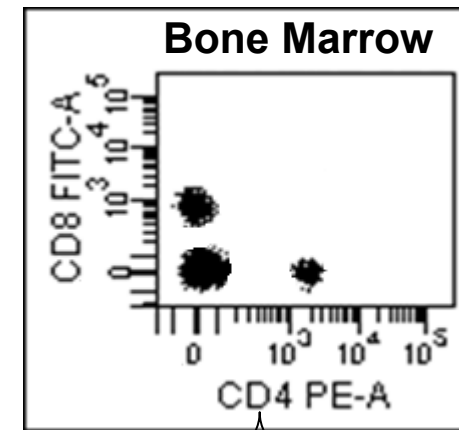
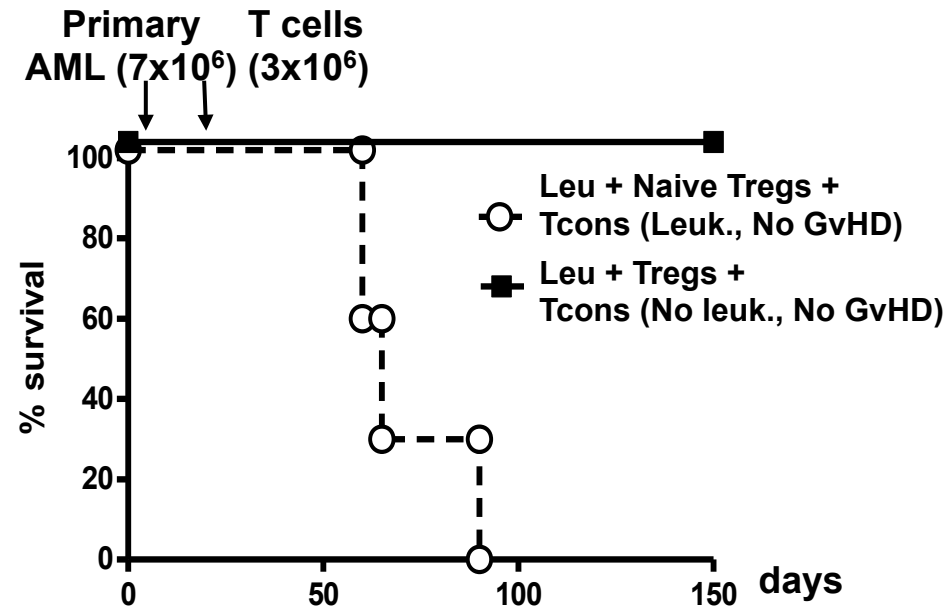


MLR inhibition

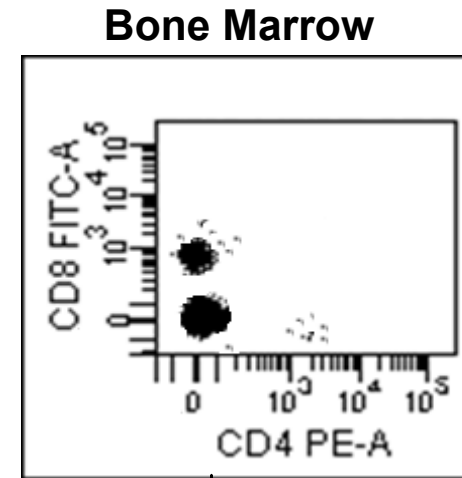
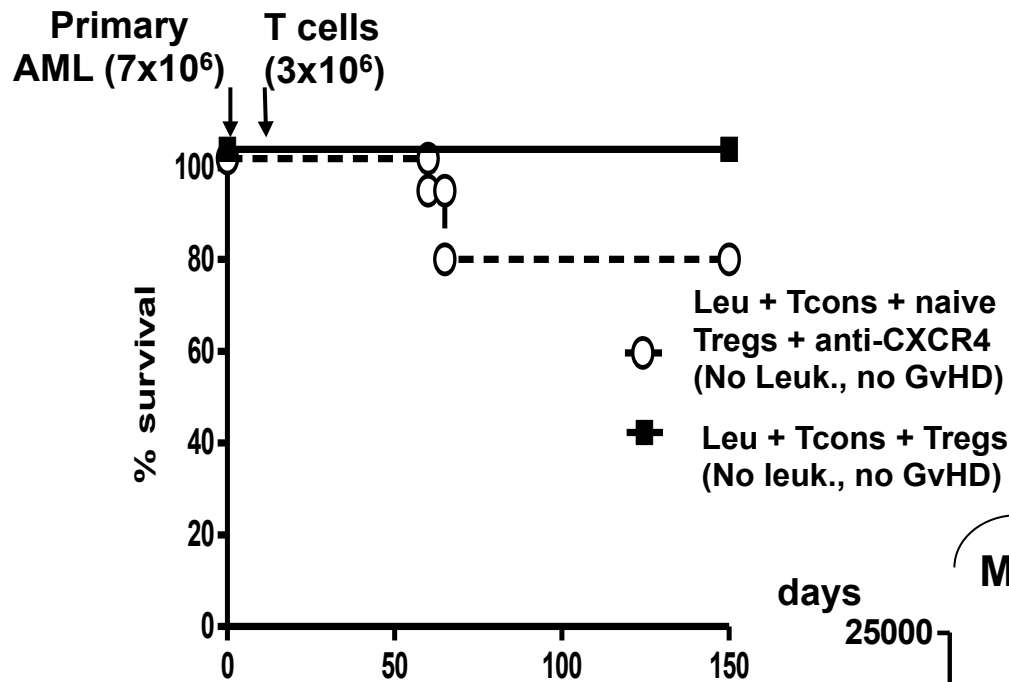
Allo-cytotoxicity



**A control experiment:** mice given Tcons + **naive** (CD45RA+) T regs die of leukemia. Unlike memory Tregs, naive Tregs possess CXCR4 bone marrow homing receptor. They home to the bone marrow and prevent conventional T cells from exerting their GvL effect



Key role of CXCR4: anti-CXCR4 antibody treatment prevents naive Tregs from entering the bone marrow and reinstates the GvL effect mediated by T cons



days

**MLR inhibition**

**Cytotoxicity**

**cpm**

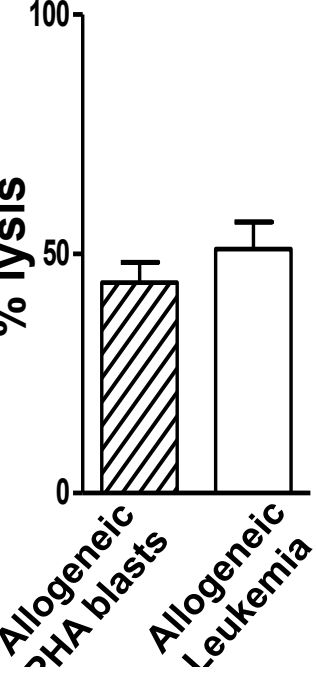
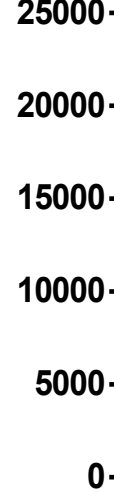
**% lysis**

