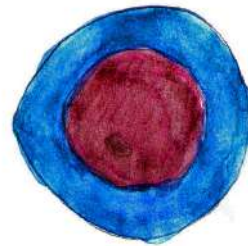


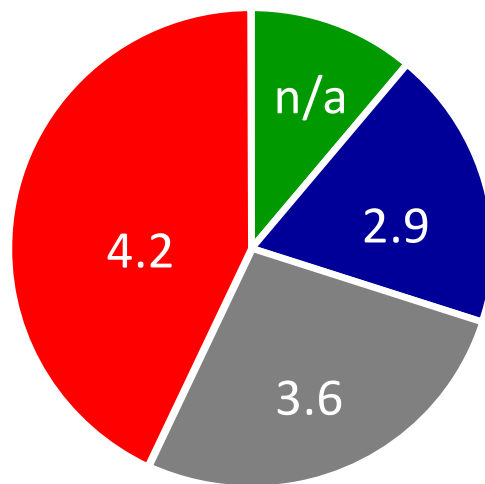
# On the architecture of translational research designed to control chronic lymphocytic leukemia

Michael Hallek  
Universität zu Köln



## Professional experience required to “tailor” CLL therapy: characteristics at presentation

- Median age at diagnosis: 72 years<sup>1</sup>
- Elderly patients may be fit or have comorbidities



| Age at CLL diagnosis (years) | Patients <sup>1</sup> (%) | Mean comorbidities <sup>2</sup> (all cancer types, n) |
|------------------------------|---------------------------|-------------------------------------------------------|
| ≤ 54                         | 11                        | n/a                                                   |
| 55–64                        | 19                        | 2.9                                                   |
| 65–74                        | 27                        | 3.6                                                   |
| 75+                          | 43                        | 4.2                                                   |

1. Ries LAG, *et al.* SEER Cancer Statistics Review, 1975–2005.

2. Yancik R, *Cancer* 1997; 80:1273–1283.



# Treatment of CLL with chlorambucil

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## Dose

- Initial dose **0.4 mg/kg d1** q 14 days; increase to 0.8 mg/kg d 1 with increments of 0.1 mg/kg d1
- **0.07–0.1 mg/kg/d**, d 1–14, repeat day 28

## Duration

until maximal response (NCI criteria), usually 9 months

## Chlorambucil versus CHOP, COP or CAP: Metaanalysis of 2022 patients with advanced CLL

| <i>Therapy</i>                                  | <i>5-year survival</i> | <i>Significance</i> |
|-------------------------------------------------|------------------------|---------------------|
| <b><i>CLB (<math>\pm</math> prednisone)</i></b> | 48%                    | n.s.                |
| <b><i>All combinations</i></b>                  | 48%                    | n.s.                |
| <b><i>Combinations with anthracyclins</i></b>   | 52%                    | n.s.                |

CLL Trialists' Collaborative Group, *J Natl Cancer Inst* 91, 861-8 (1999).

