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**Retinoic Acid and Arsenic Trioxide with or  
without Chemotherapy for Acute  
Promyelocytic Leukemia with Different  
Risk Stratifications:  
An Interim Analysis of China APL 2012  
Study**

# Milestones of Therapy in APL

- First described by Hillestad in 1957
- Four periods in the treatment of APL
- At present, From highly fatal to highly curable
- **In future, how to optimize the treatment of APL**

Chemotherapy  
1967~1982

*Bernard et al.  
Blood, 1973*

ATRA  
1982~1992

*Huang et al.  
Blood, 1988*

ATO  
1992~2000

*Shen et al.  
Blood, 1997*

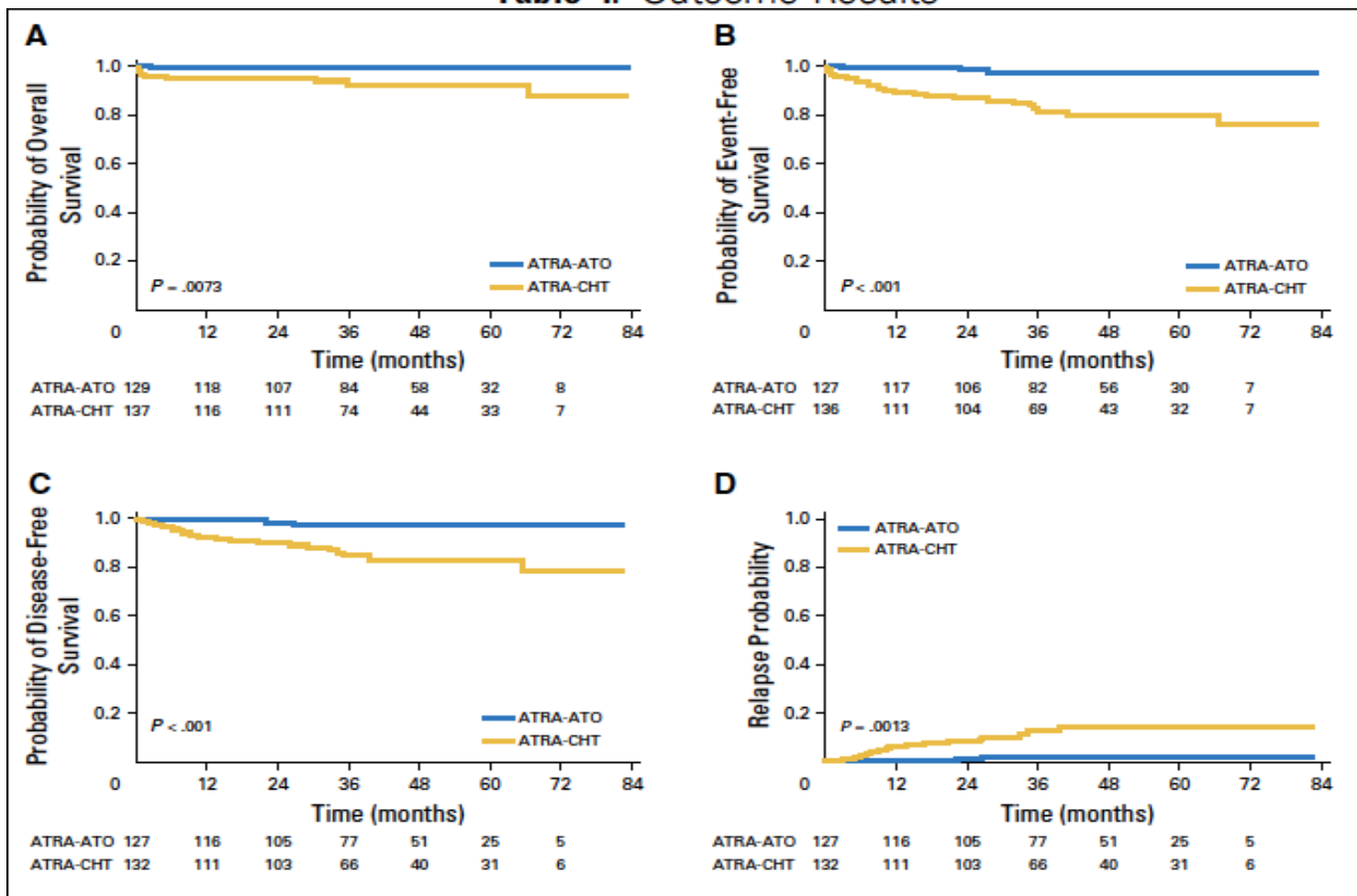
ATRA/ATO  
Since 2001

*Shen et al.  
PNAS, 2004*

# Low- and Intermediate-risk APL

## » APL 0406 updated data:

**Table 4. Outcome Results**



# Low- and Intermediate-risk APL



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**NCCN Guidelines Version 1.2016**  
**Acute Promyelocytic Leukemia**

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ATRA  
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0.15  
remi

## **TREATMENT INDUCTION (LOW RISK)<sup>g,j,bb</sup>**

**ATRA 45 mg/m<sup>2</sup> in divided doses until clinical remission daily + arsenic trioxide<sup>n</sup> 0.15 mg/kg IV daily until bone marrow remission<sup>aa</sup> (category 1) (preferred)**

[st-  
idation  
y \(AML-5\)](#)

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y \(AML-5\)](#)

ATRA<sup>q</sup> 45 mg/m<sup>2</sup> in divided doses until clinical remission + idarubicin 12 mg/m<sup>2</sup> on days 2, 4, 6, 8<sup>t</sup> (category 1)

At count recovery,<sup>o,p</sup> proceed with consolidation

ATRA 45 mg/m<sup>2</sup> x 15 days + idarubicin 5 mg/m<sup>2</sup> x 4 days x 1 cycle, then ATRA x 15 days + mitoxantrone 10 mg/m<sup>2</sup>/day x 5 days x 1 cycle, then ATRA x 15 days + idarubicin 12 mg/m<sup>2</sup> x 1 dose x 1 cycle (category 1)<sup>cc</sup>

[See Post-Consolidation Therapy \(AML-5\)](#)

or  
Clinical trial



# High-risk APL



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## NCCN Guidelines Version 1.2017 Acute Promyelocytic Leukemia

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### TREATMENT INDUCTION (HIGH RISK)<sup>l,g,j</sup>

