



## In search of new markers in chronic lymphocytic leukemia and lymphoma

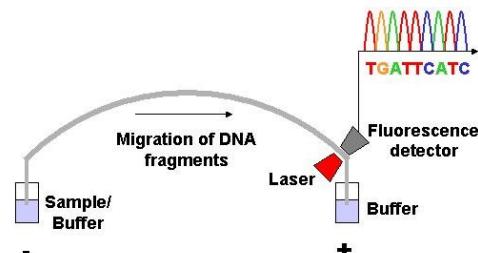
Gianluca Gaidano, MD, PhD

Divisione di Ematologia  
Dipartimento di Medicina Traslazionale  
Università degli Studi del Piemonte Orientale  
Novara-Italia

# Decoding the genome of human cancers

The availability of the human genome sequence has raised the possibility that DNA sequencing could become the primary tool to explore cancer genomes

## Sanger sequencing



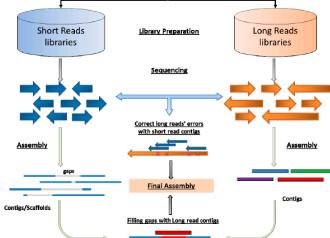
## Candidate gene approach (human bias)

Based on amplification of the DNA fragment to be sequenced by DNA polymerase and incorporation of modified nucleotides

Allows only a single-gene approach and limited sensitivity

Since the early 1990 has dominated the “sequencing scenario”

## Next Generation Sequencing (NGS)



## Whole genome (“unbiased”)

Is a high-throughput technology that parallelizes the sequencing process, producing thousands or millions of sequences at once

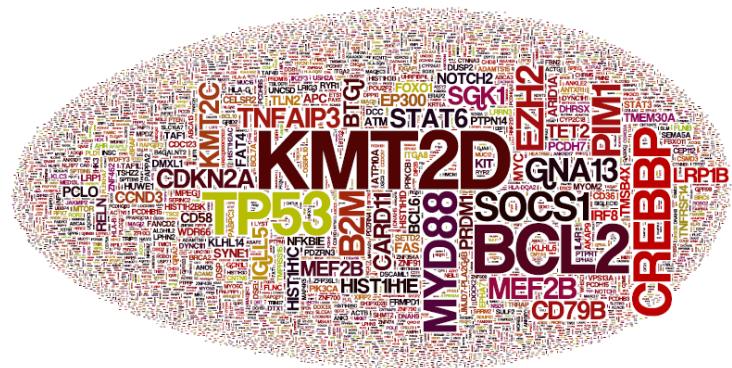
Many different methods have been developed

Allows a genome-wide approach and high sensitivity

Transformed cancer genomics

# NGS reveals potential new therapeutic targets in lymphoid malignancies

## DLBCL



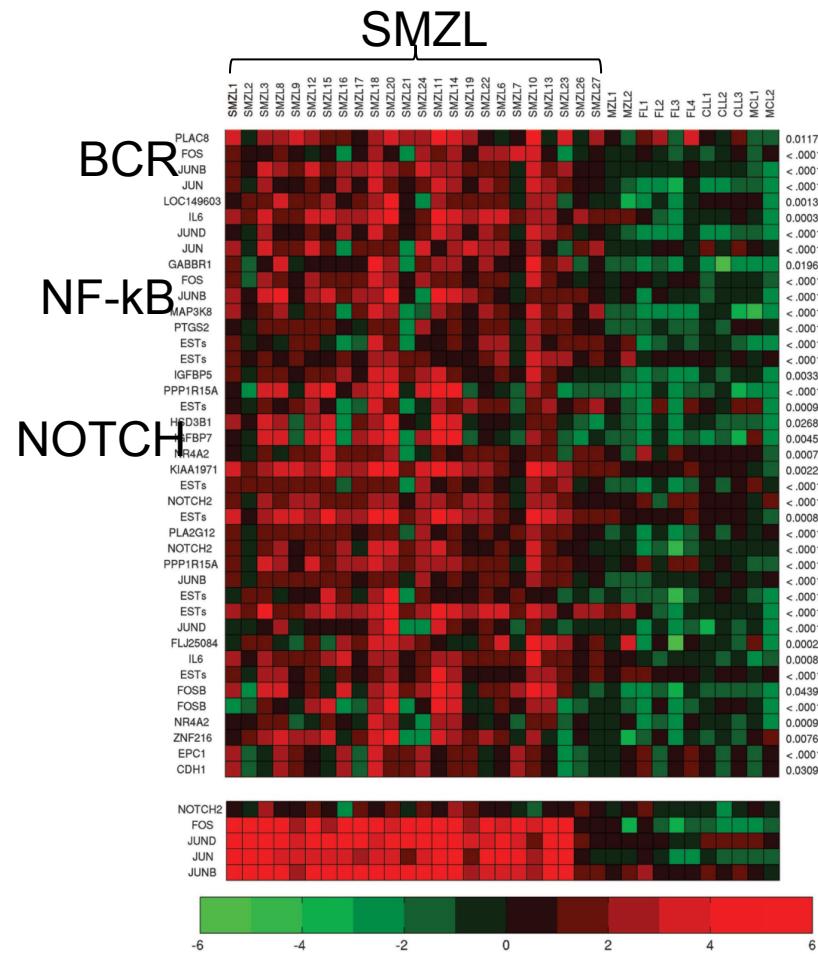
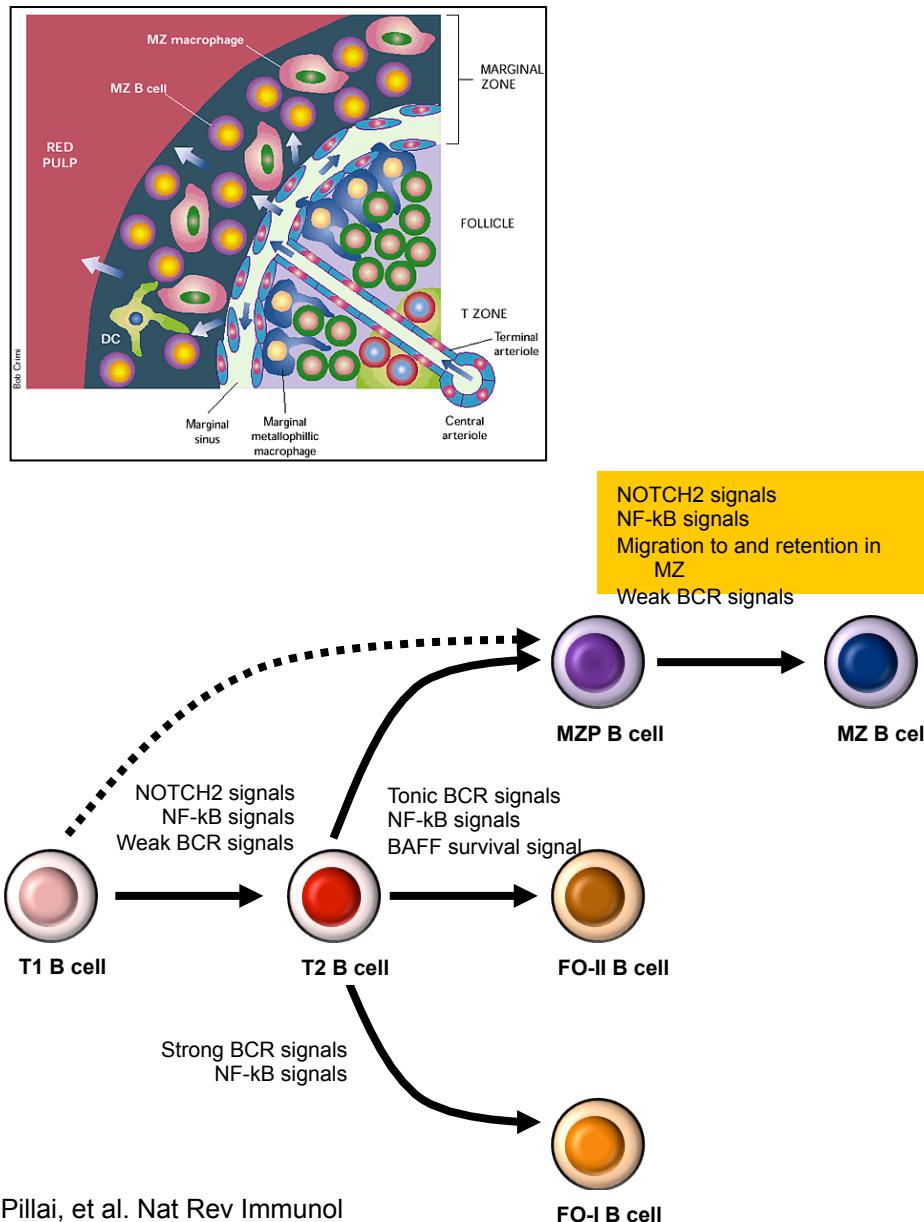
## cHL



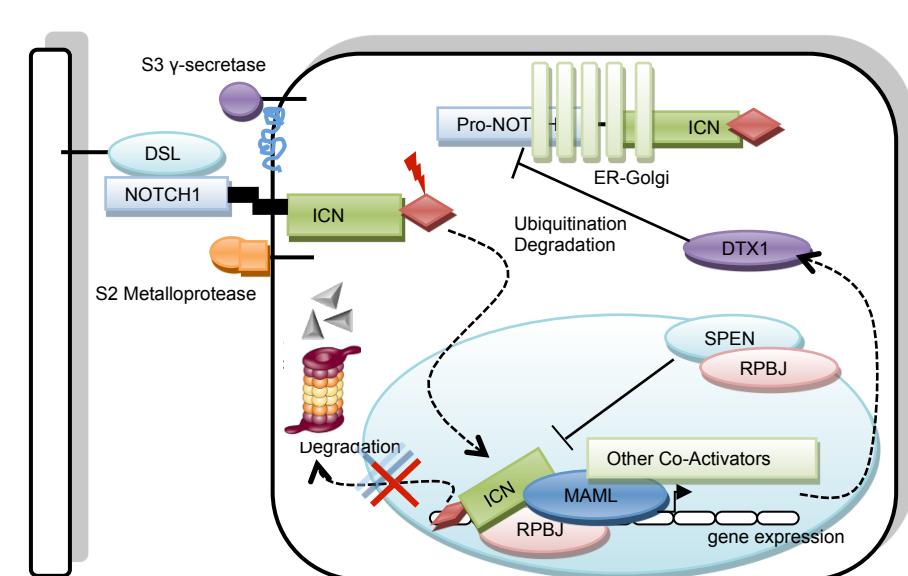
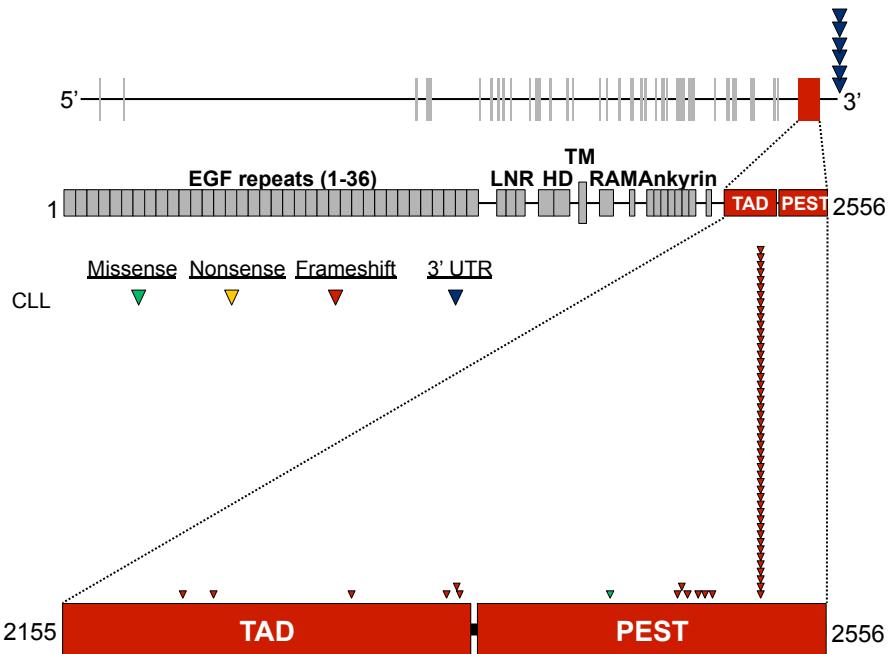
## CLL



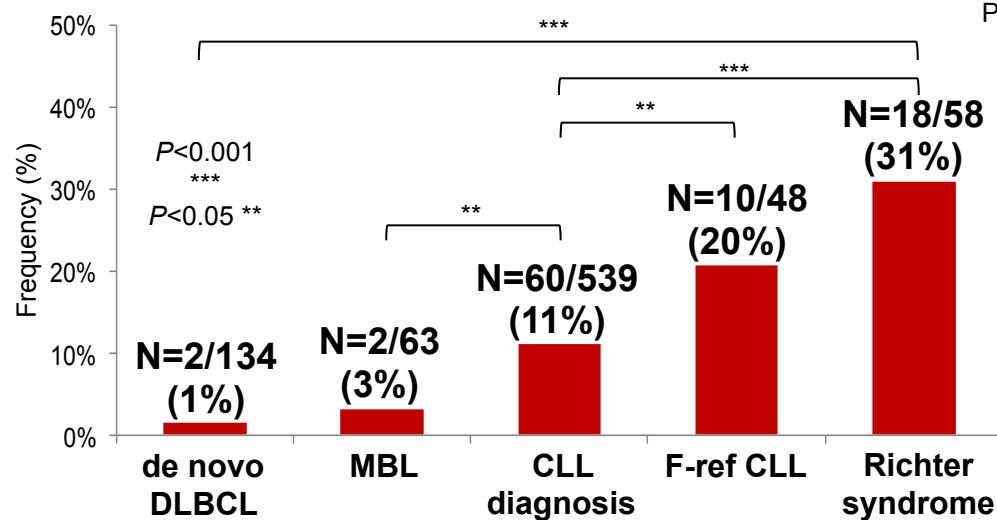
# Gene expression profiling allows the identification of potential targets not otherwise identified by candidate approaches