# Unfit or older patients

CLB

F

Cumulative Illness Rating  
Scale

GO

Suitable for  
standard  
treatment

SLOW

Suitable for  
reduced  
treatment

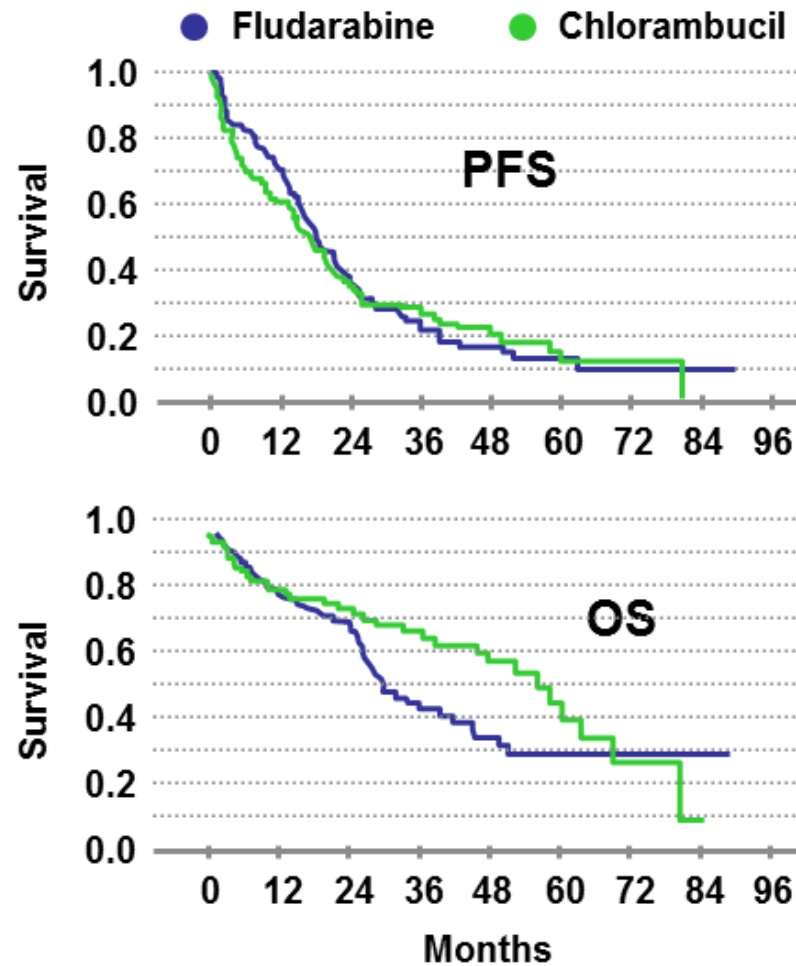
NO

Suitable for  
supportive  
care

# CLL5 trial: Fludarabine offers no benefit compared to chlorambucil

Median age:  
70 yrs

**GCLLSG  
CLL5 Trial**



# GCLLSG trial

(time of recruitment)

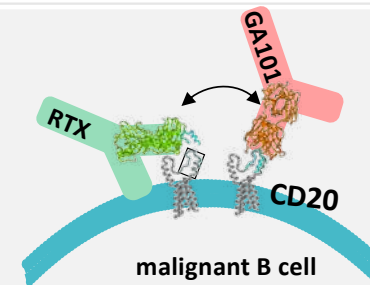
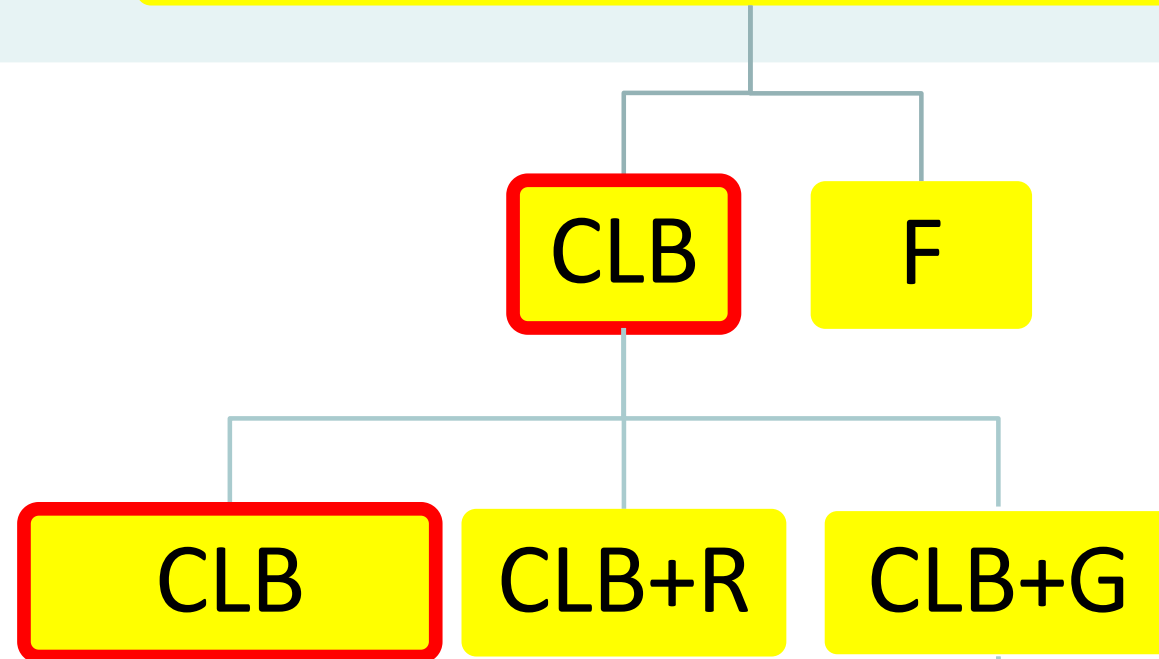
## CLL5