MLR

+ BM  
T cells

Allogeneic  
PHA blasts

Allogeneic  
Leukemia

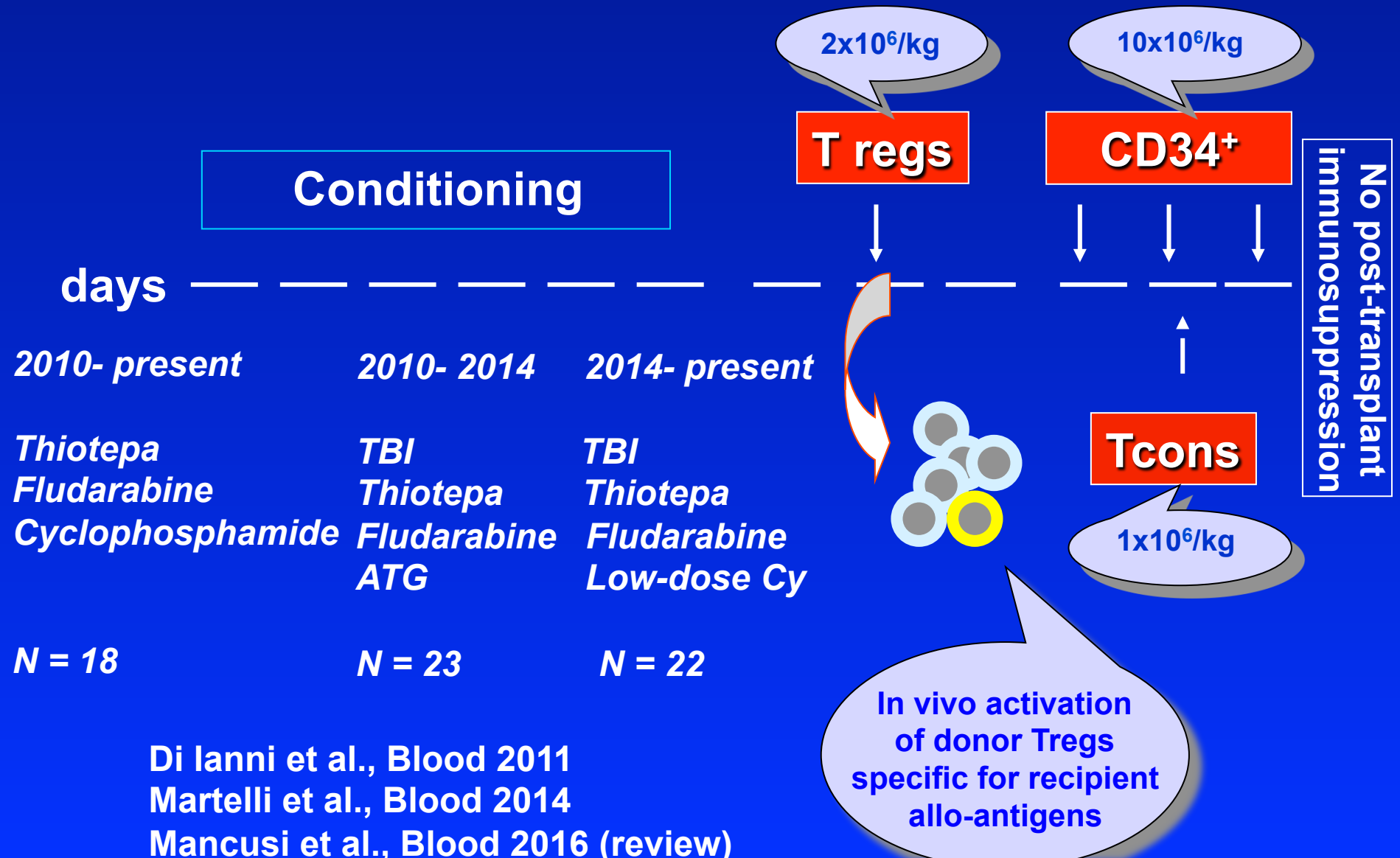




### Hypothesis:

In clinical trials, immunotherapy with Treg/Tcon may be able to eradicate leukemia without causing GvHD because human peripheral blood Tregs are largely CXCR4-negative. They may migrate to the periphery where they may block T cons and prevent GvHD but may not home to the bone marrow. Consequently, in the bone marrow, T cons may be free to exert allo-antigen recognition and leukemia killing

# Treg and Tcon adoptive immunotherapy in haplo H SCT for high-risk acute leukemia patients



# 1<sup>ST</sup> Clinical Trial

*Di Ianni et al. Blood 2011*

**TBI**  
**Thiotepa**  
**Fludarabine**  
**Cyclophosphamide**



**GVHD prevention:**  
Low aGvHD rates  
Very Low cGvHD rates

**High TRM:**  
High toxicity and  
infection rates

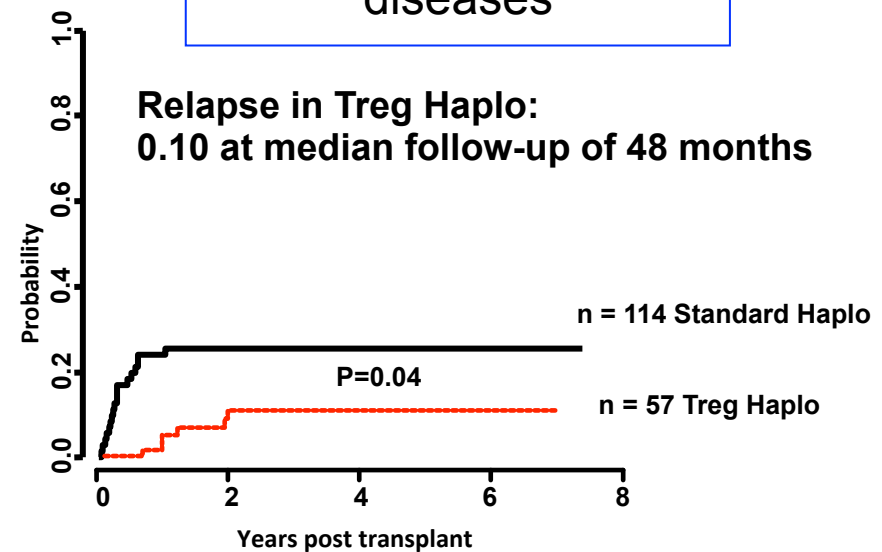
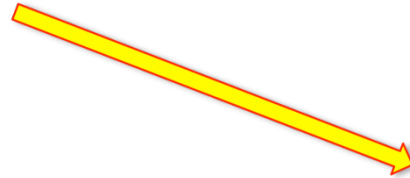
# 2<sup>nd</sup> Clinical Trial