# NOTCH1 mutations in CLL



Arruga F et al. Leukemia 2013  
Arruga F et al. Leukemia 2016  
Fabbri G et al. PNAS 2017  
Pozzo F et al. Leukemia 2017



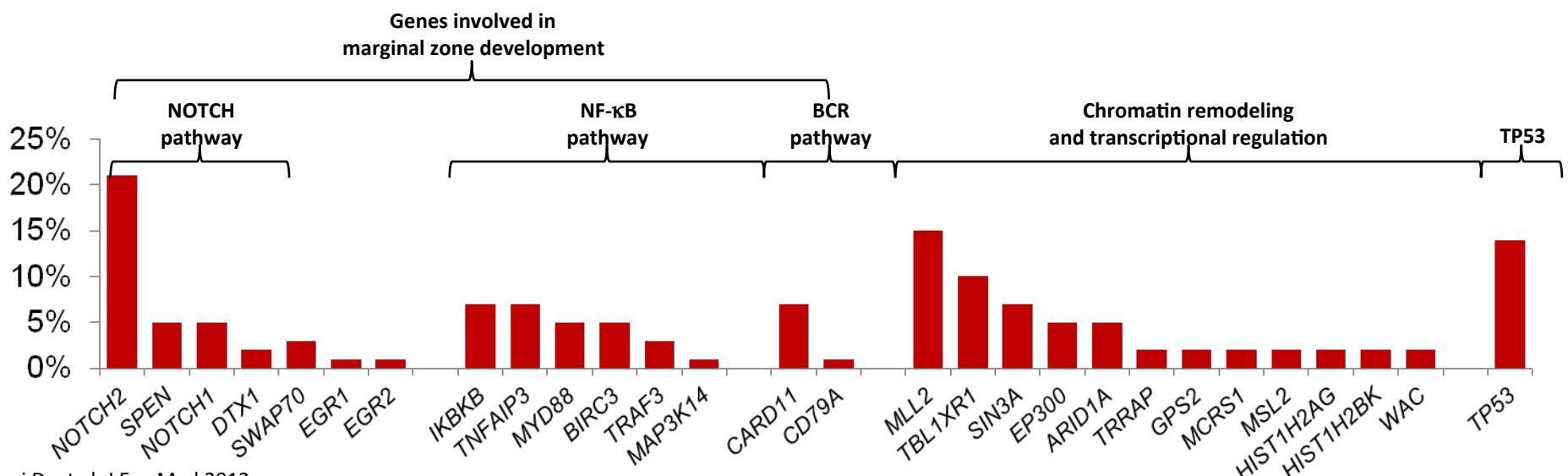
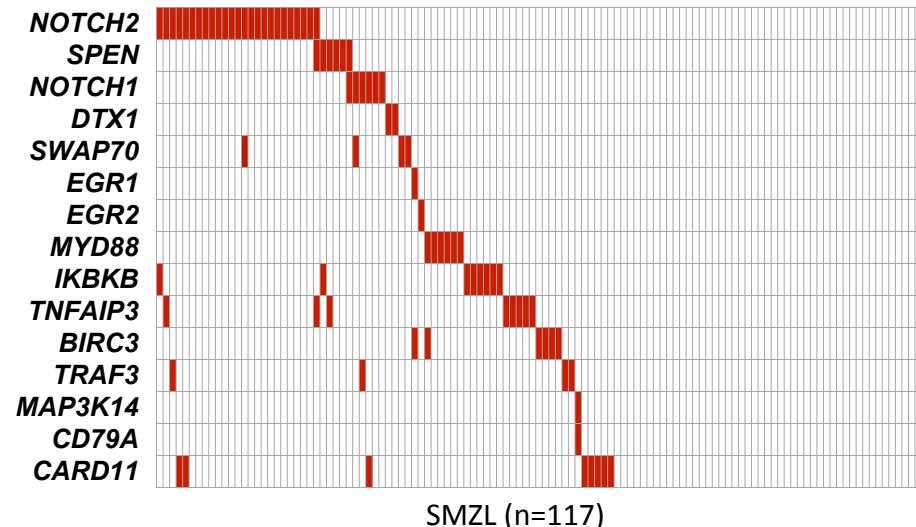
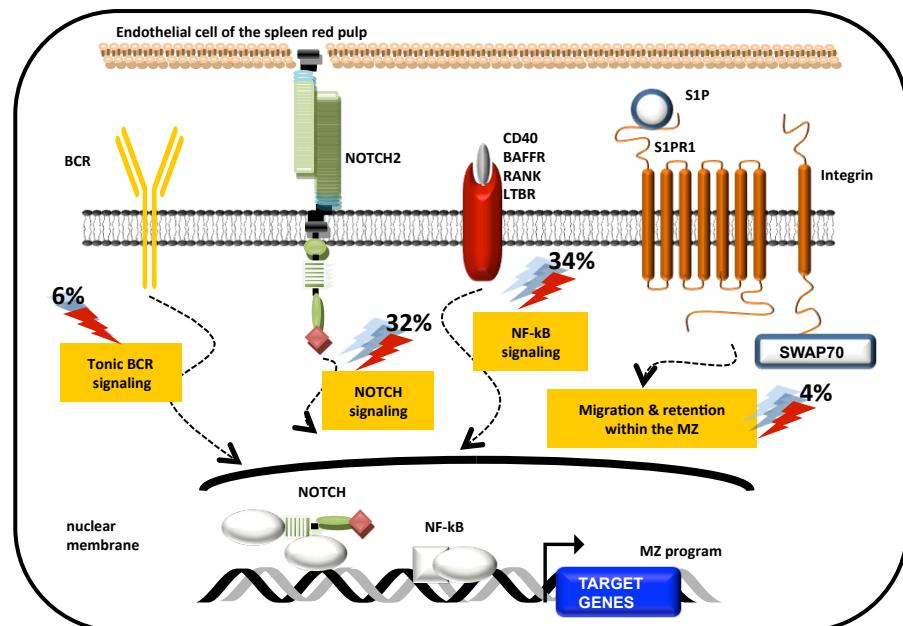
**MYC (proliferation)**  
**DUSP22 (migration)**  
**CD20 (anti CD20)**

Fabbri, et al. J Exp Med 2011  
Puente, et al. Nature 2011  
Wang, et al. New Engl J Med 2011  
Rossi, et al. Blood 2012  
Rasi, et al. Haematologica 2012

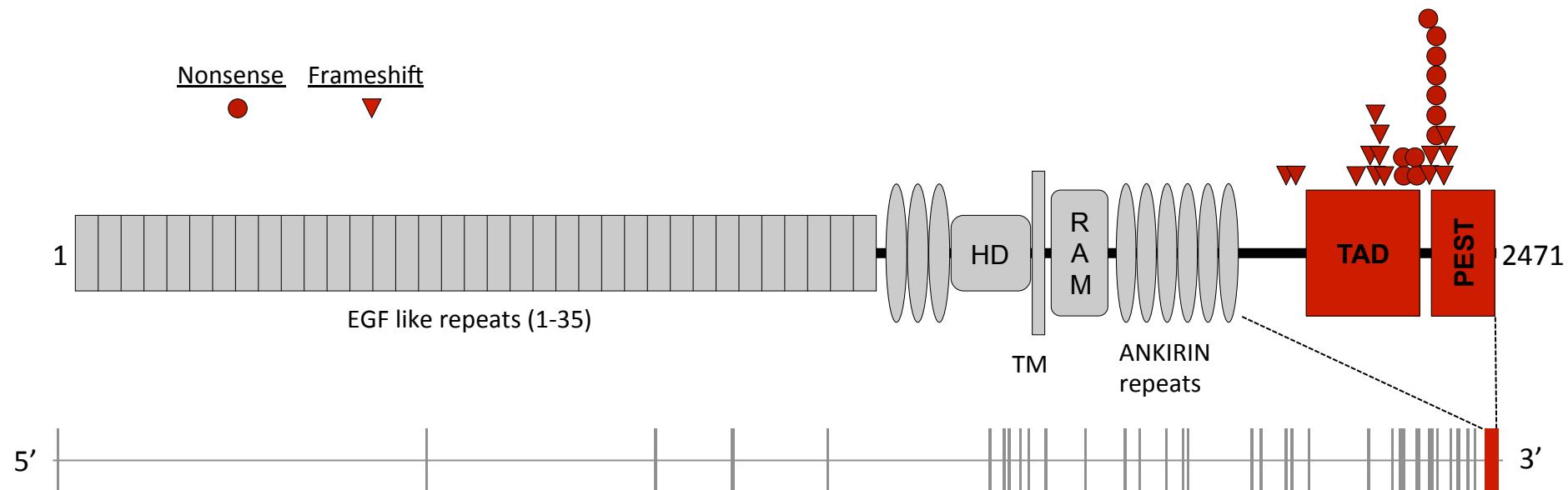
# Targeting NOTCH1 with Brontictuzumab

- A phase I study for patients with previously treated CLL, MCL, DLBCL, anaplastic large cell lymphoma, transformed mycosis fungoides, Sezary Syndrome, T-cell acute lymphoblastic leukemia, or other hematologic malignancies with known NOTCH1 mutations
- 24 patients were enrolled and 23 have been treated in 4 dose escalation cohorts at doses of 0.25 mg/kg every 4 weeks (Q4W), 0.5 mg/kg Q4W, 1 mg/kg Q4W, and 1 mg/kg every 2 weeks
- The most frequent treatment-related adverse events of any grade were: diarrhoea (22%), fatigue (17%), anemia (13%), abdominal pain (9%), nausea (9%), vomiting (9%)
- One patients with transformed mycosis fungoides had partial response to treatment, after receiving 1 mg/kg Q2W. Two additional pts had stable disease as best overall response (1 with MCL, and 1 with TMF)
- The mAb is well tolerated and has moderate antitumor activity

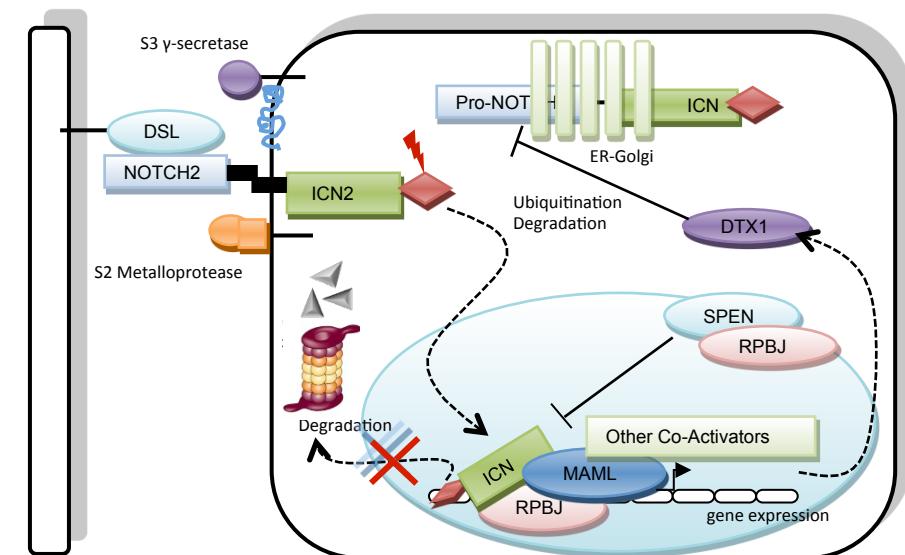
# Mutations of genes regulating MZ development characterize ~60% SMZL



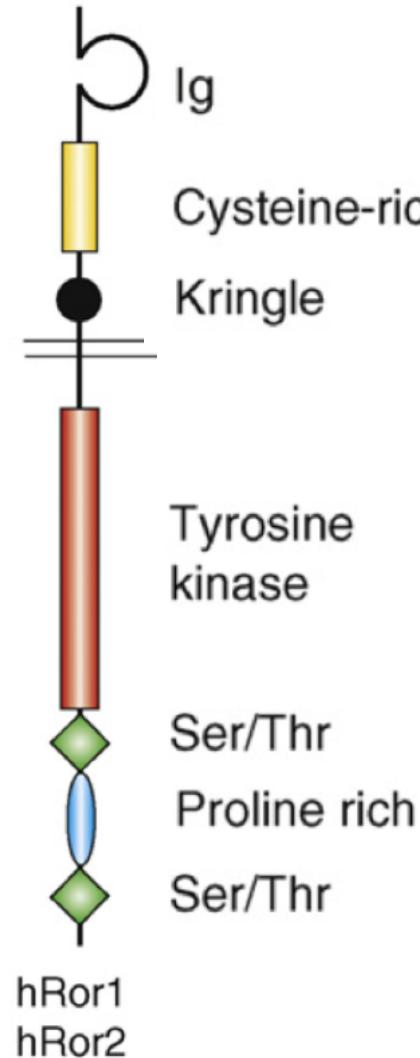
# ***NOTCH2* is the most frequently mutated gene (~20%) in SMZL**