ATRA<sup>q</sup> 45 mg/m<sup>2</sup> in divided doses until clinical remission + daunorubicin 50 mg/m<sup>2</sup> x 4 days + cytarabine 200 mg/m<sup>2</sup> x 7 days<sup>r</sup>

or

ATRA 45 mg/m<sup>2</sup> (days 1–36, divided) + age-adjusted idarubicin 6–12 mg/m<sup>2</sup> on days 2, 4, 6, 8 + arsenic trioxide 0.15 mg/kg (days 9–36 as 2 h IV infusion)<sup>z</sup>

or

ATRA<sup>q</sup> 45 mg/m<sup>2</sup> in divided doses until clinical remission + daunorubicin 60 mg/m<sup>2</sup> x 3 days + cytarabine 200 mg/m<sup>2</sup> x 7 days<sup>s</sup>

or

ATRA<sup>q</sup> 45 mg/m<sup>2</sup> in divided doses until clinical remission + idarubicin 12 mg/m<sup>2</sup> on days 2, 4, 6, 8<sup>t</sup>

or

Clinical trial

At count recovery,<sup>o,aa</sup> consider LP and proceed with consolidation<sup>p</sup>

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### CONSOLIDATION THERAPY<sup>w</sup>

Arsenic trioxide<sup>n</sup> 0.15 mg/kg/d x 5 days for 5 wks x 2 cycles, then ATRA 45 mg/m<sup>2</sup> x 7 days + daunorubicin 50 mg/m<sup>2</sup> x 3 days for 2 cycles<sup>r,bb</sup>

ATRA 45 mg/m<sup>2</sup> x 28 days + arsenic trioxide<sup>n</sup> 0.15 mg/kg/d x 28 days x 1 cycle, then ATRA 45 mg/m<sup>2</sup> x 7 d every 2 wks x 3 + arsenic trioxide 0.15 mg/kg/d x 5 d for 5 wks x 1 cycle<sup>z</sup>

Daunorubicin 60 mg/m<sup>2</sup> x 3 days + cytarabine 200 mg/m<sup>2</sup> x 7 days x 1 cycle, then cytarabine 2 g/m<sup>2</sup> (age <50) or 1.5 g/m<sup>2</sup> (age 50–60) every 12 h x 5 days<sup>cc,dd</sup> + daunorubicin 45 mg/m<sup>2</sup> x 3 days x 1 cycle 5 doses of IT chemotherapy<sup>s</sup>

ATRA 45 mg/m<sup>2</sup> x 15 days + idarubicin 5 mg/m<sup>2</sup> and cytarabine 1 g/m<sup>2</sup> x 4 days x 1 cycle, then ATRA x 15 days + mitoxantrone 10 mg/m<sup>2</sup>/d x 5 days x 1 cycle, then ATRA x 15 days + idarubicin 12 mg/m<sup>2</sup> x 1 dose + cytarabine 150 mg/m<sup>2</sup>/8 h x 4 days x 1 cycle<sup>t,bb</sup>

[See Post-Consolidation Therapy \(AML-5\)](#)

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[See Post-Consolidation Therapy \(AML-5\)](#)

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# APL 2012 Study

- A phase 4, prospective, randomized, open-label, multicenter trial.
- Objectives:
  - If CHT could be replaced by ATO in patients with low- and intermediate-risk APL in post-remission therapy?
  - If CHT could be minimized by ATO in patients with high-risk APL in post-remission therapy?

# Criteria

## ***Inclusion criteria:***



- Newly diagnosed APL by cytogenetic or molecular test: t(15;17) and/or PML/RAR $\alpha$  positive
- Age 18-65
- Normal liver and renal function
- Normal cardiac function
- ECOG 0-3
- Informed consent