*Martelli et al. Blood 2014*

**TBI**  
**Thiotepa**  
**Fludarabine**  
**ATG**

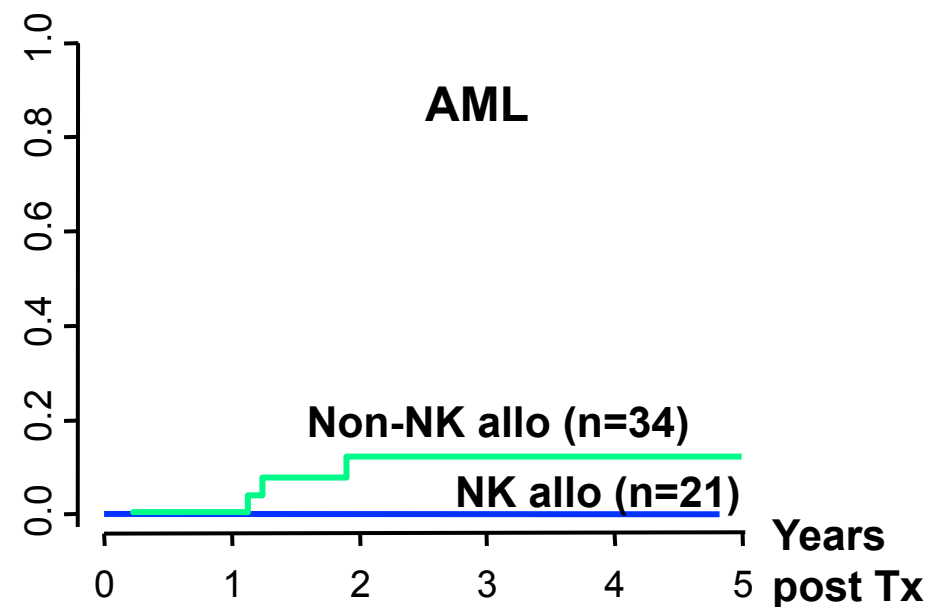
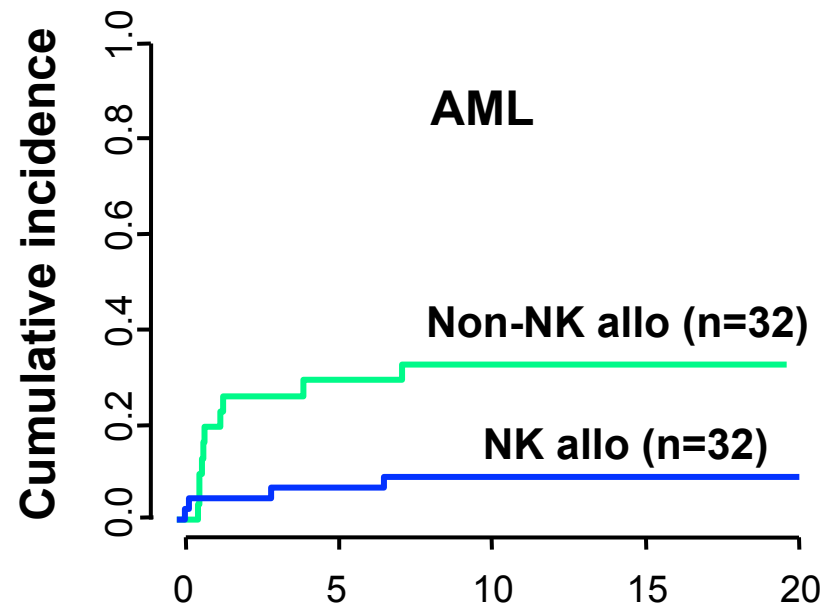
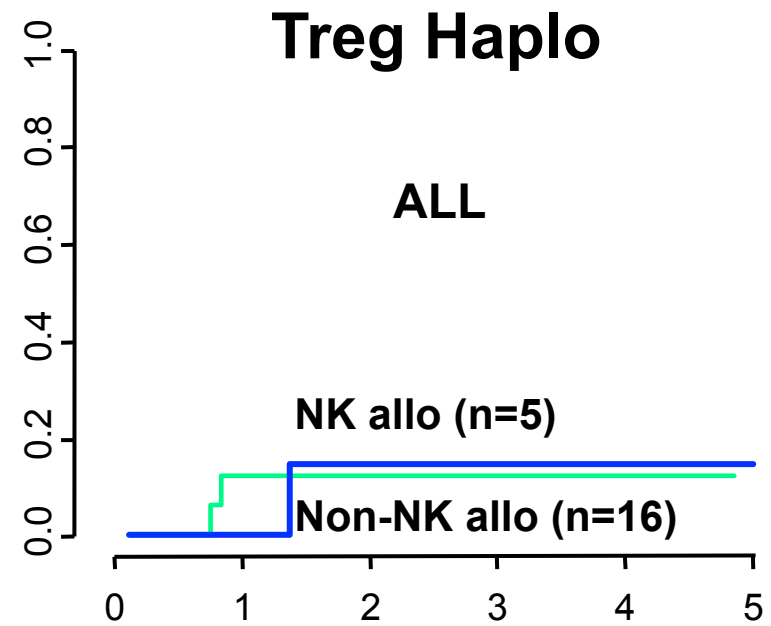
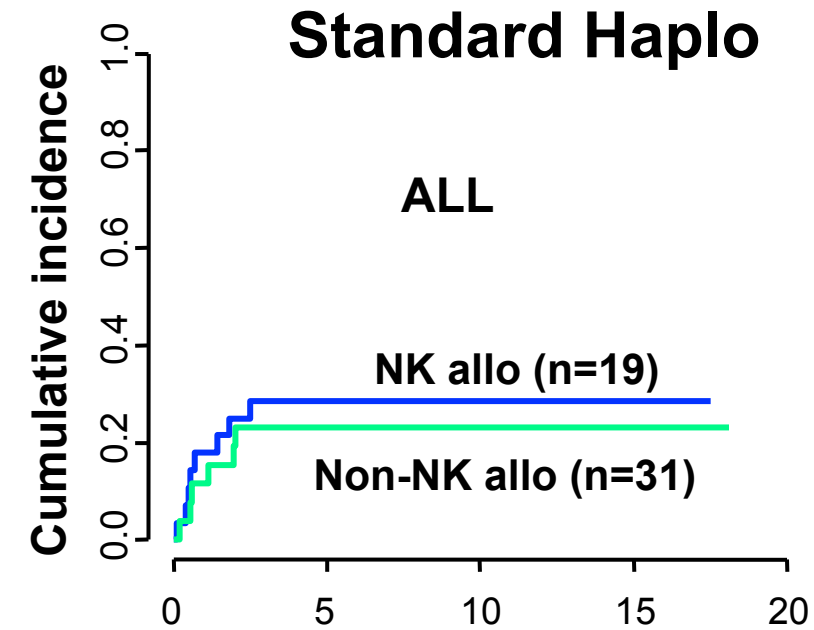