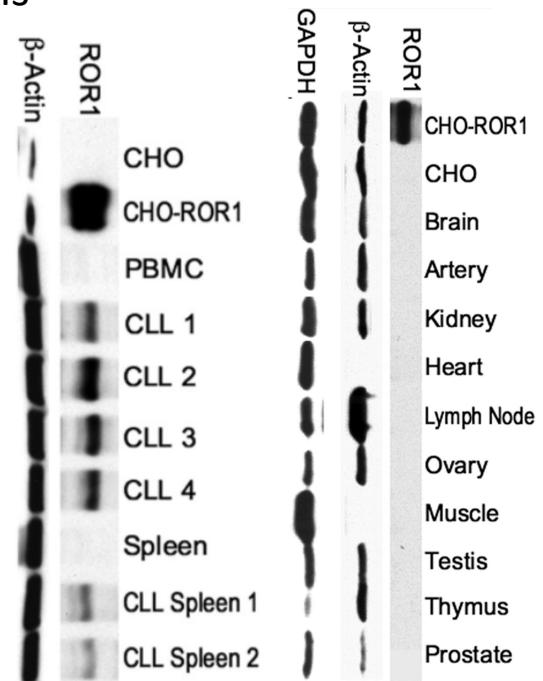
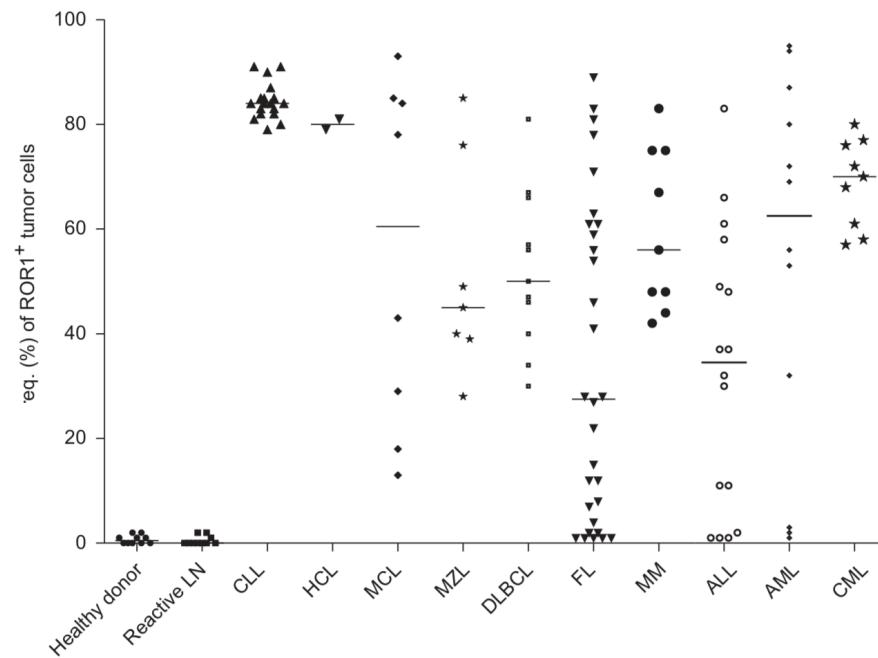
- Mutated SMZL=25/117 (21.3%)
- Hotspot in exon 34
- All truncating mutations (14 indels; 11 nonsense)
- Recurrent p.R2400\* (6/25, 24% mutations)
- Somatic in all instances



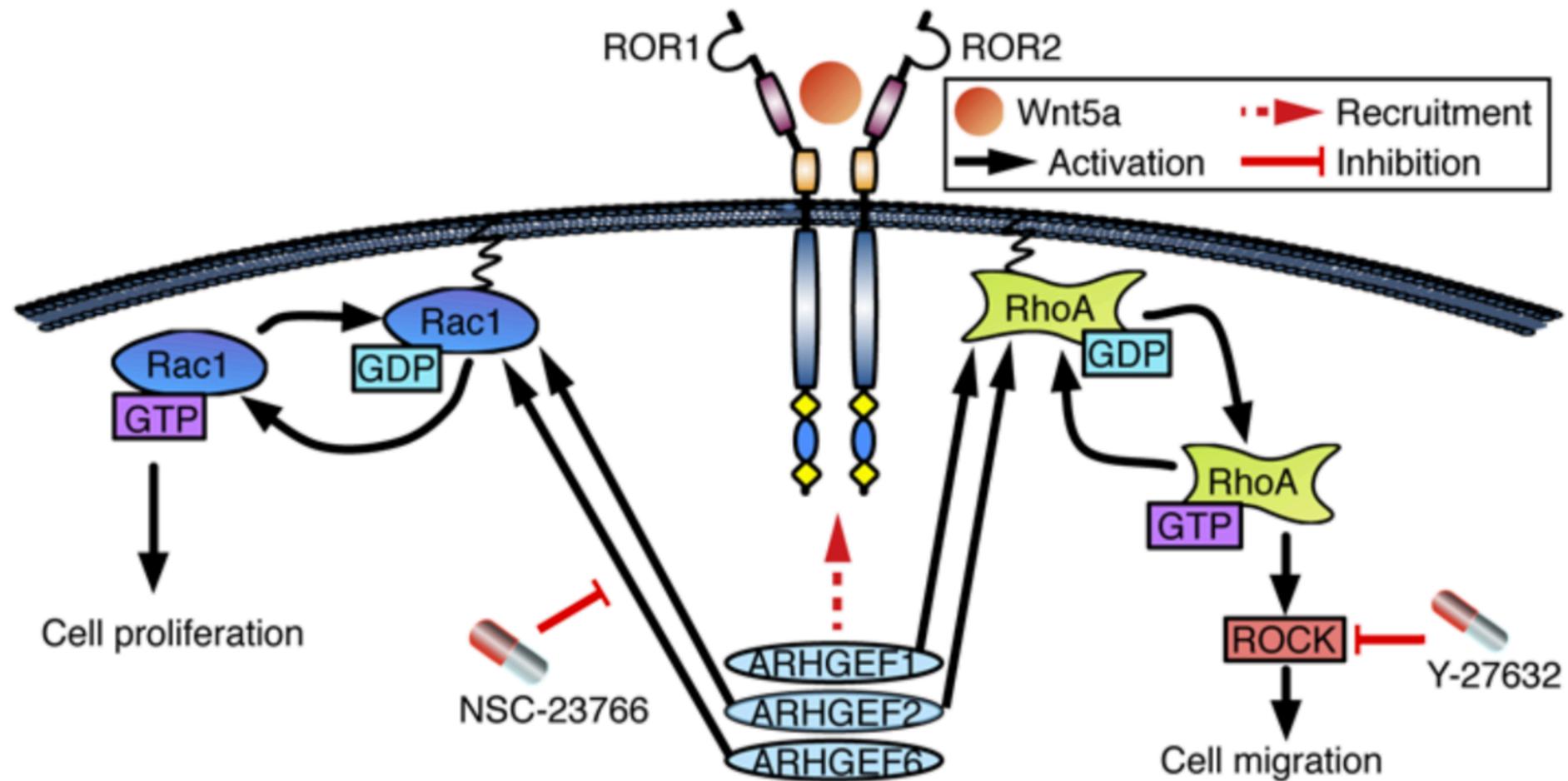
# Receptor tyrosine kinase-like orphan receptor 1 (ROR1)



- Evolutionarily conserved, type-I membrane protein serving as receptor for Wnt5a
- Has a tyrosine-kinase-like and Ser/Thr-rich domains
- Expressed primarily during embryogenesis (oncoembryonic protein)
- ROR1 is expressed on nearly all cases of CLL
- ROR1 is NOT expressed on CD5 B cells of healthy adults, in normal adult tissues and in hematopoietic stem cells

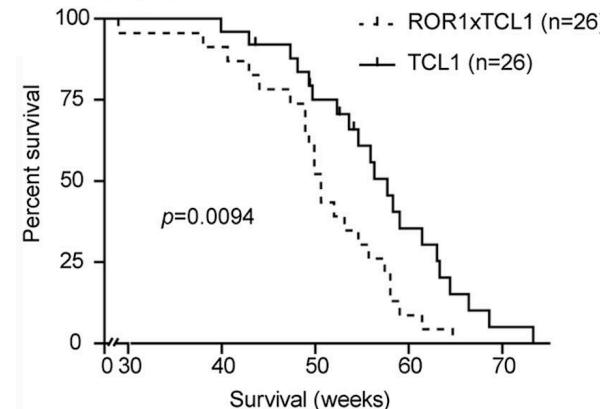
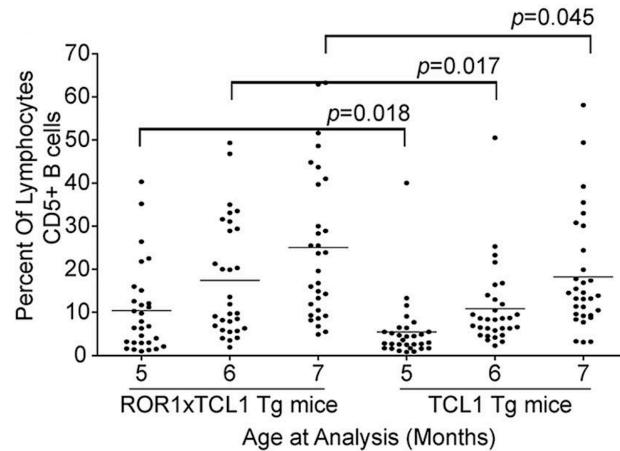


# ROR1 pathway

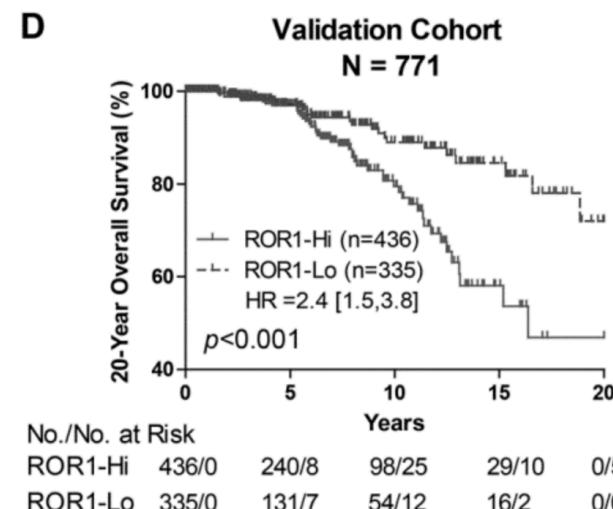
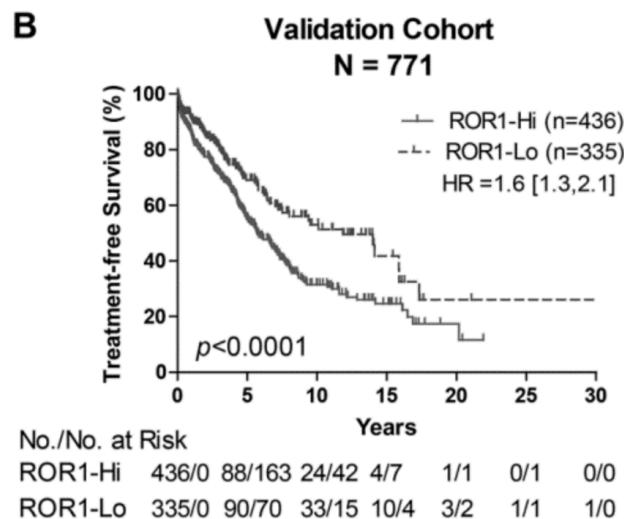