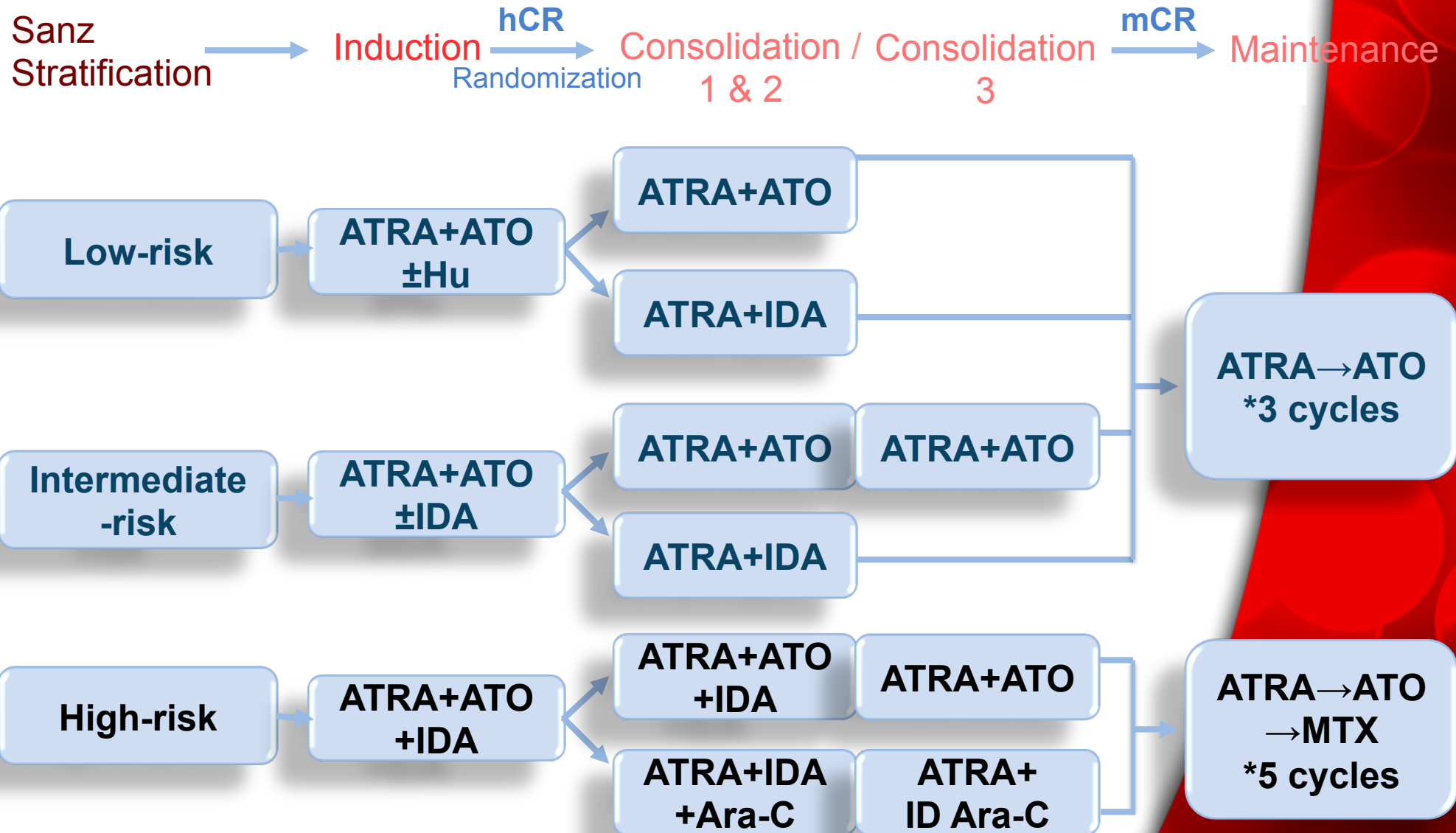
## ***Exclusion Criteria:***



- Previously treated patient
- CNS infiltration
- Abnormal liver or renal function
- Severe heart disease, including AMI and heart failure
- QT interval > 450ms
- With other malignancy
- Active TB or HIV positive
- Do not obey the study
- <18 years old or >65 years old
- Pregnant or lactational period
- Contraindications to anthracyclines
- Drug addiction or mental disorders
- Involved in other clinical trials simultaneously
- Other situations that against the trial



# Protocol of Treatment







# Dosage of Treatment

## Induction:

ATRA	25mg/m <sup>2</sup> /d, given orally, until CR
ATO	0.16mg/kg/d, iv drip until CR
IDA	8mg/m <sup>2</sup> /d, D1-3 (in high- or most intermediate-risk)

## Consolidation:

Exp group:	ATRA	25mg/m <sup>2</sup> /d, D1-14
	ATO	0.16mg/kg/d, D1-28
	(high-risk) IDA	8mg/m <sup>2</sup> /d, D1-3
Ctrl group:	ATRA	25mg/m <sup>2</sup> /d, D1-14
	IDA	8mg/m <sup>2</sup> /d, D1-3
	(high-risk) Ara-C	150mg/m <sup>2</sup> /d, D1-7 1 <sup>st</sup> & 2 <sup>nd</sup> course; 1g/m <sup>2</sup> , Q12H, D1-3 3 <sup>rd</sup> course

## Maintenance:

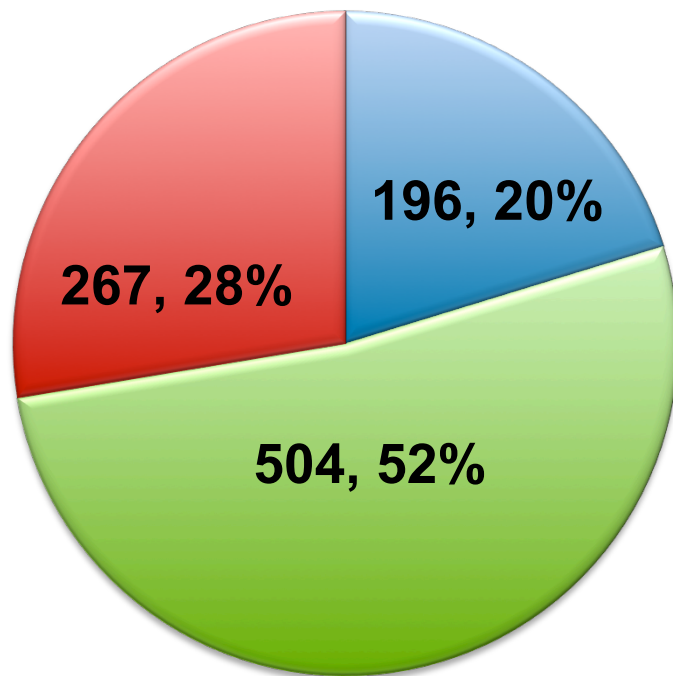
ATRA	25mg/m <sup>2</sup> /d, D1-14
ATO	0.16mg/kg/d, D1-28
(high-risk) MTX	15mg/m <sup>2</sup> /wk, for 4 weeks

# Enrollment

- 1039 cases screened from July 2012 to Jun 2017.
- 72 cases excluded before induction: unqualified or refused to the study.
- 967 cases enrolled, 18 cases withdrew due to intolerance, protocol violation during induction.
- 949 cases eligible for analysis.

# Characteristics of Patients

## » Risk stratification:



» Median age: 38y (18-65)

» Male: 513 (53.1%)  
Female: 454 (46.9%)

- Low-risk
- Intermediate-risk
- High-risk

# Response

- CR rate: 96.6% (910/942)
- Early death: 3.4% (32/949)

## Cause of early deaths:

- Cerebral hemorrhage: 15 cases
- Infection: 7 cases
- Cerebral Infarction: 2 cases
- Differentiation syndrome: 1 case
- DIC: 1 case
- MODS: 2 case
- Pneumorrhagia: 2 case
- Not quite clear: 2 case