**GvL effect:**  
Low Relapse rates  
despite high-risk  
diseases



*Martelli et al. Blood 2014, updated*

# Clinical GvL effect



# Ongoing Trial

*TBI/HF-TBI*

*Thiotepa*

*Fludarabine*

*Low-dose Cyclophosphamide*



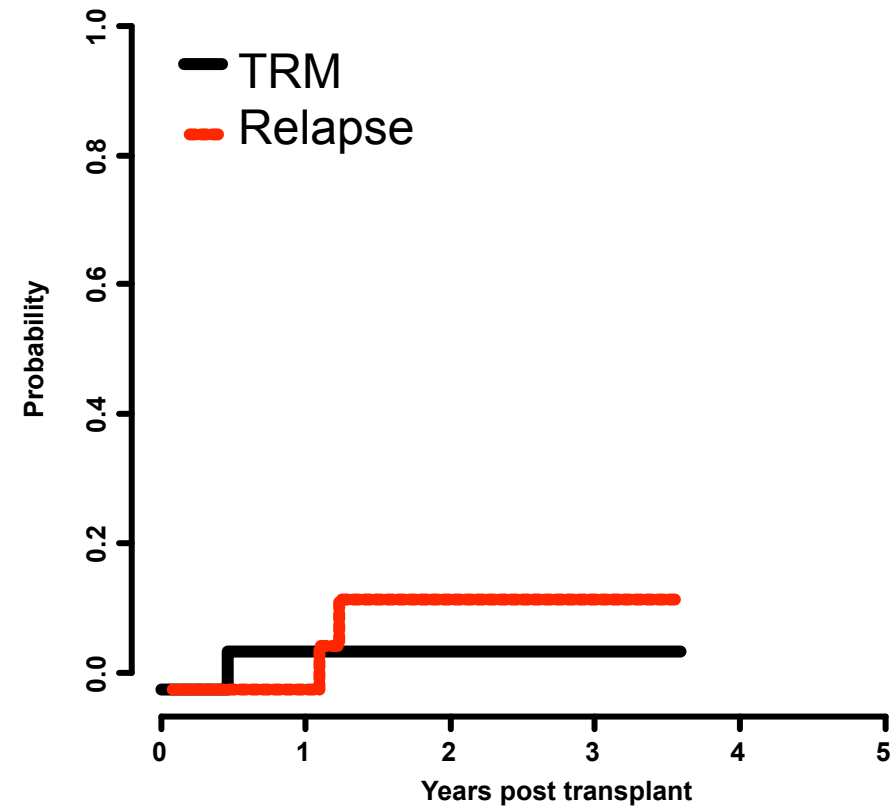
21 patients transplanted to date:

- Median age: 30 yrs
- High risk leukemias:  
15 AML, 6 ALL
- All patients in 1<sup>ST</sup> - 3<sup>RD</sup> CR

## OUTCOMES:

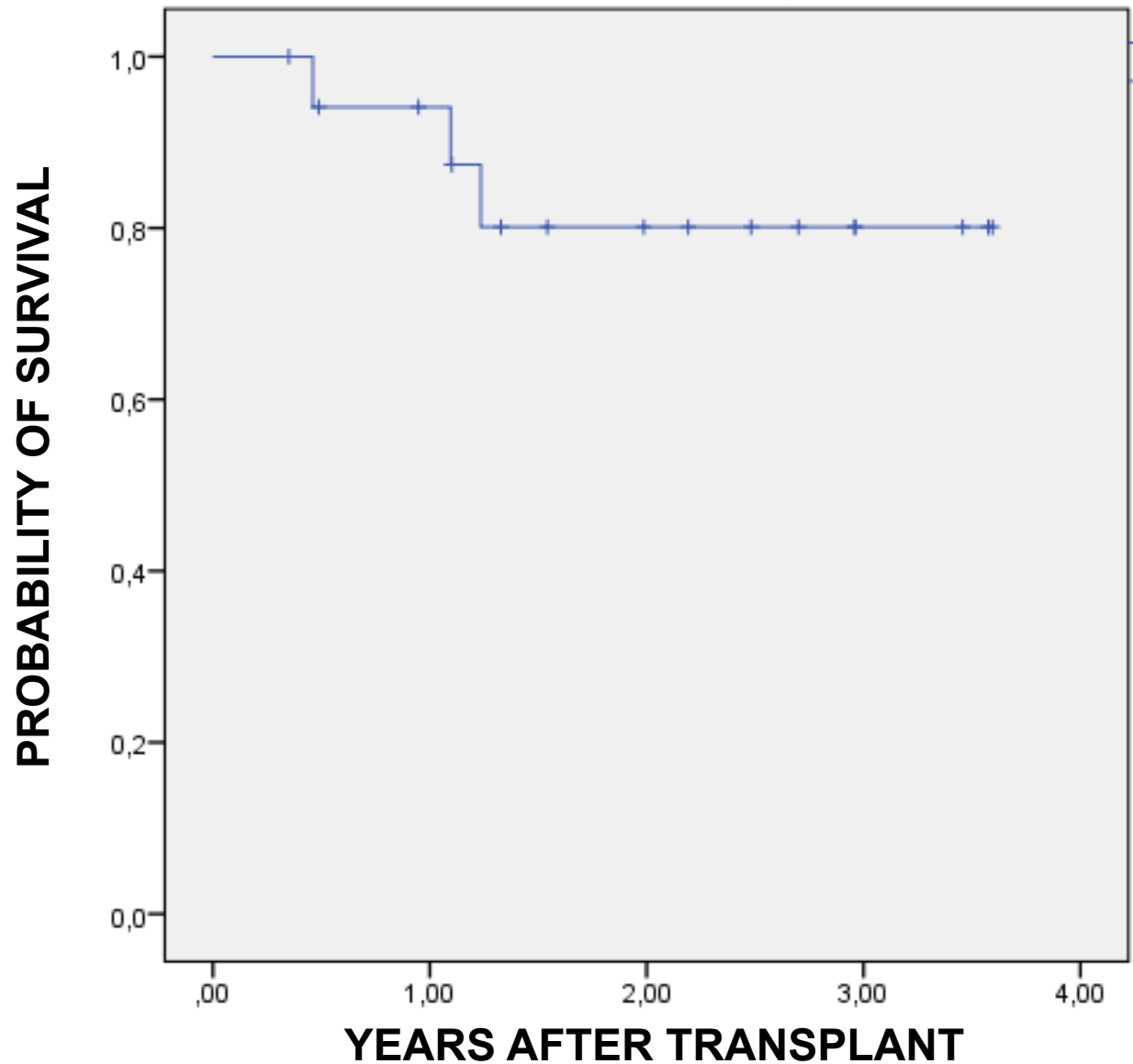
- Rejection: 1/21
- **Relapse: 2/20**
- **aGvHD: 2/20 (off therapy)**
- **cGvHD: 1/20**
- **TRM: 1/20**