# ROR1 expression promotes CLL cell growth and affects CLL survival

## ROR1 enhances CLL growth in the TCL1 model

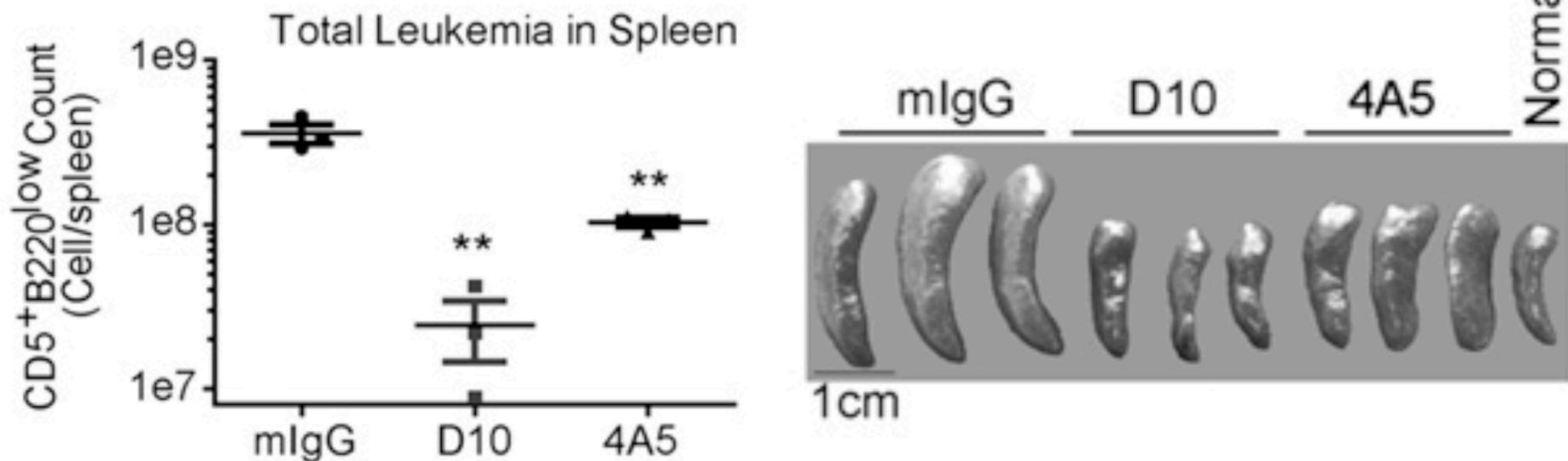


## ROR1 expression affects CLL outcome



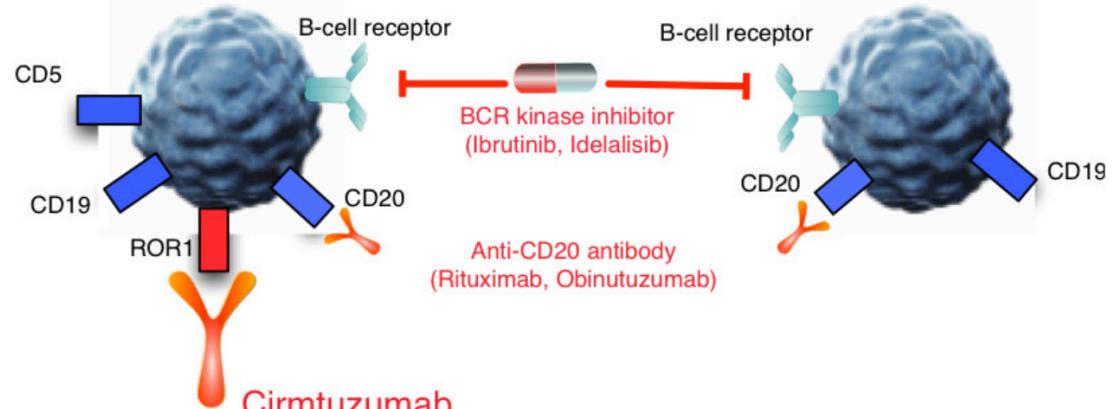
# Immunologic targeting of ROR1

## Anti-ROR1 mAbs reduce spleen size in ROR1-TCL1 animal models



CLL B-cell

Normal B-cell



**Cirmtuzumab (UC-961): a humanized IgG1 monoclonal antibody able to block ROR1 signaling**

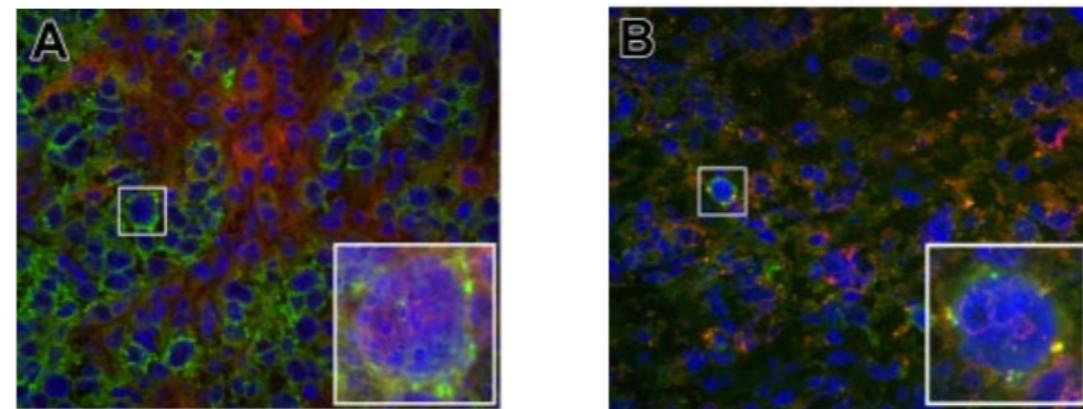
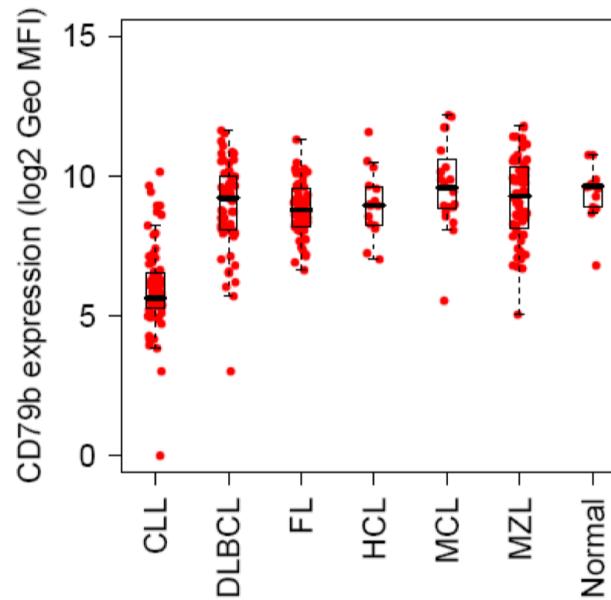
# Cirmtuzumab phase 1 trial in R/R CLL

---

- Dose-escalation trial in patients with relapsed/refractory CLL
- 25 patients were enrolled and received four bi-weekly infusions of cirmtuzumab at doses ranging from 0.015 to 20mg/kg
- Cirmtuzumab was safe and well-tolerated. There were no drug-related SAE, or infusion-related reactions
- Pharmacokinetics studies demonstrated that at higher doses was 32.4 days (SD 1.9 days) and cirmtuzumab levels remained detectable in the plasma until approximately 3 months following the final infusion
- 16 of 19 evaluable patients had stable disease 2 months after the final infusion of the drug and the median time to requiring next treatment due to progressive disease was 259 days

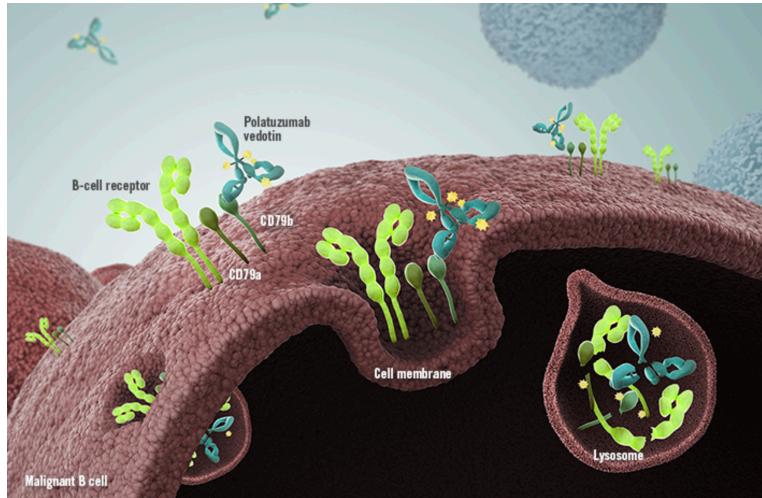
# The CD79b antigen

- CD79b is a cell-surface antigen expressed in all mature B cells except plasma cells
- It is expressed in a majority of B cell malignancies, including nearly all NHL and CLL
- Relating specifically to DLBCL, CD79b is expressed in essentially all tumor cells, enabling its use in all subtypes of DLBCL
- Antibodies bound to CD79b are rapidly internalized, which makes CD79b ideally suited for targeted delivery of cytotoxic agents

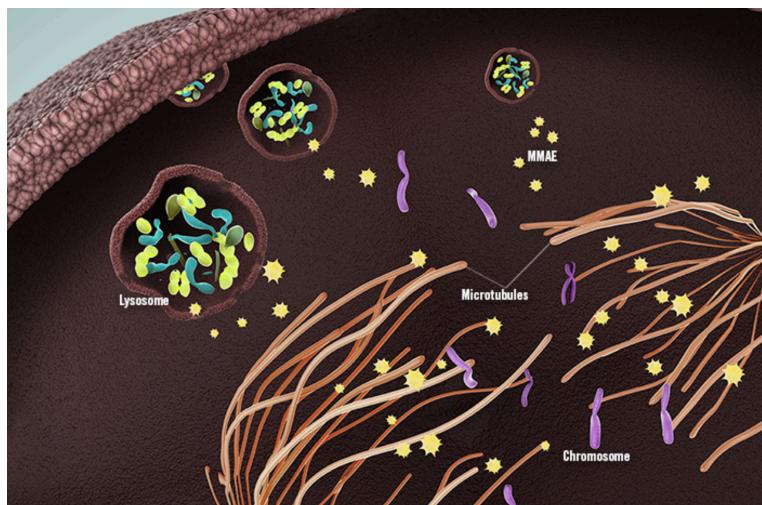


Olejniczak *et al.*, *Immunol Invest.* 2006; Polson *et al.*, *Blood.* 2007

# Polatuzumab Vedotin

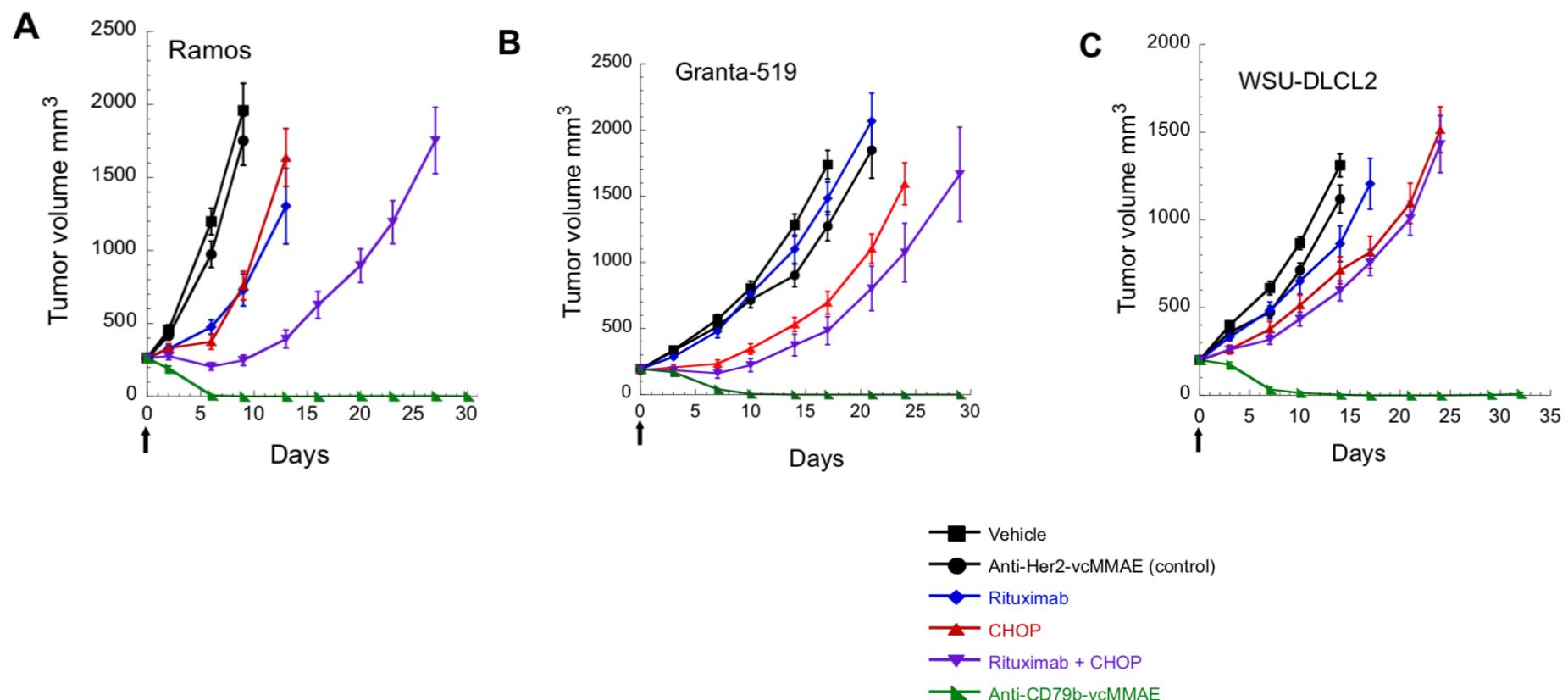


- Polatuzumab vedotin is an antibody-drug conjugate (ADC)
- It contains a humanized IgG1 anti-human CD79b monoclonal antibody and a potent anti-mitotic agent, mono-methyl auristatin E (MMAE), linked through a protease-labile linker, maleimidocaproyl-valine-citrulline-p-aminobenzylloxycarbonyl.
- MMAE then binds to tubulin and disrupts the microtubule network, resulting in inhibition of cell division and cell growth



# Polatuzumab Vedotin: preclinical activity

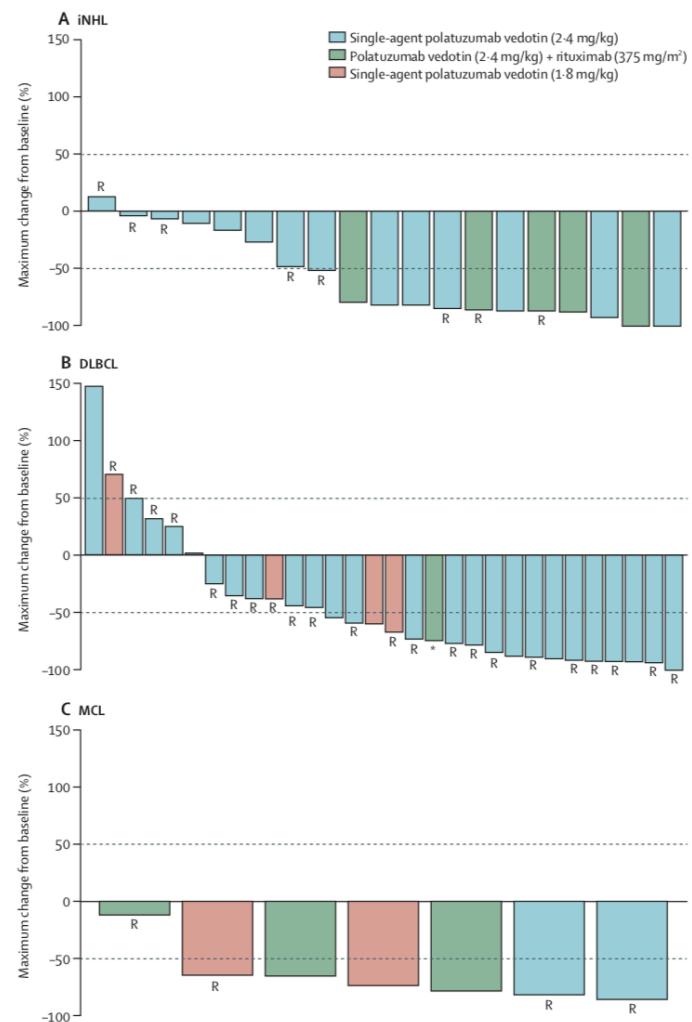
The administration of the anti-CD79b-vcMMAE induces sustained complete tumor remission in xenografts, whereas R-CHOP slows tumor growth or decreases tumor volumes