# Disposition of Patients

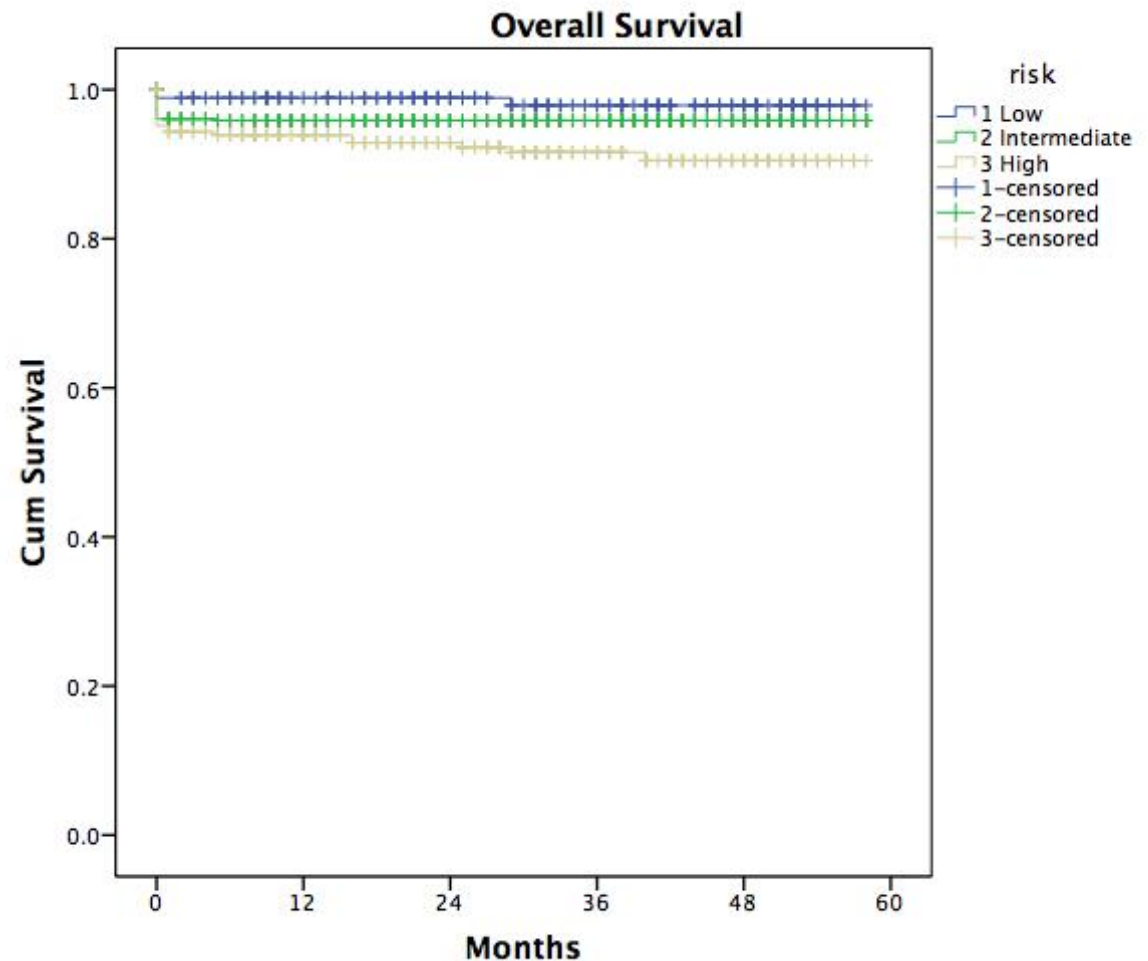
- In 949 eligible patients, 69 of them were withdrawn because of protocol violation, intolerance and loss to follow up in post-remission.
- The remaining 880 cases were adherent to protocol. Ten patients with protocol violation or intolerance could be evaluated for the primary end point. So a total of 890 patients were included in survival analysis.
- Patients with early death not included in the analysis of OS or DFS in experimental or control groups, because patients achieving CR entered into randomization.

# Overall Survival

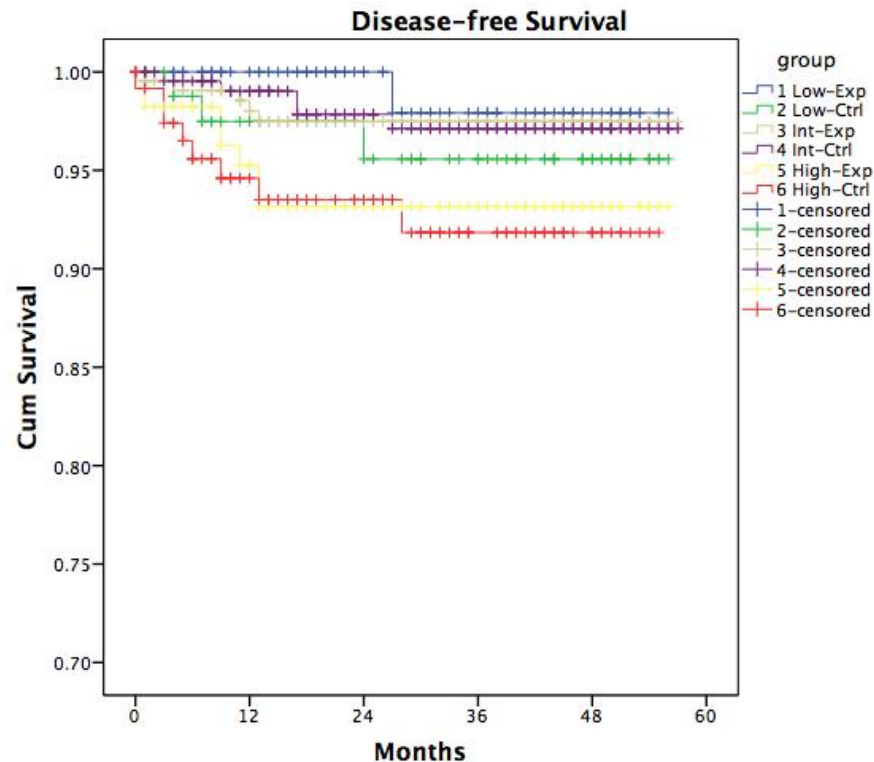
» Median follow-up:  
32 months (0-58)

» 4-year OS:

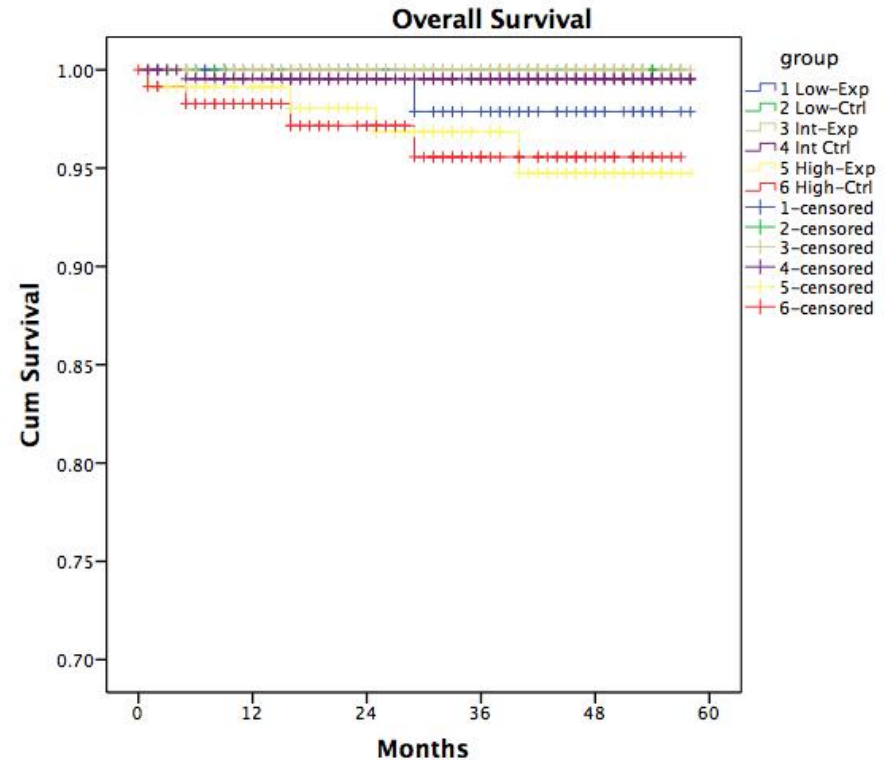
- Low-risk: 97.9%
- Intermediate-risk: 95.9%
- High-risk: 90.5%
- Low-Intermediate > High ( $P=0.006$ )