(1999-2004)

## CLL11

(2010-2012)

## Unfit or older patients

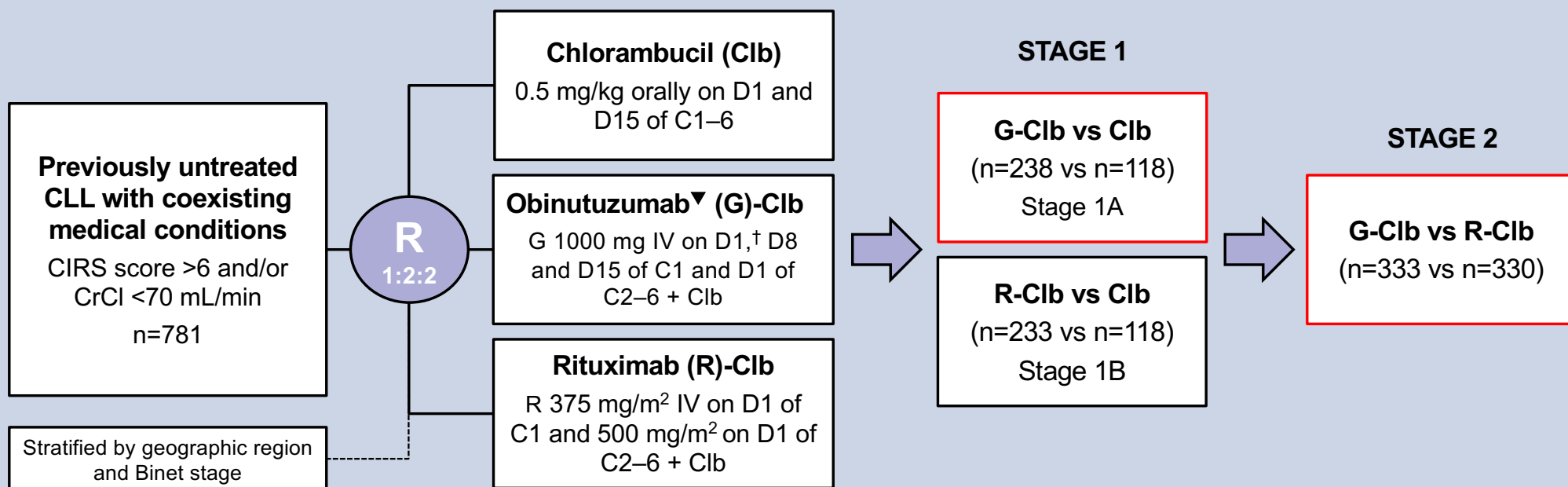




▼ This medicinal product is subject to additional monitoring. This will allow quick identification of new safety information. Healthcare professionals are asked to report any suspected adverse reactions. These should be reported to the Regulatory authorities in your country according to your national requirements.