# Polatuzumab Vedotin phase 1 clinical trial for NHL or CLL not suitable for a curative therapy

- 95 patients
- The most common grade 3-4 adverse events were neutropenia (40%), anaemia (11%), and peripheral sensory neuropathy (9%)
- Objective responses were noted in 23 of 42 activity-evaluable patients with NHL given single-agent polatuzumab vedotin (14 of 25 with DLBCL, seven of 15 with indolent NHL, and two with MC)
- No objective responses were observed in patients with CLL

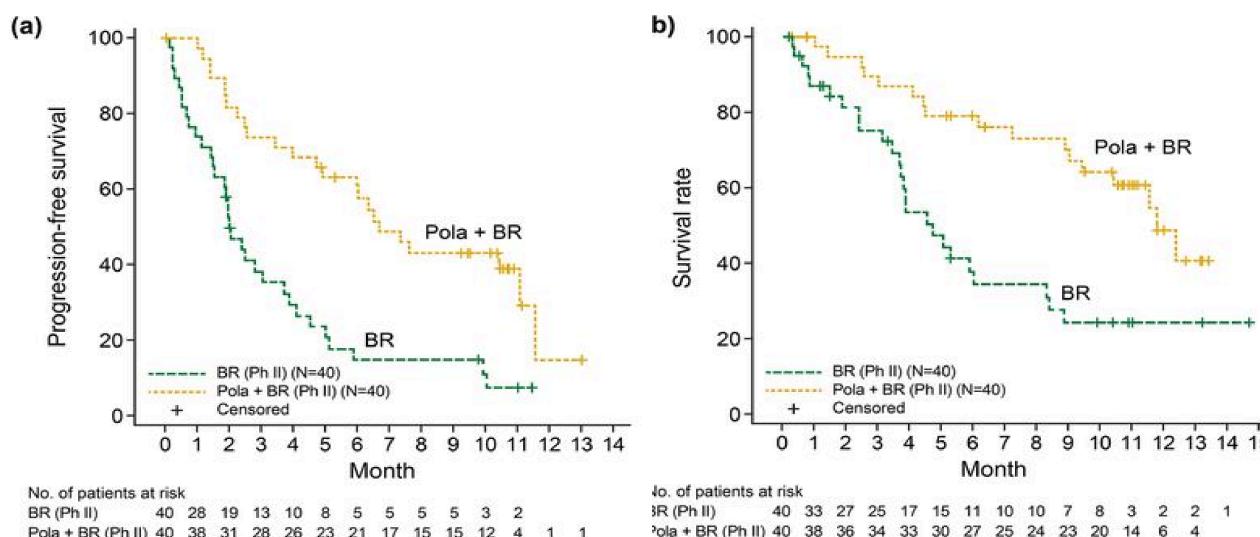
	NHL*			Chronic lymphocytic leukaemia		NHL treated with combination (n=9)
	<1.8 mg/kg (n=17)†	1.8 mg/kg (n=6)	2.4 mg/kg (n=45)§	<1.8 mg/kg (n=13)‡	1.8 mg/kg (n=5)	
Neutropenia						
Grade 1-2	0	1 (17%)	2 (4%)	1 (8%)	0	1 (11%)
Grade 3	4 (24%)	1 (17%)	11 (24%)	1 (8%)	0	1 (11%)
Grade 4	1 (6%)	2 (33%)	7 (16%)	2 (15%)	0	4 (44%)
Diarrhoea						
Grade 1-2	4 (24%)	2 (33%)	18 (40%)	2 (15%)	1 (20%)	4 (44%)
Grade 3	0	1 (17%)	1 (2%)	0	2 (40%)	0
Grade 4	0	0	1 (2%)	0	0	0
Pyrexia						
Grade 1-2	5 (29%)	2 (33%)	12 (27%)	3 (23%)	4 (80%)	4 (44%)
Grade 3	0	0	1 (2%)	0	0	1 (11%)
Nausea						
Grade 1-2	5 (29%)	3 (50%)	16 (36%)	1 (8%)	1 (20%)	6 (67%)
Peripheral sensory neuropathy						
Grade 1-2	4 (24%)	3 (50%)	12 (27%)	0	0	6 (67%)
Grade 3	1 (6%)	1 (17%)	3 (7%)	0	0	0
Grade 4	0	0	1 (2%)	0	0	0
Fatigue						
Grade 1-2	5 (29%)	2 (33%)	5 (11%)	2 (15%)	1 (20%)	2 (22%)
Grade 3	2 (12%)	1 (17%)	0	2 (15%)	0	1 (11%)
Anaemia						
Grade 1-2	2 (12%)	0	4 (9%)	3 (23%)	0	0
Grade 3	0	1 (17%)	5 (11%)	1 (8%)	0	1 (11%)
Grade 4	0	0	0	0	1 (20%)	1 (11%)



# Polatuzumab Vedotin phase 1b/2 clinical trial

## Addition of Polatuzumab Vedotin to Bendamustine and Rituximab (BR) Improves Outcomes in Transplant-Ineligible Patients with R/R DLBCL Versus BR Alone

	Pola + BR (n=40)		BR (n=40)	
	Best	PRA	Best	PRA
Overall response rate	28 (70)	19 (48)	13 (33)	7 (18)
CR	23 (58)	17 (43)	8 (20)	6 (15)
PR	5 (13)	2 (5)	5 (13)	1 (3)
SD	1 (3)	0	2 (5)	1 (3)
PD	7 (18)	13 (33)	22 (55)	26 (65)
Missing/UE	4 (10)	8 (20)	3 (8)	6 (15)
Median duration of response, mo (95% CI)	8.8 (4.5, NR)		3.7 (2.6, 7.8)	
Median PFS, mo (95% CI)	6.7 (4.9, 11.1)		2.0 (1.5, 3.7)	
stratified HR (95% CI) <sup>1</sup>		0.31 (0.18, 0.55)		
p-value <sup>2</sup>		<0.0001		
Median OS, mo (95% CI)	11.8 (9.5, NR)		4.7 (3.7, 8.3)	
stratified HR (95% CI) <sup>1</sup>		0.35 (0.19, 0.67)		
p-value <sup>2</sup>		0.0008		



# Polatuzumab Vedotin phase 1b/2 clinical trial

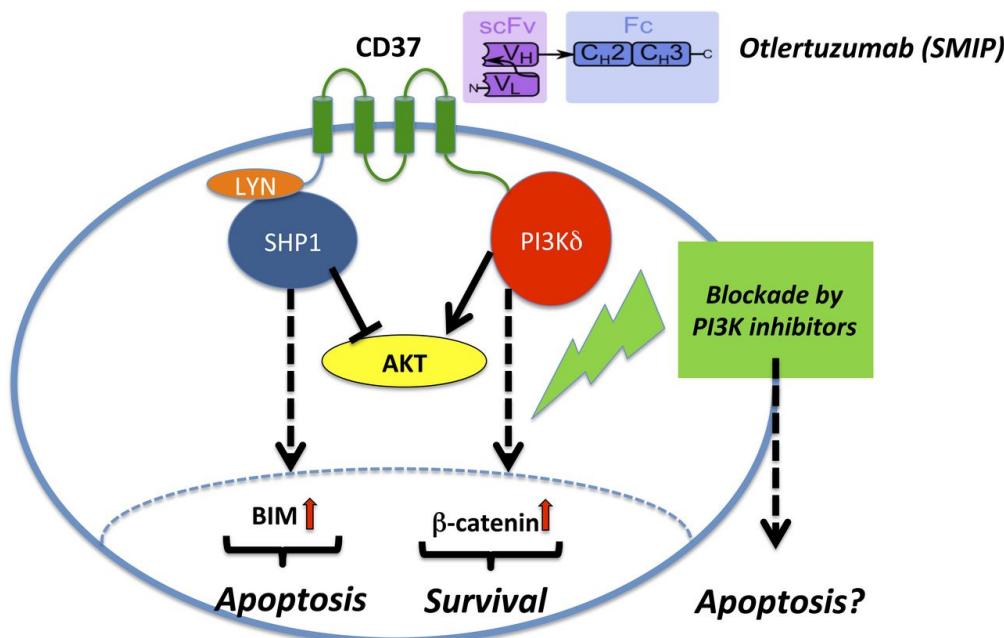
## Polatuzumab Vedotin Combined with Obinutuzumab, Cyclophosphamide, Doxorubicin, and Prednisone (G-CHP) for Patients with Previously Untreated (DLBCL)

- 21 patients with previously untreated DLBCL had been enrolled to receive G-CHP + pola at 1.8 mg/kg every 21 days for a total of 6 or 8 cycles
- The most common grade 3/4 adverse events were neutropenia (38%), anemia (14%) and thrombocytopenia (14%)
- Efficacy assessed at end of treatment by PET-CT, demonstrated overall response of 91% with 81% CR and 10% PR

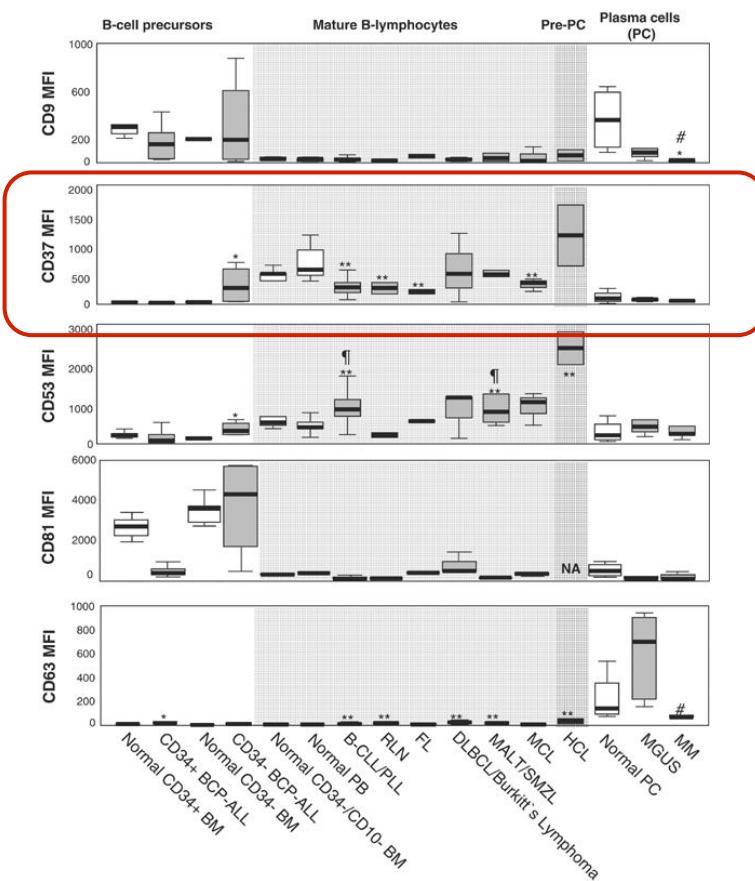
Common Adverse Events (all grades), n (%)		Grade 3/4 Adverse Events > 1 patient, n (%)	
Fatigue	14 (67)	Neutropenia	8 (38)
Diarrhea	12 (57)	Febrile neutropenia	7 (33)
Nausea	12 (57)	Thrombocytopenia	3 (14)
Neutropenia	10 (48)	Anemia	3 (14)
Alopecia	8 (38)	Leukocytosis	3 (14)
Febrile neutropenia	7 (33)	Hypokalemia	3 (14)
		Pancytopenia	2 (10)
		Pneumonia	2 (10)

# The CD37 antigen

CD37 is a member of the tetraspanin proteins and is involved in cell adhesion, motility and apoptosis