## Cumulative Incidences

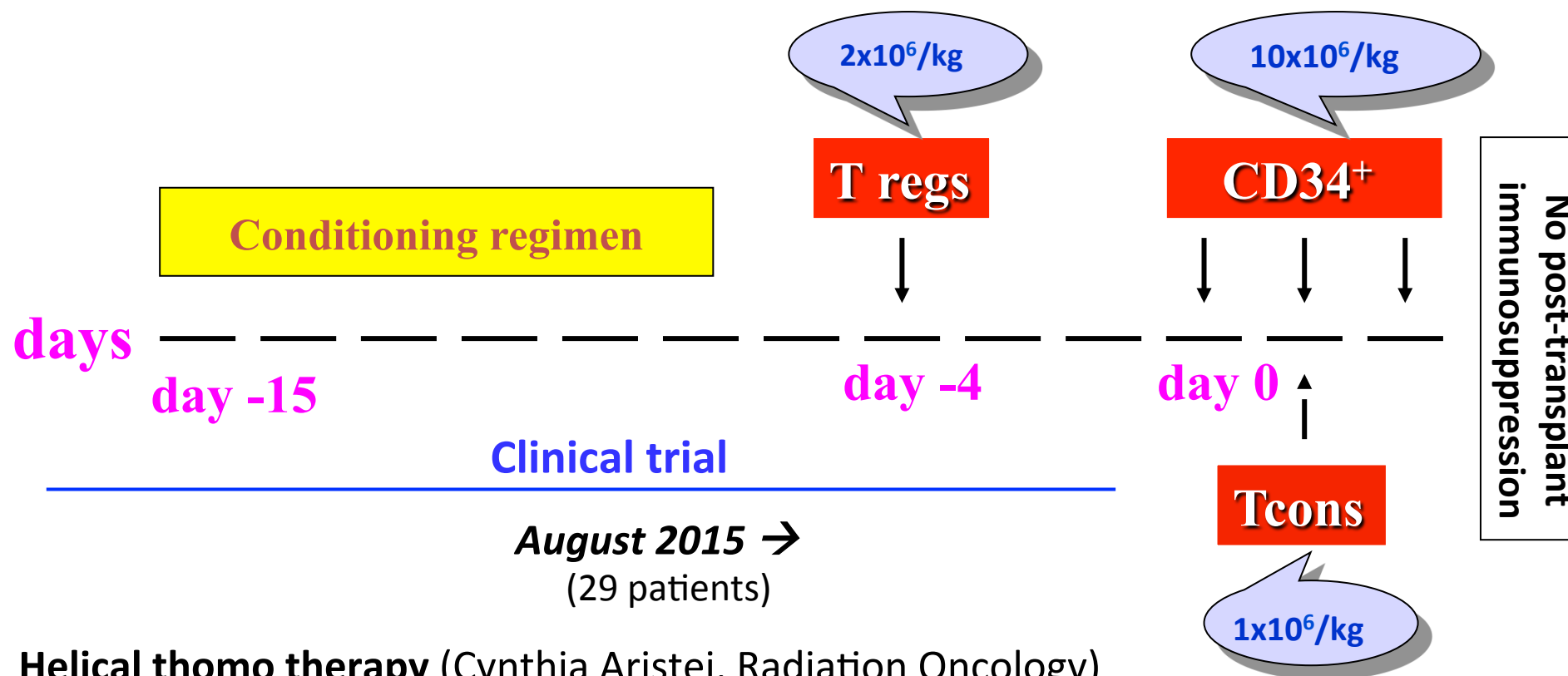


# cGvHD/Leukemia-free Survival

Median follow-up = 1.5 yrs



**Total lymphoid + total marrow irradiation:**  
Haplo-HSCT with Treg and Tcon immunotherapy  
made feasible for elderly and/or unfit patients with high risk AL



**Helical thomo therapy** (Cynthia Aristei, Radiation Oncology)

**Total Lymphoid Irradiation** (11,7 Gy in 9 fraction)

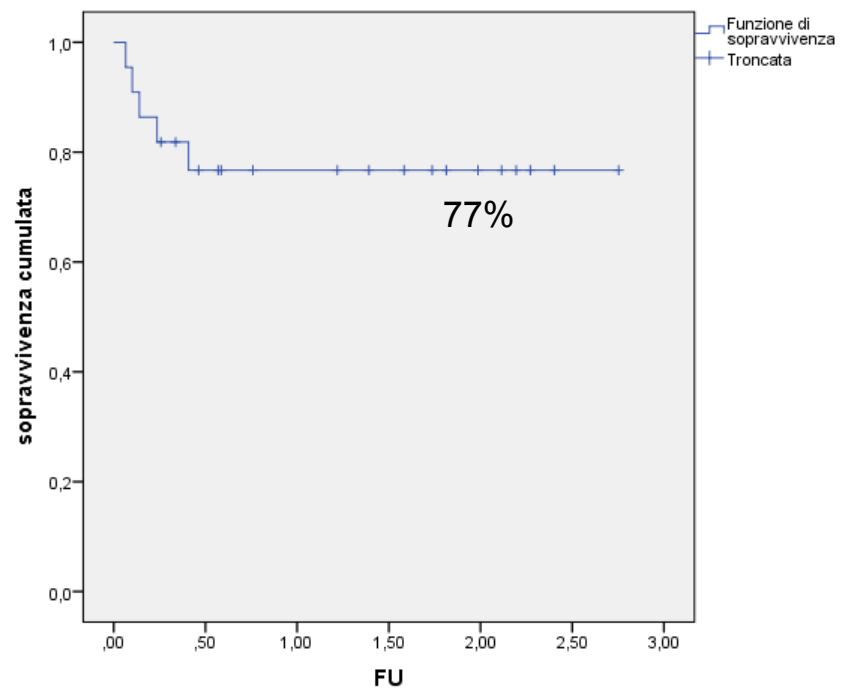
**Total Marrow Irradiation** (13,5 Gy in 9 fraction)

**Thiotepa** (5mg/kg per day for 2 days)

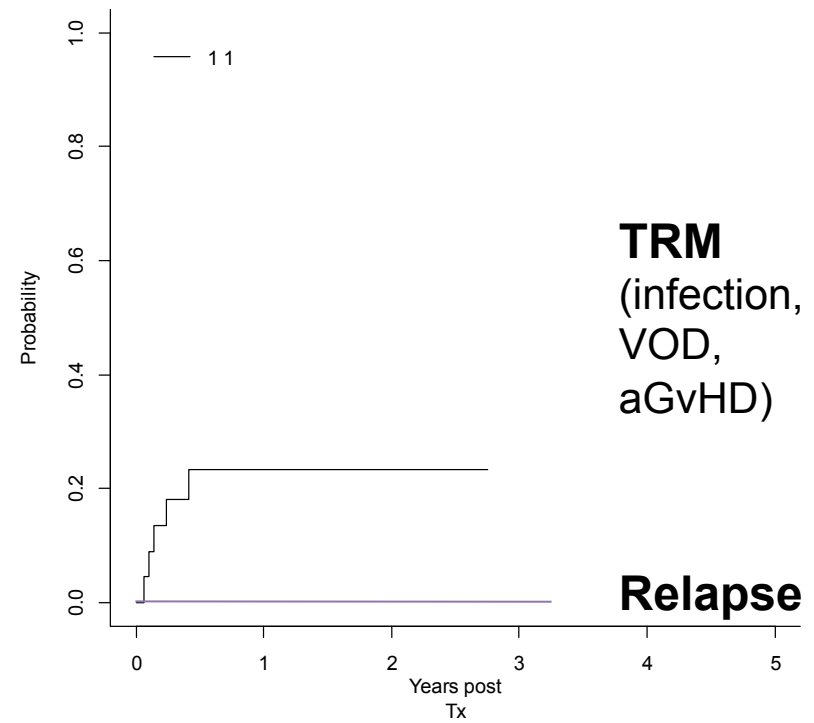
**Fludarabine** (30 mg/m<sup>2</sup> per day for 5 days)