# Post-remission Survival



4y DFS	Low%	Int%	High%
Exp	97.9	97.5	93.2
Ctrl	95.6	97.1	91.8
P value	0.295	0.983	0.770

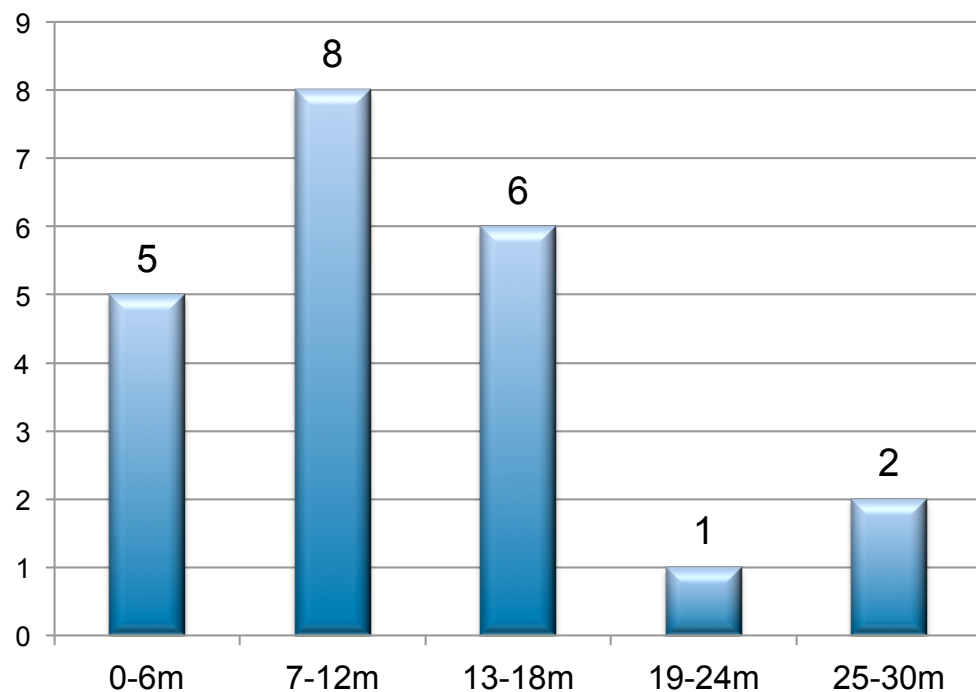


4y OS	Low%	Int%	High%
Exp	97.9	100	94.7
Ctrl	100	99.5	95.6
P value	0.298	0.321	0.923



# Relapse/refractory

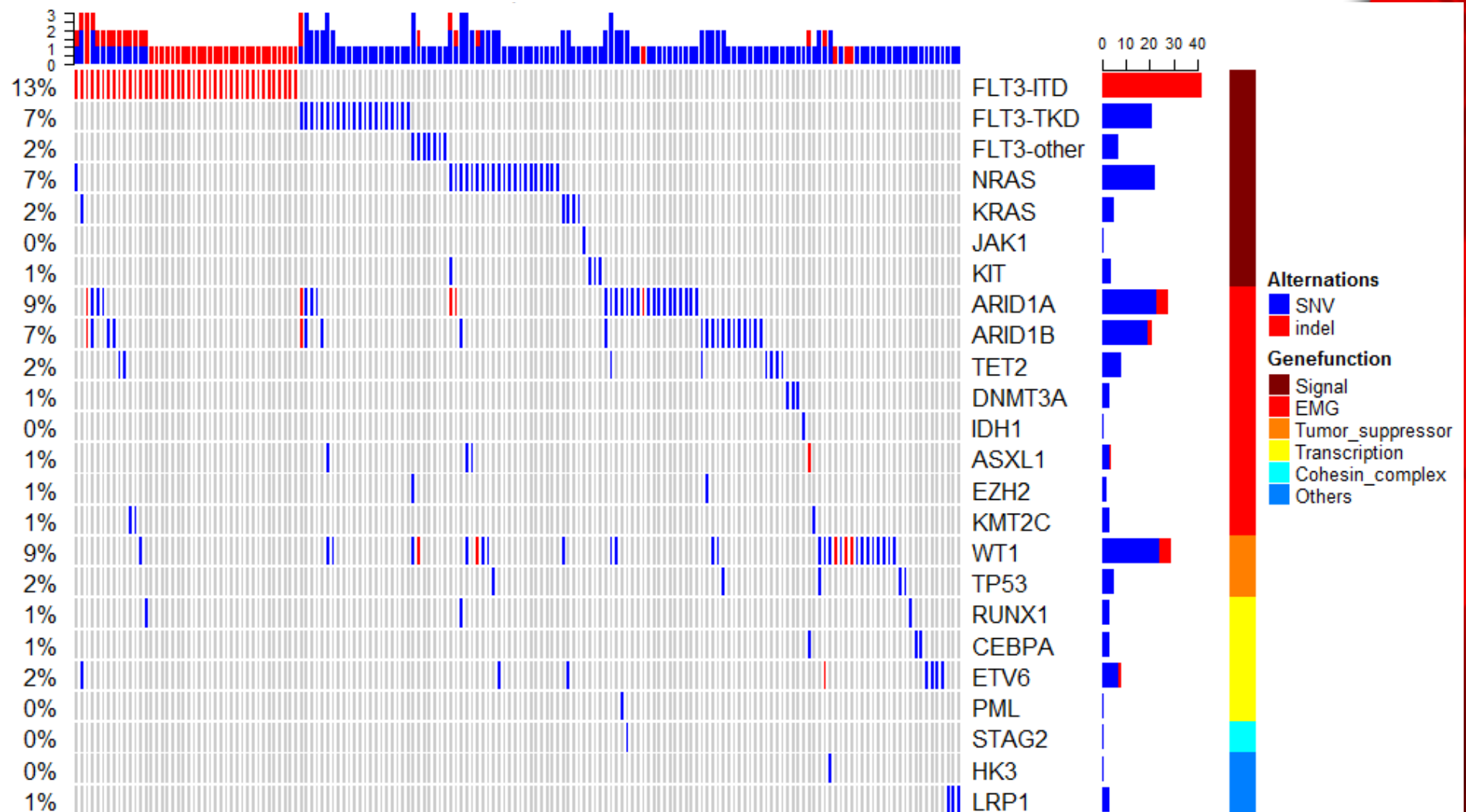
- 22 patients were relapsed and 3 patients with persistent positive PML/RARa after consolidation therapy (1 intermediate-risk and 2 high-risk, all in ctrl group)
- Low-risk: 2.2%  
(4/182, 1 exp and 3 ctrl)
- Intermediate-risk: 1.7%  
(8/460, 5 exp and 3 ctrl)
- High-risk: 4.0%  
(10/248, 6 exp and 4 ctrl)
- $P > 0.05$