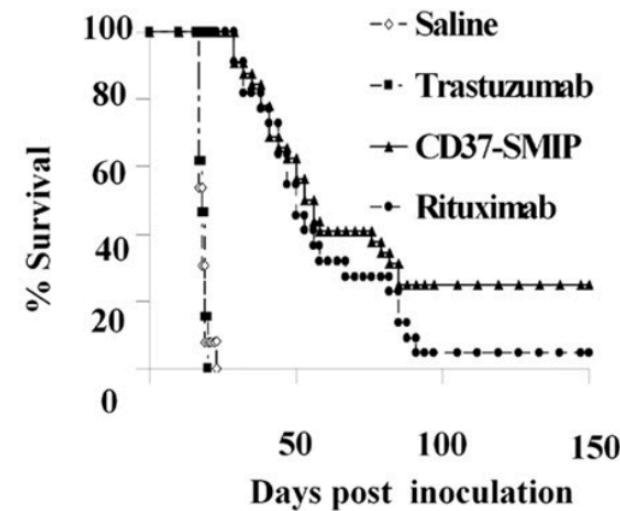
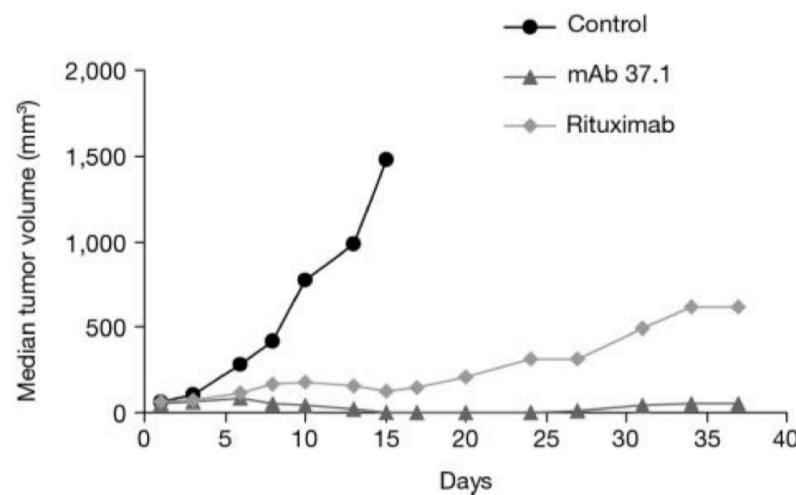
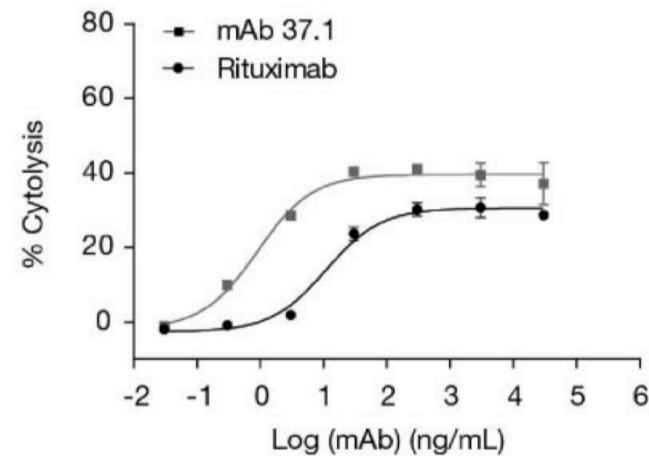
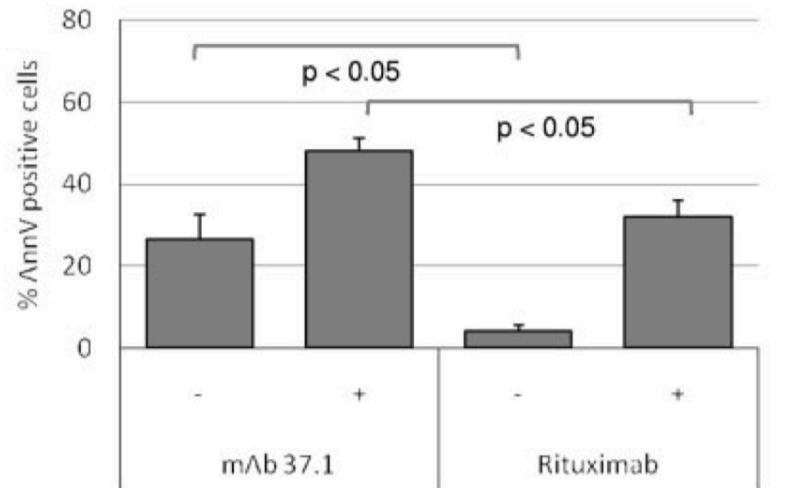


CD37 is expressed on B-cells from the precursor to mature B-cell stages, and is also expressed in NHL and CLL



Barrena et al., Leukemia. 2005

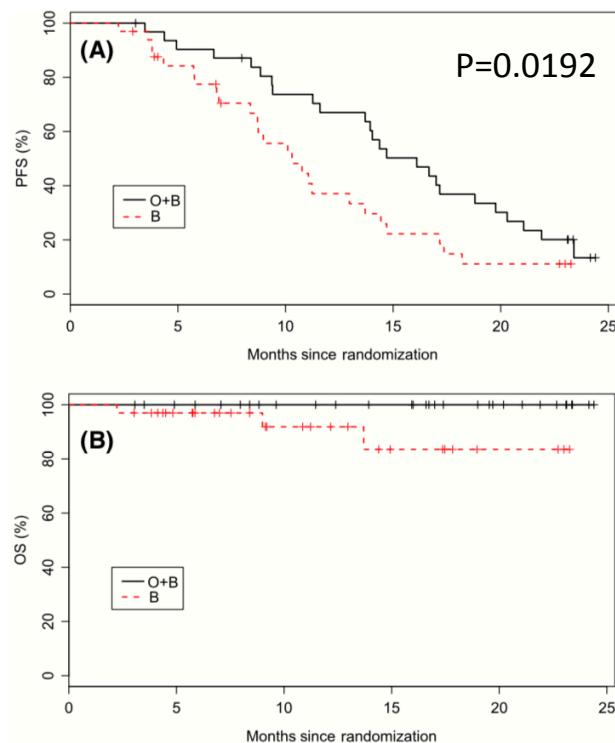
# Targeting CD37 with specific antibodies



Heider *et al.*, *Blood*. 2011; Zhao *et al.*, *Blood*. 2007

# Targeting CD37 with otlertuzumab in CLL

Response by IWCLL criteria (Hallek <i>et al</i> , 2008)	Otlertuzumab + bendamustine (N = 32)		Bendamustine only (N = 33)		Rate ratio (95% CI†)	P-value‡
	n	% (95% CI)	n	% (95% CI*)		
Overall response	22	68.8 (50.0–83.9)	13	39.4 (22.9–57.9)	1.75 (1.08–2.83)	0.026
Complete response	3	9.4 (2.0–25.0)	1	3.0 (0.1–15.8)		
Partial response	19	59.4 (40.6–76.3)	12	36.4 (20.4–54.9)		
Stable disease	5	15.6 (5.3–32.8)	10	30.3 (15.6–48.7)		
Progressive disease	5	15.6 (5.3–32.8)	10	30.3 (15.6–48.7)		



	Otlertuzumab + bendamustine (N = 32)		Bendamustine (N = 33)	
	All events (%)	Grade 3/4 (%)	All events (%)	Grade 3/4 (%)
Any event	91	66	100	70
Infection	59	13	61	27
Neutropenia	59	56	39	39
Thrombocytopenia	34	19	27	15
Pyrexia	34	3	12	0
Anaemia	31	13	33	15
Nausea	19	0	30	0
Diarrhoea	16	3	21	0
Fatigue	16	0	15	3
Pruritus	16	0	3	0
Cough	13	0	24	0
Vomiting	13	0	15	3
Hyperuricemia	13	0	9	3
Chills	13	0	6	0
Headache	6	0	15	0
Constipation	6	0	24	0
Upper abdominal pain	6	0	12	0
Dizziness	3	0	12	0

# Targeting CD37 with otlertuzumab in NHL

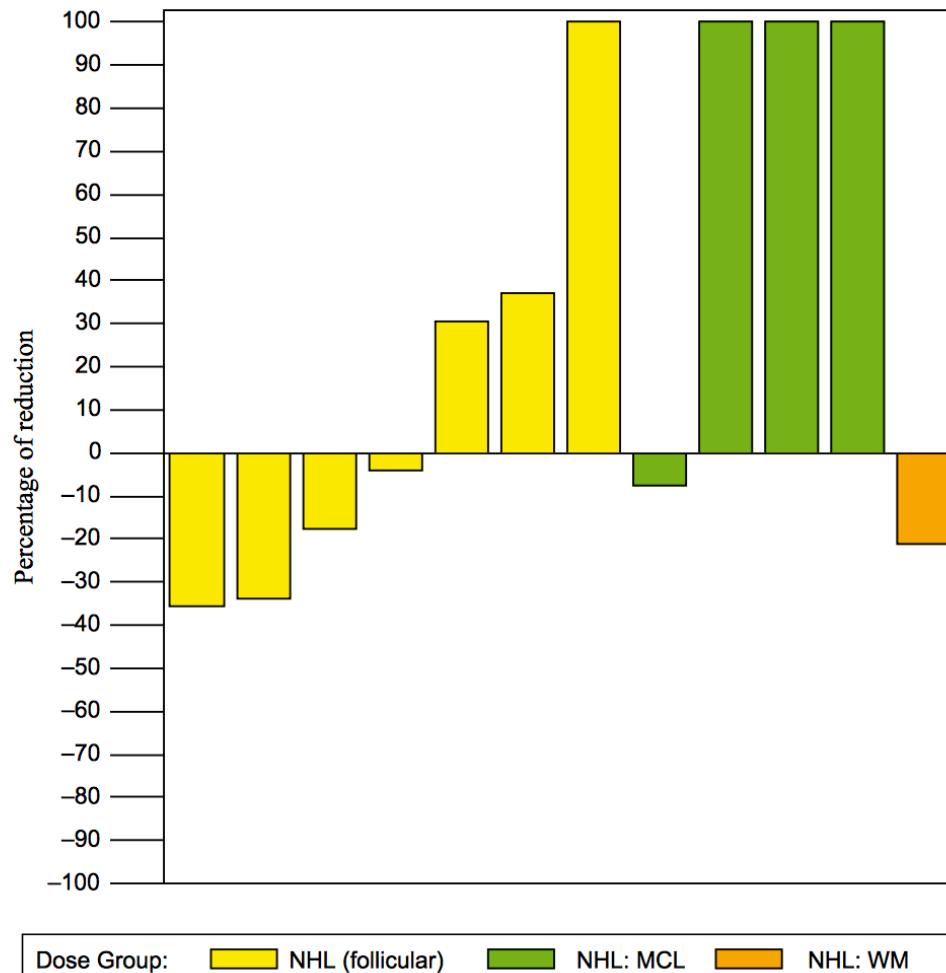
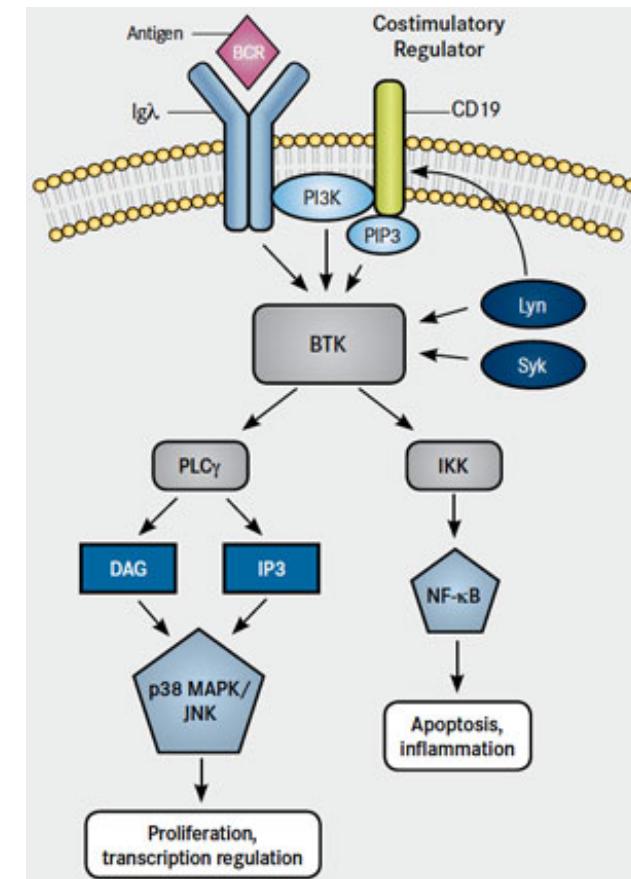
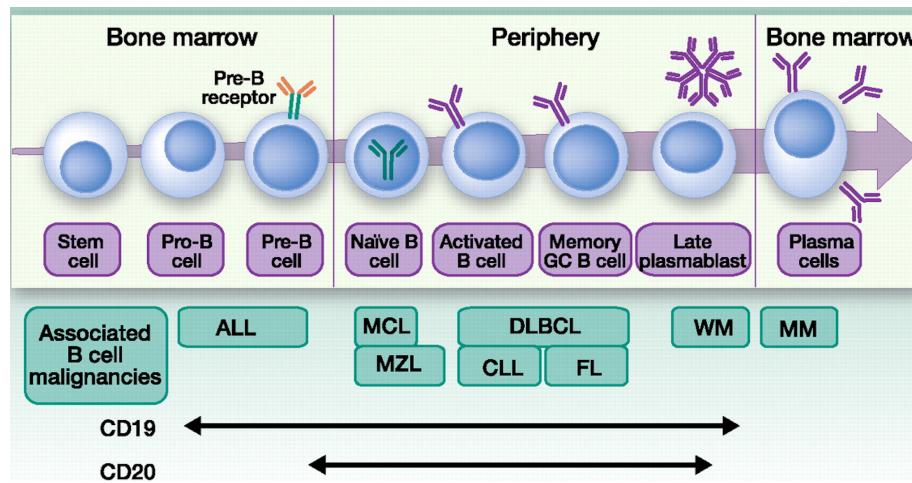


Table III. Adverse events occurring in >1 Patient ( $n = 16$ ).