**Cyclophosphamide** (15 mg/kg per day for 2 days)

## cGvHD/Leukemia-free Survival



## Cumulative Incidences





## T-cell replete HSCT: Relapse and DFS in high-risk AL patients

|                                            |                                       | Relapse (%) | DFS      |
|--------------------------------------------|---------------------------------------|-------------|----------|
| Gupta et al<br><i>Blood</i> 2010           | MSD                                   | 37          | 42       |
| CR1 AML with<br>unfav cytogen              | MUD                                   | 40          | 34       |
| Bashey et al<br><i>J Clin Oncol</i> 2013   | MSD                                   | 34          | 52       |
|                                            | MUD                                   | 34          | 53       |
|                                            | HAPLO<br>T-replete cyclo<br>post HSCT | 33          | 60       |
| Scaradavou et al<br><i>Blood</i> 2013      | Double UCB                            | 36          | 32       |
|                                            | Single UCB                            | 32          | 32       |
| Di Bartolomeo et al<br><i>Blood</i> . 2013 | HAPLO<br>T-replete GCSF<br>primed BM  | 26 (28)✦    | 44 (30)✦ |

# Conclusions

**Ex vivo-manipulated (T cell-depleted) HLA haplo-identical HSCT (with no post-transplant immune suppression):**

- 1. An opportunity to exploit the megadose stem cell engraftment effect to allow transplantation across HLA barriers w/o GvHD**
- 2. An opportunity to uncover Graft vs Leukaemia Natural Killer cell alloreactivity**
- 3. An opportunity to transfer Graft vs Leukemia conventional T cells w/o GvHD (“Treg/Tcon haplo trial”)**
- 4. An opportunity to uncover the “mother donor effect”.**

# Transplantation Program

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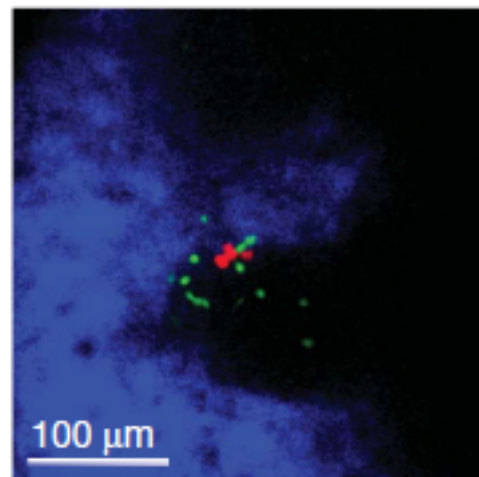
Massimo F Martelli



**The Leukemia &  
Lymphoma Society**  
*Fighting Blood Cancers*

# Regulatory T cell functions

- Treg infusion prevents GVHD in animal models and clinical trials (*Edinger et al, Nat Med 2003; Di Ianni et al, Blood 2011; Brunstein et al, Blood 2011; Martelli et al, Blood 2014*)
- Treg protect allogeneic hematopoietic stem cells from in vivo killing (*Fujisaki et al, Nature 2011*)

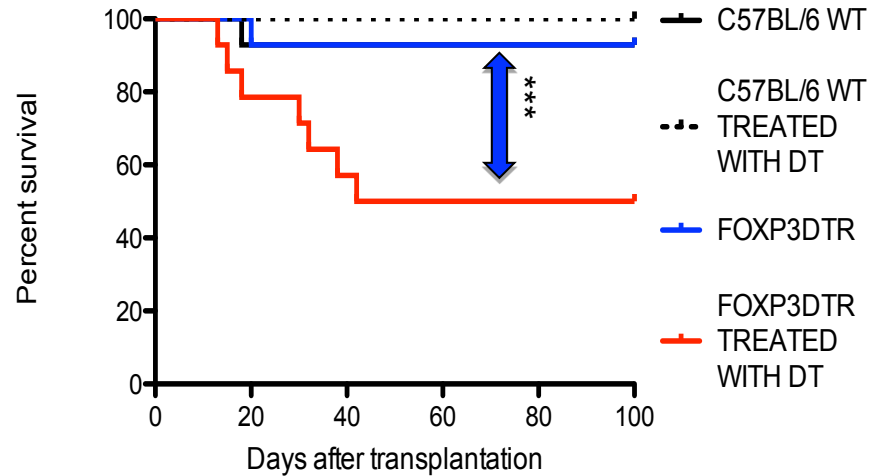


**Red** = HSCs  
**Green** = Tregs

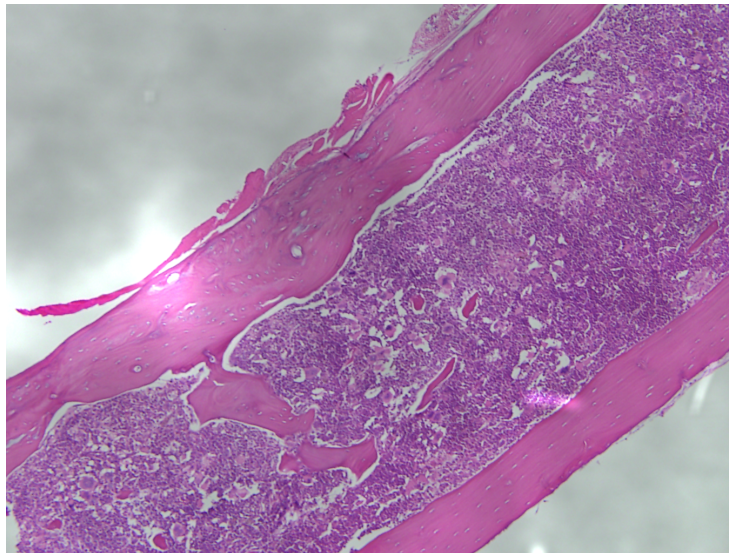
(*Fujisaki et al, Nature 2011*)