■ Relapsed case

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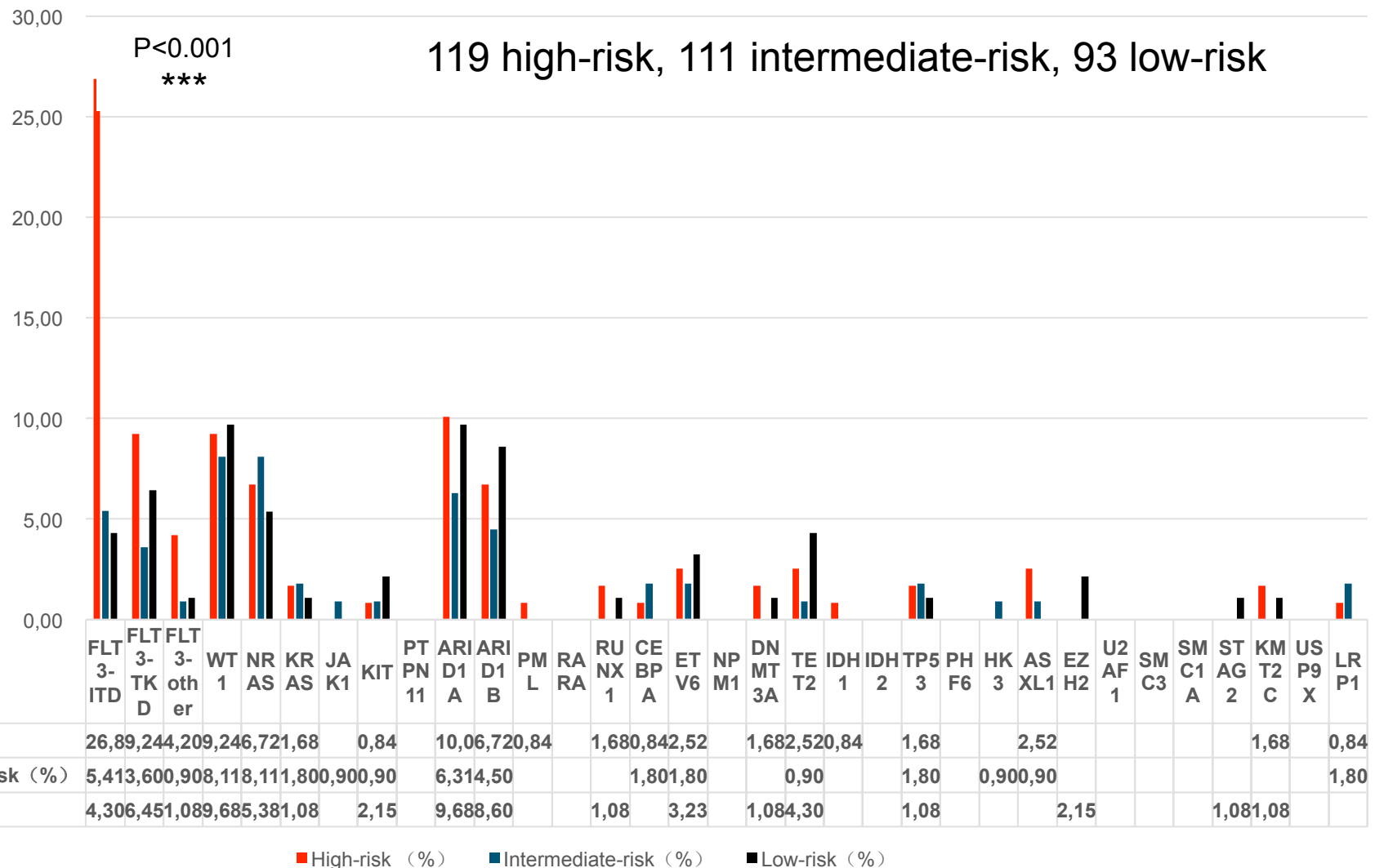
# Mutation Pattern



Pt. No.=323

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# Mutation Pattern



# Conclusion

## APL 2012 Trial

ATRA+ATO±CHT with High CR and reduced ED

»» For low-risk APL:

ATRA+ATO not inferior to ATRA+CHT

»» For intermediate-risk APL:

ATO replaced CHT in post-remission therapy

»» For high-risk APL:

ATO reduced CHT by replacing Ara-C



# Acknowledgements

- Li Liu, Guohui Li, Department of Hematology, Tangdu Hospital, The Fourth Military Medical University, Shaanxi Xi'an 710038, China
- Jie Jin, Yinjun Lou, The Institute of Hematology of Zhejiang University, Department of Hematology, The first Affiliated Hospital, Zhejiang University College of Medicine, Hangzhou 310003, China
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- Jianxiang Wang, Ying Wang, Institute of Hematology & Blood Diseases Hospital, Chinese Academy of Medical Sciences, Tianjin 300020, China

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# Acknowledgements

- Jianda Hu, Zhengjun Wu, Fujian Institute of Hematology, Fujian Provincial Key Laboratory of Hematology, Fujian Medical University Union Hospital, Fuzhou, Fujian 350001, China
- Depei Wu, Jiangsu Institute of Hematology, the First Affiliated Hospital of Soochow University, Suzhou 215006, China
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# THANK YOU!