	All Events		Grade 3/4	
	<i>n</i>	%	<i>n</i>	%
Any Event	14	87.5	9	56.3
Neutropenia	6	37.5	6	37.5
Fatigue	6	37.5	1	6.3
Nausea	5	31.3	0	0
Thrombocytopenia	4	25	1	6.3
Diarrhoea	4	25	0	0
Peripheral Oedema	4	25	0	0
Night Sweats	3	18.75	0	0
Anaemia	2	12.5	1	6.3
Lymphopenia	2	12.5	1	6.3
Constipation	2	12.5	0	0
Vomiting	2	12.5	0	0
Chest Pain	2	12.5	0	0
Non-Cardiac Chest Pain	2	12.5	0	0
Pyrexia	2	12.5	1	6.3
Anorexia	2	12.5	0	0
Hypokalaemia	2	12.5	0	0
Hypophosphataemia	2	12.5	1	6.3
Muscle Spasms	2	12.5	0	0
Headache	2	12.5	0	0
Hypotension	2	12.5	0	0

# The CD19 antigen

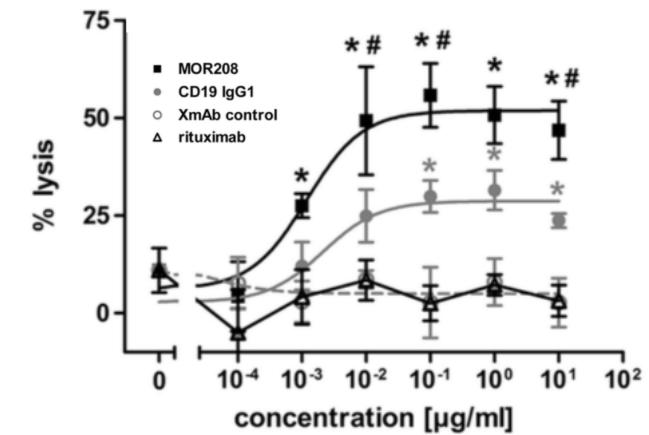
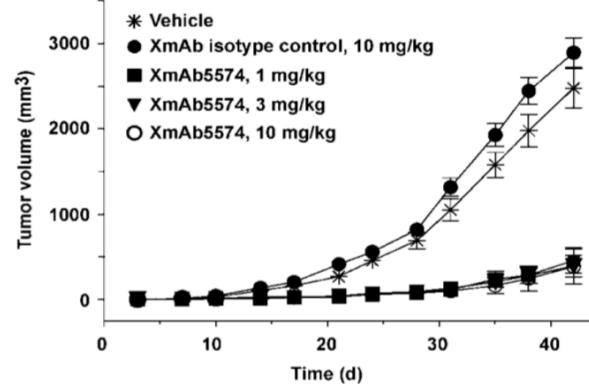
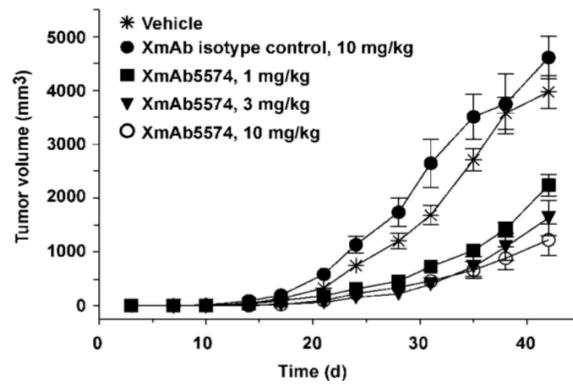
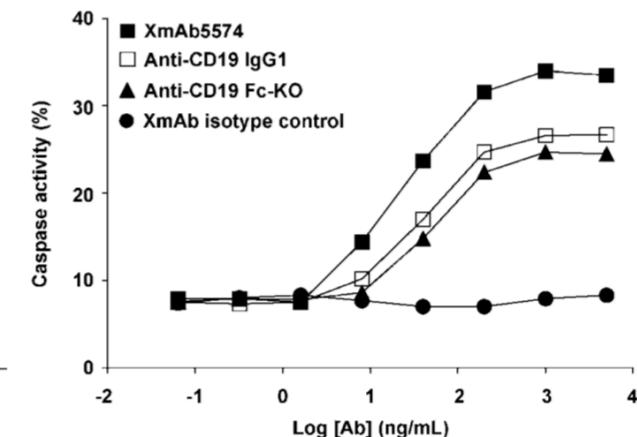
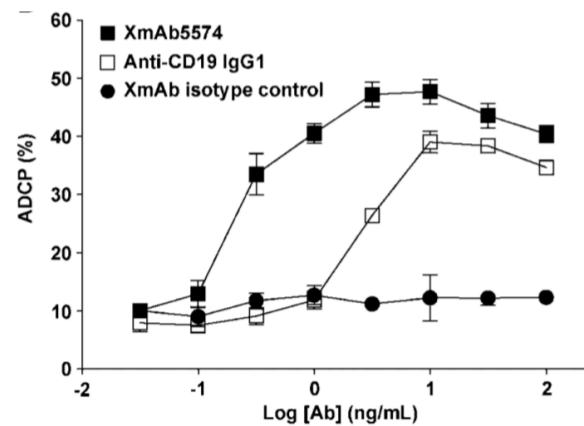
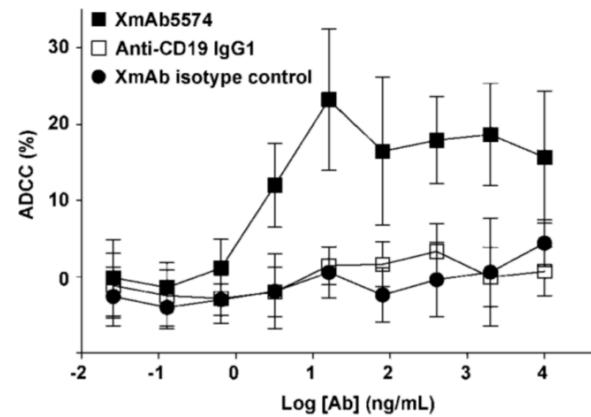
- CD19 is broadly and homogeneously expressed across different B cell malignancies including DLBCL and CLL
- CD19 enhances tumor cell survival and proliferation via BCR signaling
- CD19 expression is suggested to be preserved during treatment of B cell malignancies



Olejniczak *et al.*, *Immunol Invest.* 2006; Fujimoto *et al.*, *J Immunol.* 1999

# Targeting CD19

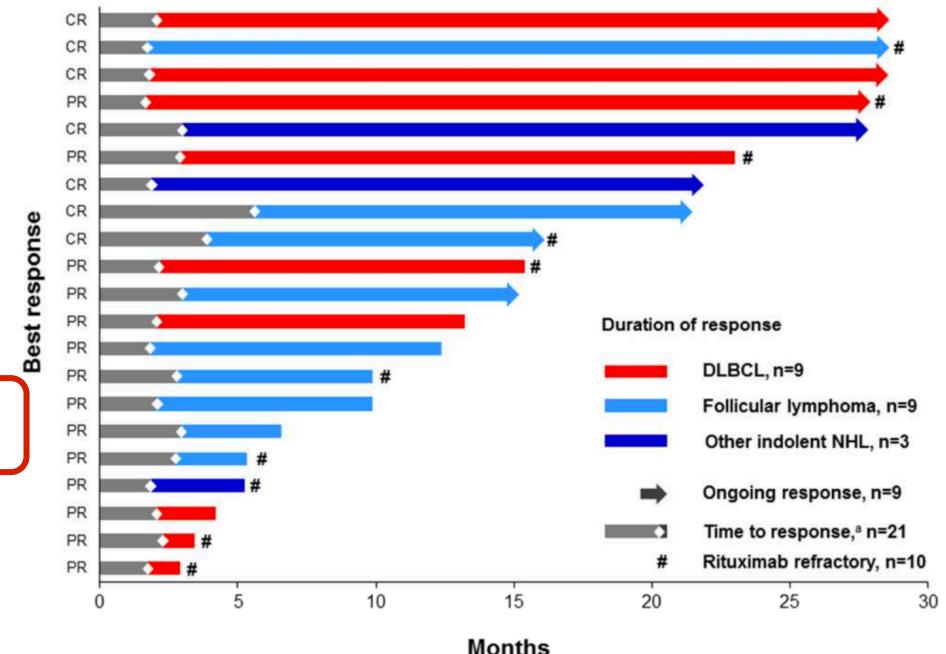
**XmAb5574 (MOR208) is a humanized anti-CD19 antibody with an engineered Fc domain that increases the binding capacity to Fc receptors on immune cells and thus increase Fc-mediated effector functions**



Horton *et al.*, Cancer Res. 2008; Kellner *et al.*, Leukemia. 2013

# Targeting CD19 with MOR208 in R/R B-cell lymphomas

	DLBCL N=35	FL N=34	Other iNHL N=11	MCL N=12	Total N=92
Best overall response					
Complete response	2 (6)	3 (9)	2 (18)	0	7 (8)
Partial response	7 (20)	7 (21)	1 (9)	0	15 (16)
Stable disease	5 (14)	16 (47)	4 (36)	6 (50)	31 (34)
Progressive disease	11 (31)	4 (12)	3 (27)	5 (42)	23 (25)
Not evaluable <sup>a</sup>	10 (29)	4 (12)	1 (9)	1 (8)	16 (17)
ORR (all patients)	9 (26)	10 (29)	3 (27)	0	22 (24)
ORR (evaluable patients only) <sup>b</sup>	9 (36)	10 (33)	3 (30)	0	22 (29)
DCR (all patients)	14 (40)	26 (76)	7 (64)	6 (50)	53 (58)

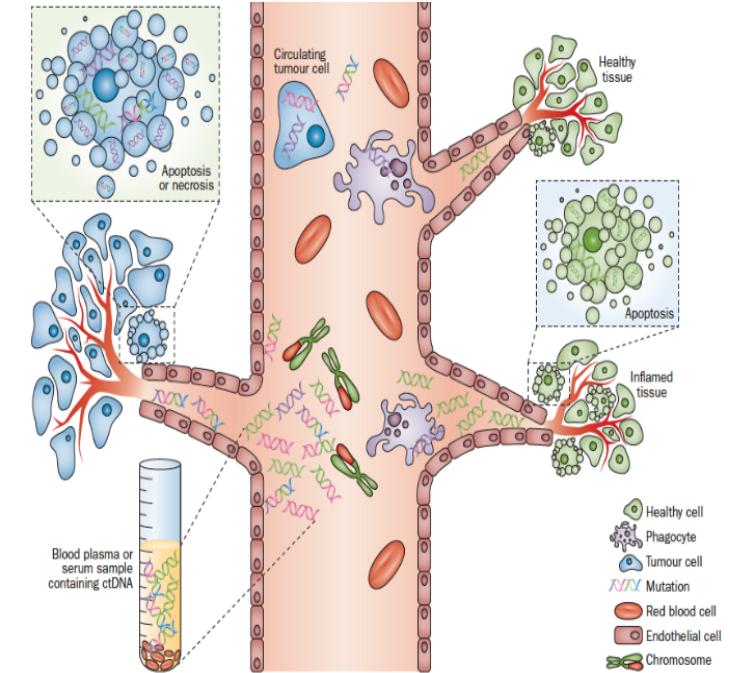


TEAEs, <sup>a</sup> n (%)	DLBCL N=35	FL N=34	Other iNHL N=11	MCL N=12	Total N=92
Any grade $\geq 3^b$	19 (54)	9 (27)	5 (46)	4 (33)	37 (40)
Hematological <sup>c</sup>					
Neutropenia	6 (17)	2 (6)	0	0	8 (9)
Thrombocytopenia	2 (6)	1 (3)	0	1 (8)	4 (4)
Anemia	3 (9)	0	0	0	3 (3)
Non-hematological <sup>c</sup>					
Dyspnea	2 (6)	1 (3)	0	1 (8)	4 (4)
Pneumonia <sup>d</sup>	3 (9)	0	0	0	3 (3)
Fatigue	1 (3)	1 (3)	0	0	2 (2)
Hypokalemia	1 (3)	1 (3)	0	0	2 (2)
Infusion-related reaction, <sup>a</sup> n (%)					
Any	4 (11)	4 (12)	1 (9)	2 (17)	11 <sup>e</sup> (12)
Grade 1/2	4 (11)	3 (9)	1 (9)	2 (17)	10 (11)
Grade 4	0	1 (3)	0	0	1 (1)

# Liquid Biopsy vs Tissue Biopsy

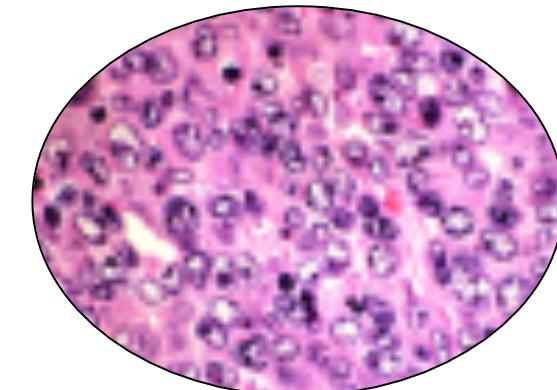
## Liquid biopsy:

- allows early disease detection
- enables assessment of tumor heterogeneity and monitoring of tumor dynamics
- in solid cancers, allows evaluation of metastasis in real-time and monitoring of the treatment response