# Treg depletion causes rejection of allogeneic T depleted BMT

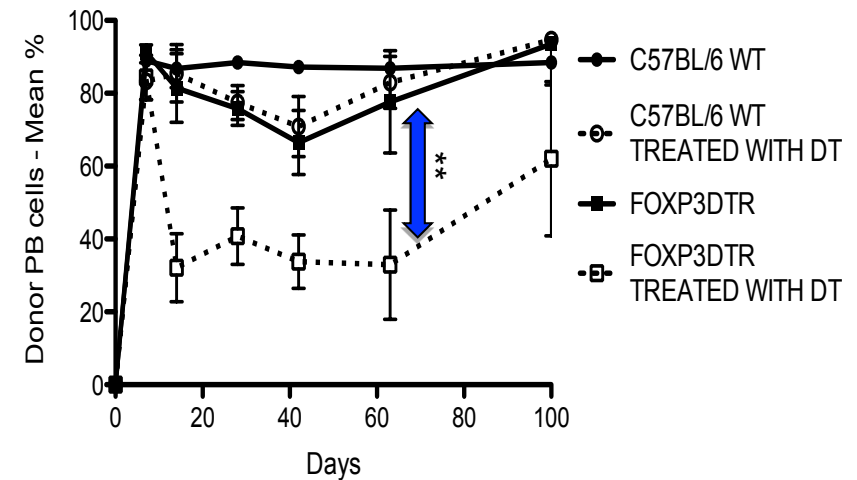
## Mouse Survival



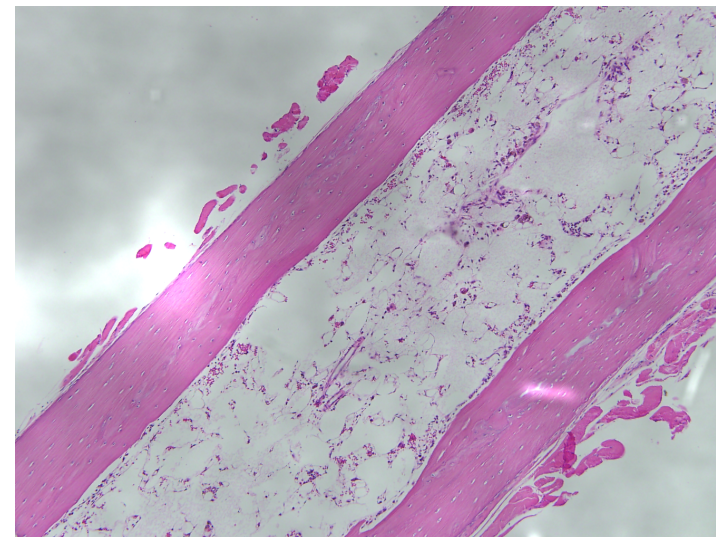
T depleted BM



## Peripheral Blood Chimerism



T depleted BM + Treg Depletion

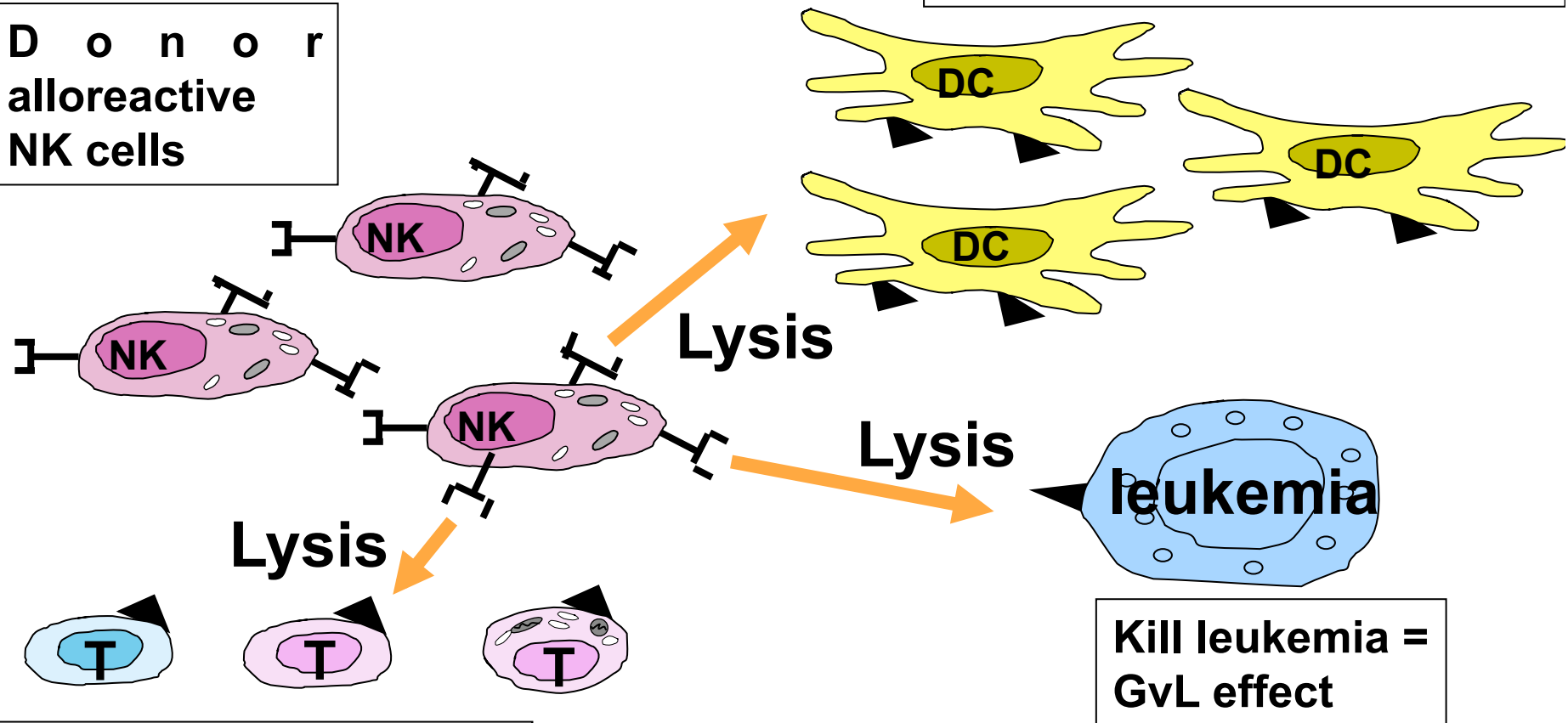


Pierini et al. *Nature Communications* 2017

Allo NK-based conditioning  
in murine transplant models:  
Ablation of recipient targets

Kill recipient APCs =  
protect from GvHD.  
T-replete mismatched BMT  
with 40 times the lethal  
dose of allogeneic T cells

D o n o r  
alloreactive  
NK cells



Kill recipient T cells =  
improve engraftment.  
Reduced-intensity BMT

*Ruggeri et al. Science 2002*



# Science

15 March 2002

## **Issue Highlights: NK Cells: Heroes in Bone Marrow Transplants**

### **Effectiveness of Donor Natural Killer Cell Alloreactivity in Mismatched Hematopoietic Transplants**

Loredana Ruggeri,<sup>1</sup> Marusca Capanni,<sup>1</sup> Elena Urbani,<sup>1</sup>  
Katia Perruccio,<sup>1</sup> Warren D. Shlomchik,<sup>2</sup> Antonella Tosti,<sup>1</sup>  
Sabrina Posati,<sup>1</sup> Daniela Rogaia,<sup>1</sup> Francesco Frassoni,<sup>3</sup>  
Franco Aversa,<sup>1</sup> Massimo F. Martelli,<sup>1</sup> Andrea Velardi<sup>1\*</sup>