百年  
瑞金

瑞金医院科教楼2楼报告厅  
2007年7月15日-16日



2007年7月15日-16日  
瑞金医院科教楼  
2楼报告厅



百年  
瑞金

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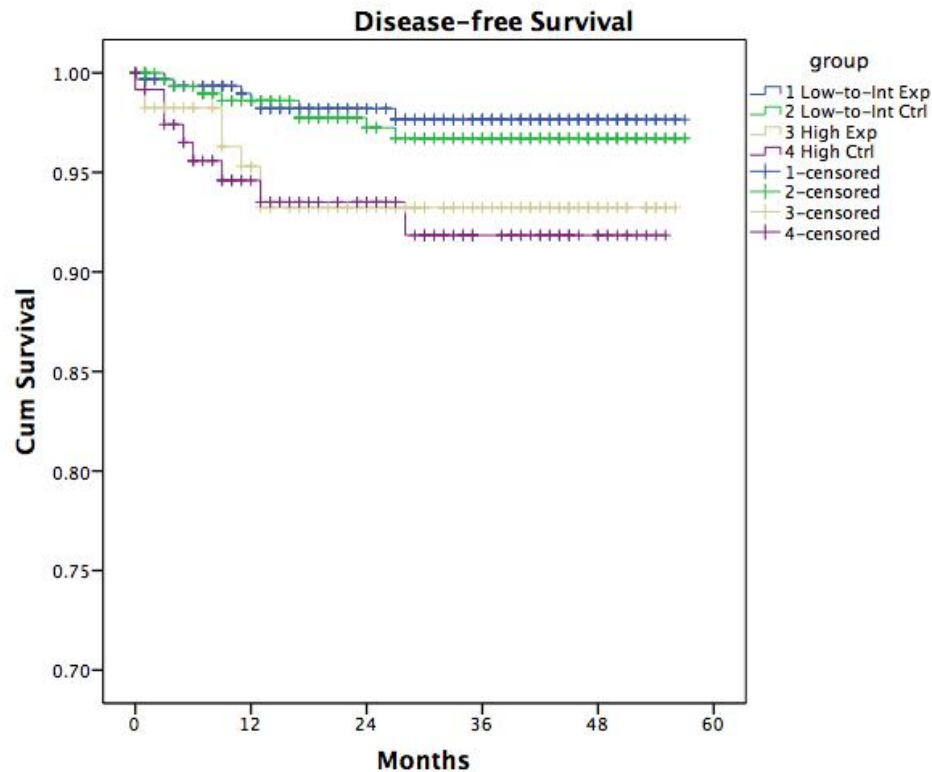


Funded by the National High-tech Research and Development Program [863 Program] of China 2012AA02A505 and others; ClinicalTrials.gov identifier: NCT01987297.

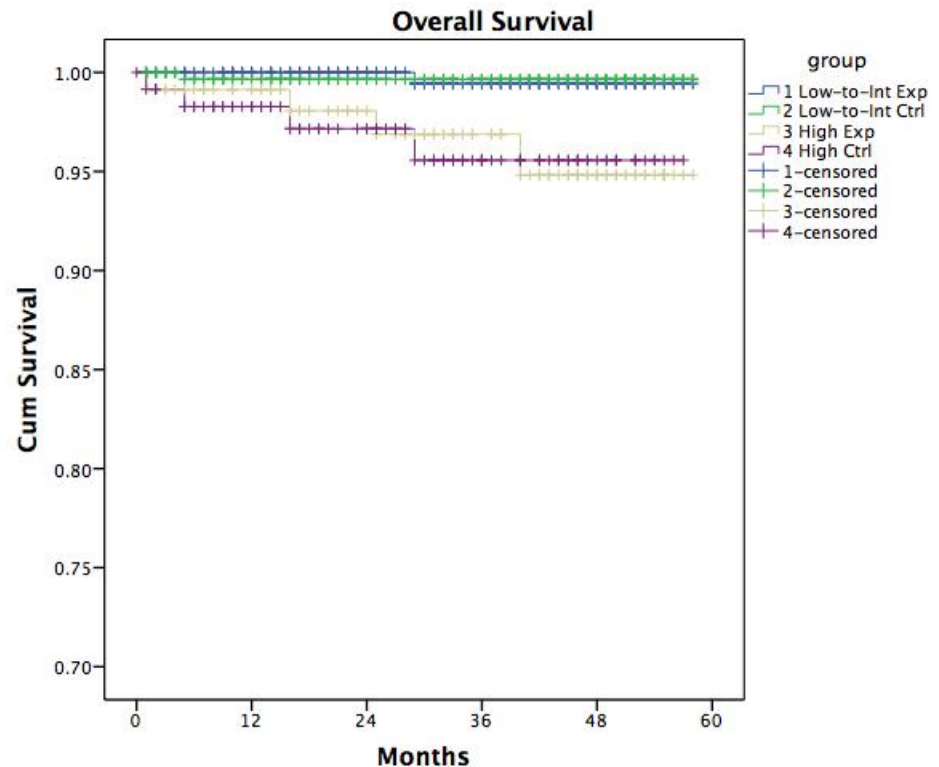
Li Chen, Hongming Zhu, Jiong Hu, Bing Chen, Xiaoyang Li, Lining Wang, Yunxiang Zhang, Yuhong Ren, Huijin Zhao, Yu Chen, Huiping Sun, Qiusheng Chen, Yu Chen, Weili Zhao, Jianqing Mi, Zhixiang Shen, Zhenyi Wang, Zhu Chen, Saijuan Chen of the Shanghai Institute of Hematology, Ruijin Hospital, Shanghai Jiao Tong University School of Medicine.



# Post-remission Survival



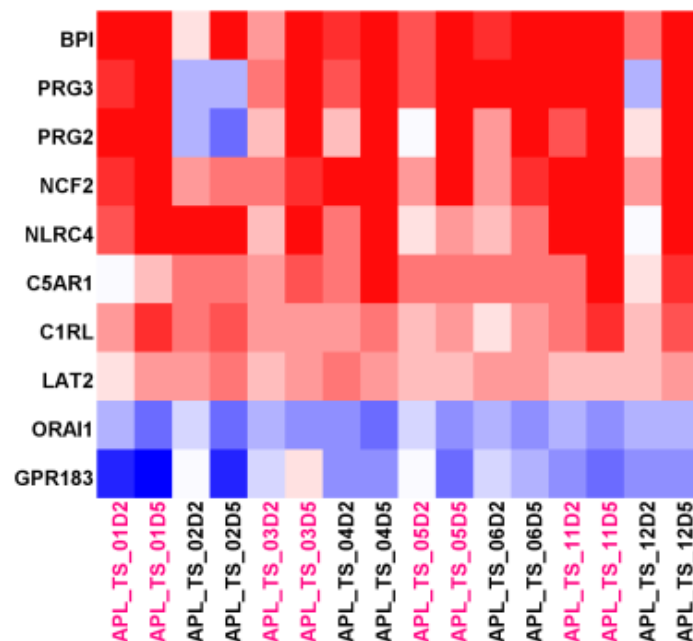
4y DFS	Low-to-Int%	High%	P value
Exp	97.6	93.2	0.027
Ctrl	96.7	91.8	0.032
P value	0.591	0.770	