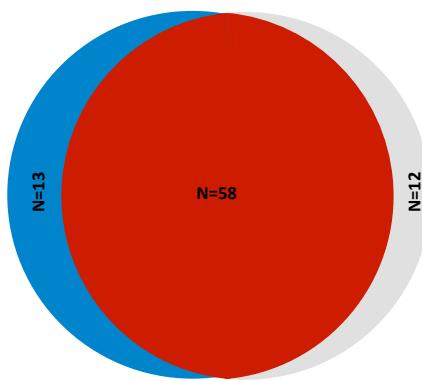
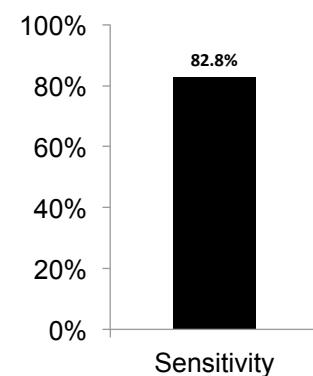
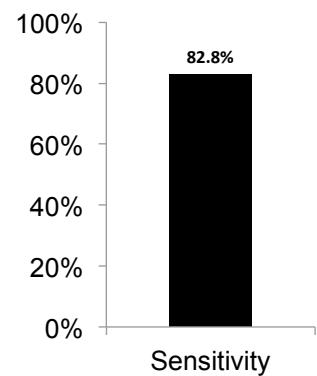
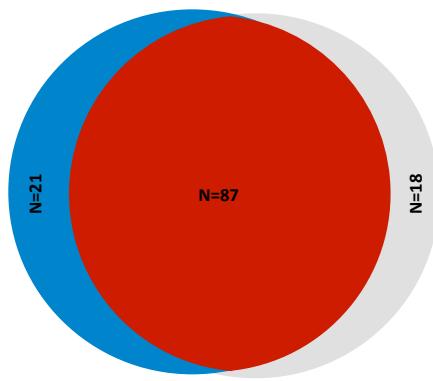
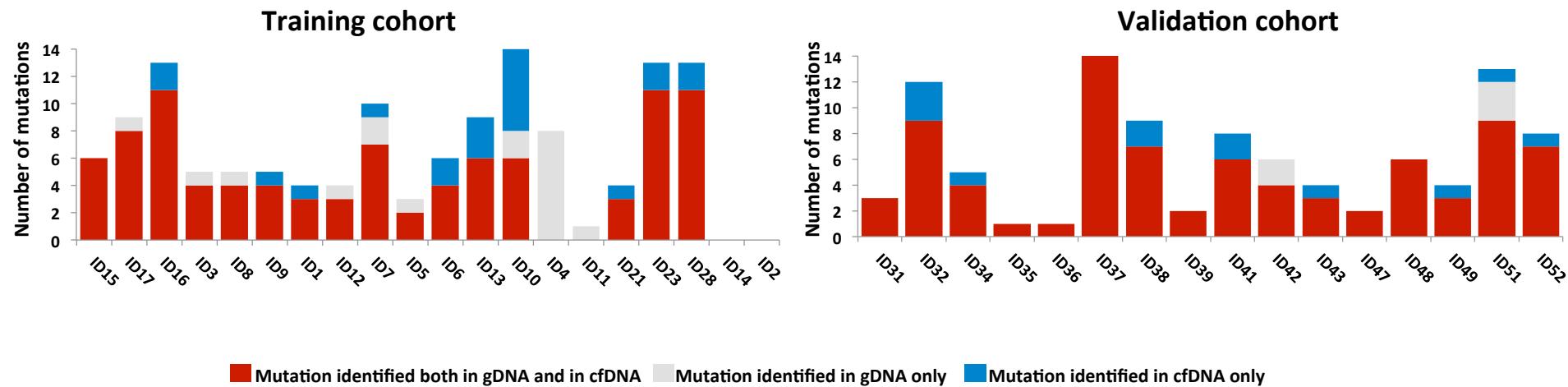
## Tissue biopsy during clinical course:

- may not reflect current disease condition
- may not be feasible based on patient conditions or tumor accessibility
- impractical for periodic monitoring for progression/recurrence

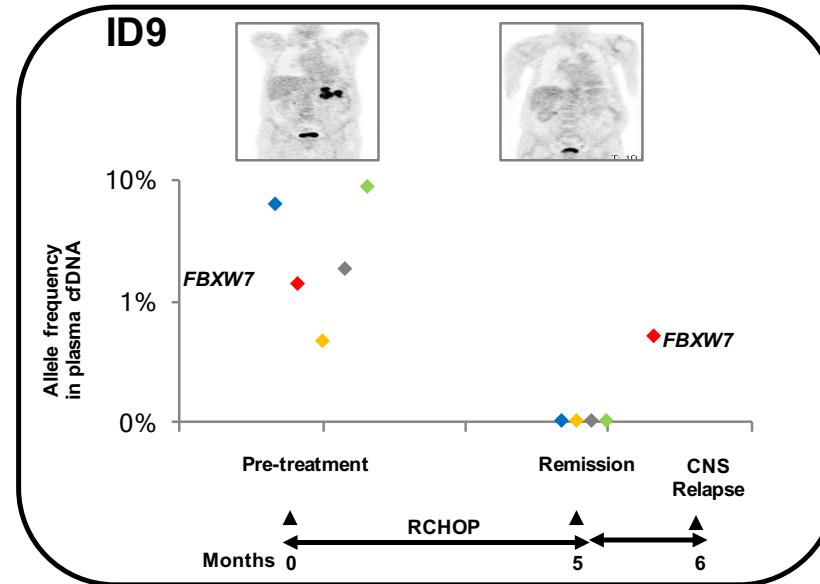
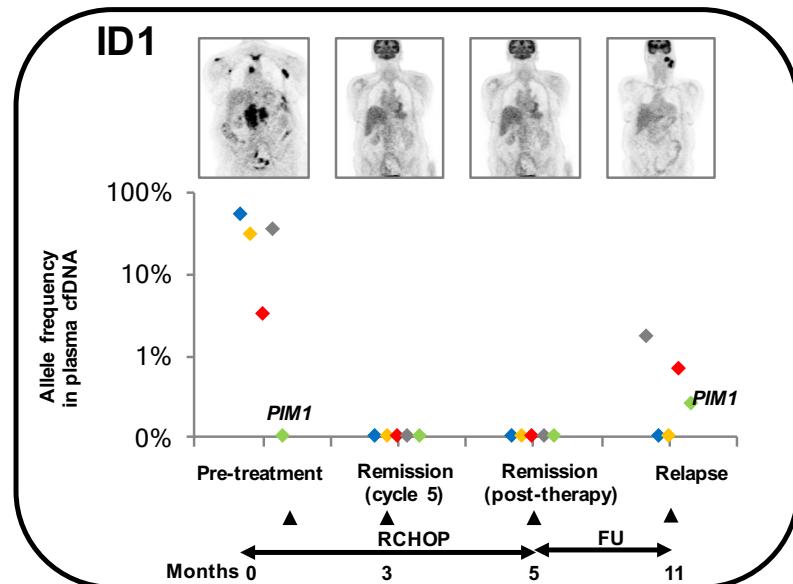
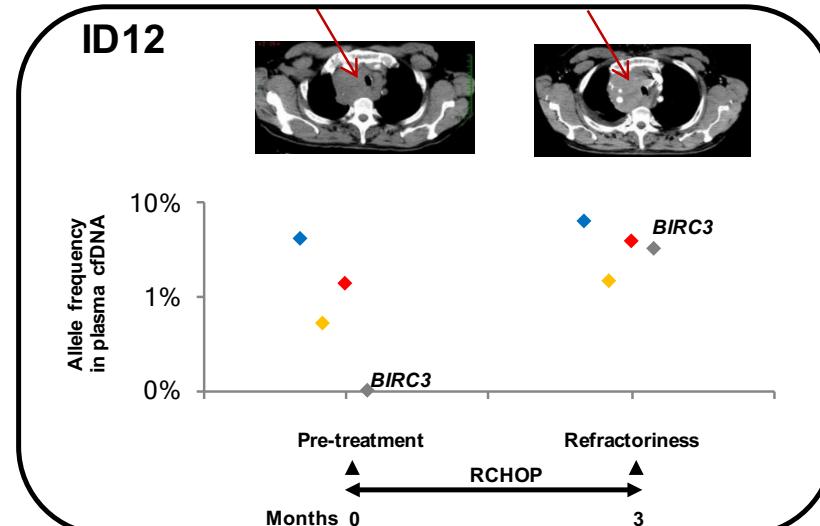
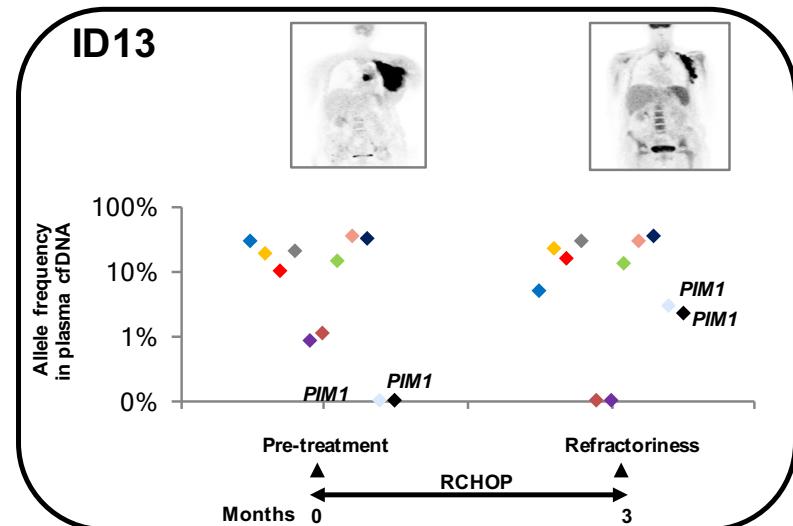


Crowley JJ, et al. *Nat Rev Clin Oncol*, 2013  
Rossi D, Dion F, et al. *Blood*, 2011

# Plasma cfDNA genotyping vs tumor gDNA genotyping



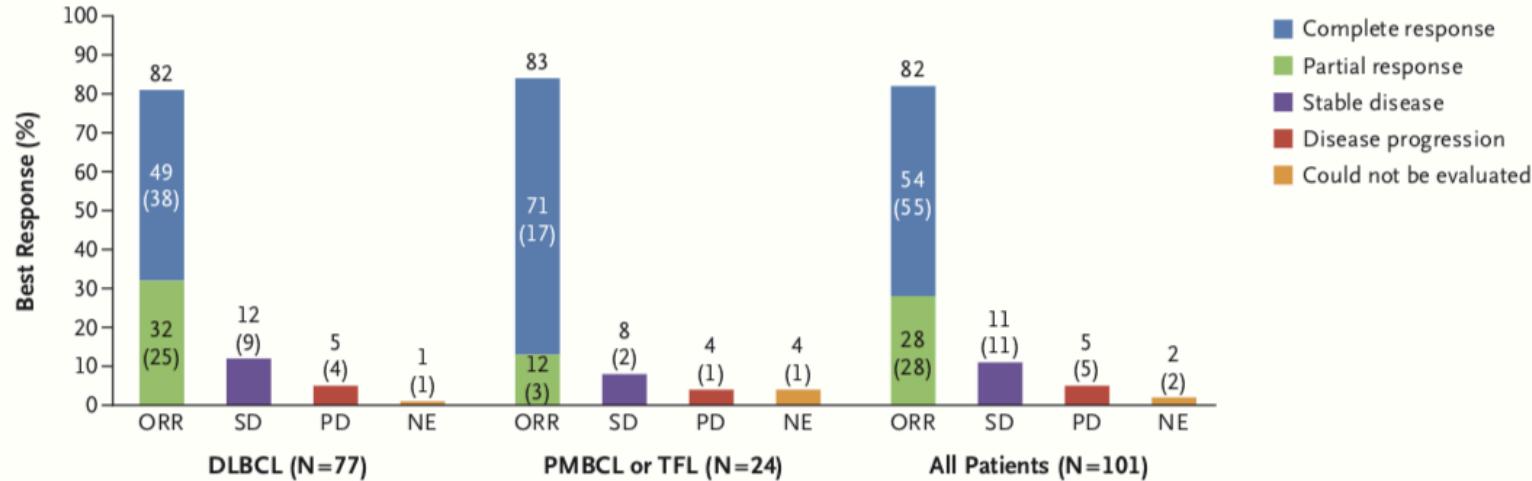
# Longitudinal cfDNA genotyping allows real-time monitoring of clonal evolution



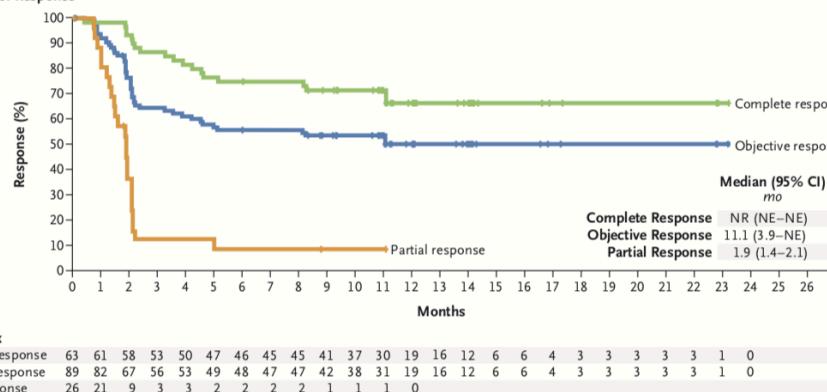


# CD19 CAR T-Cell trial in lymphomas

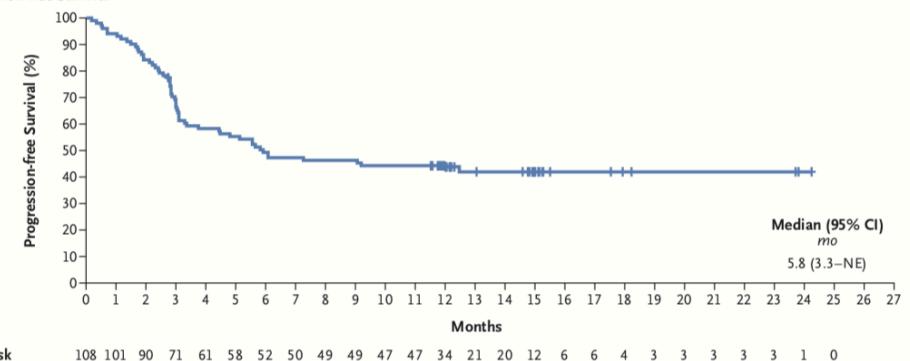
**A Objective Response Rate**



**A Duration of Response**



**B Progression-free Survival**



# CD19 CAR T-Cell trial in CLL

## RESULTS:

- 24 patients CLL who had previously received ibrutinib were enrolled;
- The overall response rate by International Workshop on Chronic Lymphocytic Leukemia (IWCLL) criteria was 71% (17 out of 24);
- Twenty patients (83%) developed cytokine release syndrome, and eight (33%) developed neurotoxicity.