**>2000  
citations**

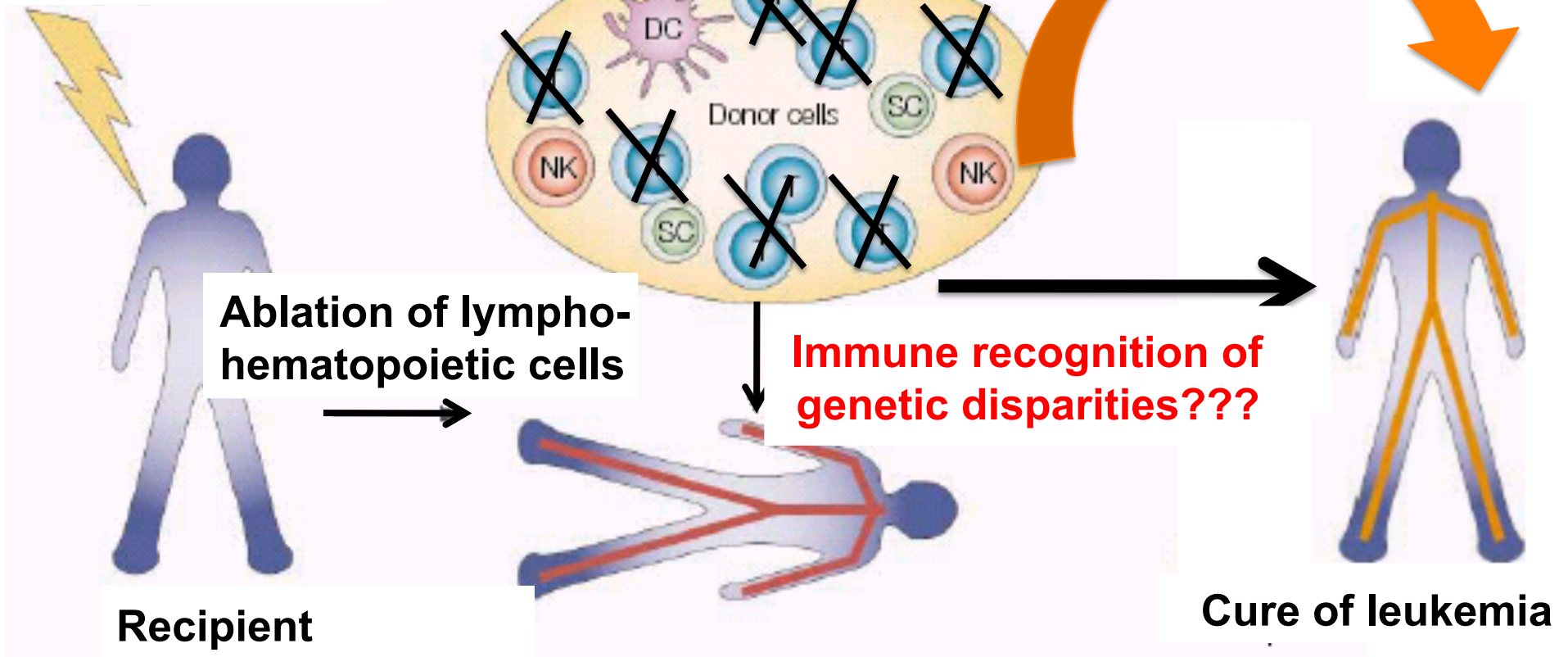
**Editorial**

**A Perfect Mismatch**

**Klass Kärre (Karolinska Institutet)**

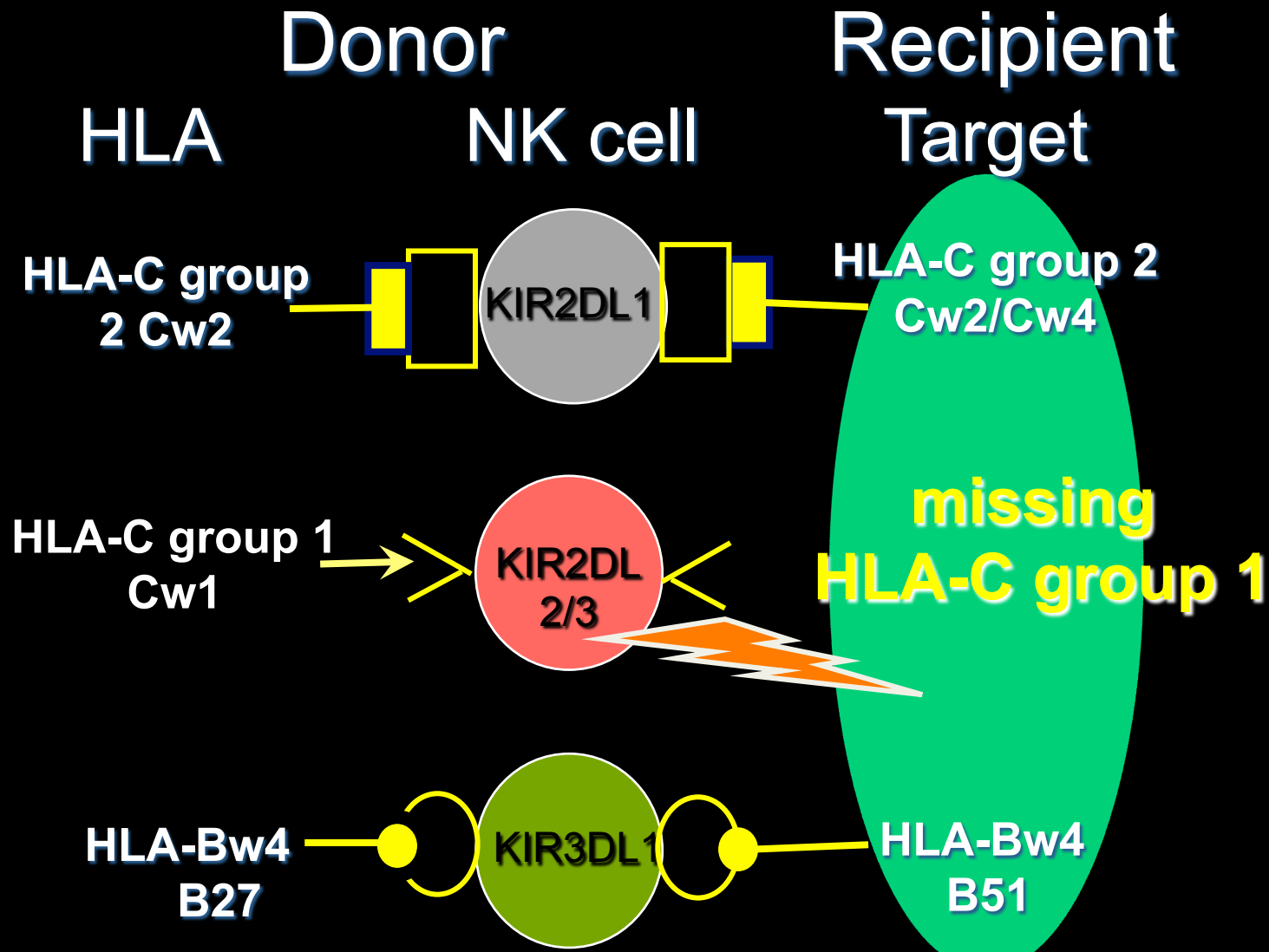
## Donor Hematopoietic Cell Graft

Conditioning regimen





# Inhibitory KIR recognition of self HLA class I educates NK cells to become fully functional and alloreactive



## Determinants of Antileukemia Effects of Allogeneic NK Cells

Wing Leung, Rekha Iyengar, Victoria Turner, Peter Lang,  
Peter Bader, Paul Conn, Dietrich Niethammer and Rupert  
Handgretinger

T cell depleted megadose  
stem cell transplant

*J Immunol* 2004; 172:644-650; ;  
<http://www.jimmunol.org/content/172/1/644>