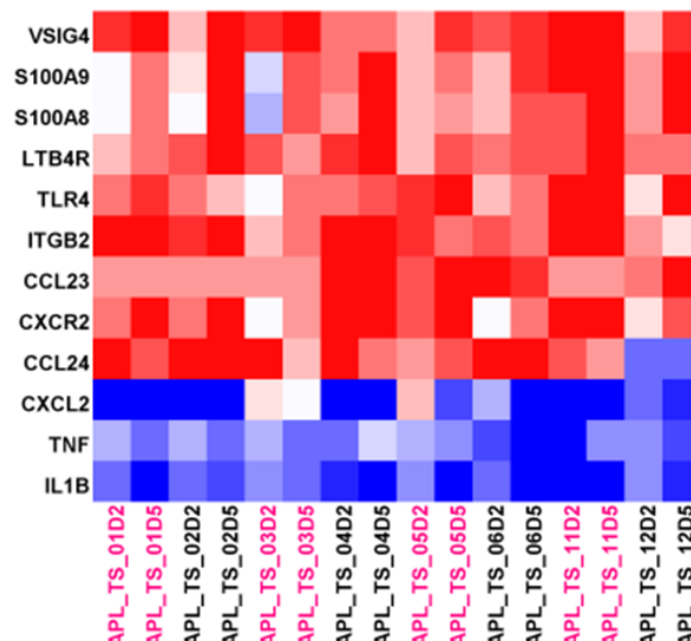
4y OS	Low-to-Int%	High%	P value
Exp	99.4	94.7	0.011
Ctrl	99.7	95.6	0.007
P value	0.990	0.923	

# Regulation of Signaling Pathways upon ATRA and ATO

## Immune response



## Inflammatory response

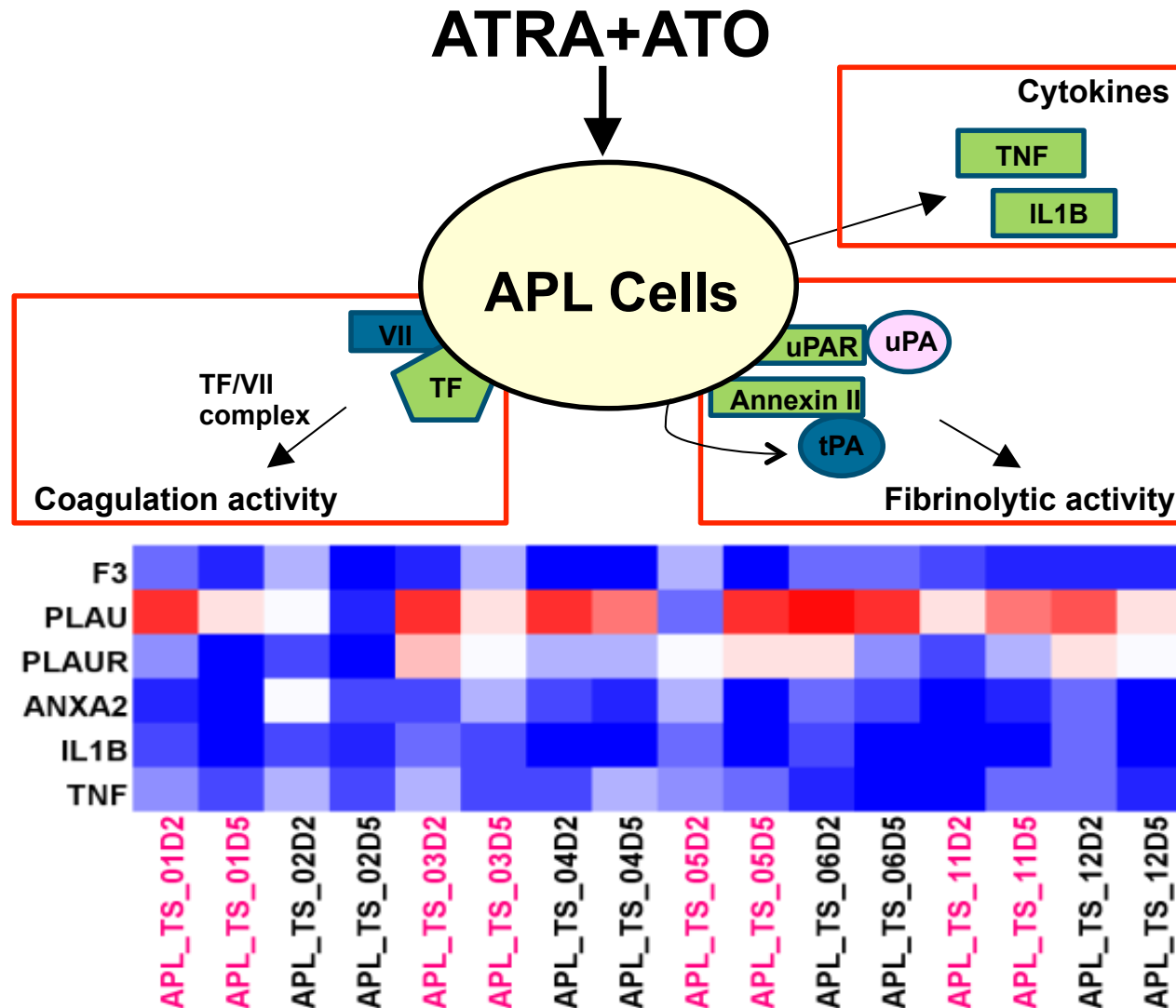


- Innate immune response (NLRC4/BPI/C1RL)
- Adaptive immune response (GPR183/ORAI1/PAG1)
- Intrinsic (TLR4/MYD88/TNF)
- Extrinsic (CCL23/CXCL2/IL1B)

Data unpublished

Sep 26, 2017

# Regulation of Signaling Pathways upon ATRA and ATO



Data unpublished

Sep 26, 2017