# CLL11: Study design

*Open-label, randomised Phase III study in previously untreated CLL patients with coexisting medical conditions\**



## Primary endpoint

- PFS (INV-assessed)

## Secondary endpoints

- OS
- MRD
- TTNT
- Safety

\*NCT01010061; <sup>†</sup>dose split over two days; CIRS, Cumulative Illness Rating Scale; CrCl, creatinine clearance; C, cycle; D, day; INV, investigator; MRD, minimal residual disease; OS, overall survival; PFS, progression-free survival; TTNT, time to new treatment

Goede V, et al. N Engl J Med 2014

# CLL11: Final analysis

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- Data cut-off: 10<sup>th</sup> October 2017
  - Median observation time, G-Clb vs Clb: 62.5 months
  - Median observation time, G-Clb vs R-Clb: 59.4 months

# Baseline characteristics

|                             | G-Clb vs Clb       |                   | G-Clb vs R-Clb     |                   |
|-----------------------------|--------------------|-------------------|--------------------|-------------------|
|                             | G-Clb<br>n=238     | Clb<br>n=118      | G-Clb<br>n=333     | R-Clb<br>n=330    |
| Median age, years (range)   | 74 (39–88)         | 72 (43–87)        | 74 (39–89)         | 73 (40–90)        |
| Median CIRS score (range)   | 8 (1–20)           | 8 (0–18)          | 8 (0–22)           | 8 (0–18)          |
| Median CrCl, mL/min (range) | 61.4 (22.4–1404.6) | 63.8 (30.4–208.8) | 62.5 (22.4–1404.6) | 62.6 (17.4–221.6) |
| Median ECOG ps (range)      | 1 (0–3)            | 1 (0–3)           | 1 (0–3)            | 1 (0–3)           |
| Binet stage, n (%)          |                    |                   |                    |                   |
| A                           | 55 (23)            | 24 (20)           | 74 (22)            | 74 (22)           |
| B                           | 98 (41)            | 50 (42)           | 142 (43)           | 135 (41)          |
| C                           | 85 (36)            | 44 (37)           | 117 (35)           | 121 (37)          |
| Unmutated IGHV, n/N (%)     | 129/210 (61)       | 58/99 (59)        | 188/305 (62)       | 182/298 (61)      |
| Del(17p) on FISH, n/N (%)   | 16/203 (8)         | 10/96 (10)        | 22/295 (7)         | 20/287 (7)        |

ECOG ps, Eastern Cooperative Oncology Group performance status; FISH, fluorescence in situ hybridisation; IGHV, immunoglobulin heavy chain variable region

# AEs: Overview

| N (%)                         | G-Clb vs Clb   |              | G-Clb vs R-Clb |                |
|-------------------------------|----------------|--------------|----------------|----------------|
|                               | G-Clb<br>n=241 | Clb<br>n=116 | G-Clb<br>n=336 | R-Clb<br>n=321 |
| ≥1 AEs (any grade)            | 228 (95)       | 96 (83)      | 316 (94)       | 290 (90)       |
| Grade 3–5 AEs                 | 179 (74)       | 59 (51)      | 241 (72)       | 191 (60)       |
| Serious AEs                   | 113 (47)       | 45 (39)      | 150 (45)       | 124 (39)       |
| Grade 5 (fatal) AEs           | 19 (8)         | 13 (11)      | 23 (7)         | 31 (10)        |
| 2 <sup>nd</sup> malignancies* | 11 (5)         | 1 (<1)       | 12 (4)         | 13 (4)         |
| Infections†                   | 1 (<1)         | 7 (6)        | 2 (<1)         | 2 (<1)         |

***No new safety signals detected***

\*Neoplasms benign, malignant and unspecified (MedDRA SOC), occurring 6 months after first study drug intake; †all AEs classified as infections and infestations (MedDRA SOC)

## AEs: Late onset

| N (%)                                     | G-Clb vs Clb   |              | G-Clb vs R-Clb |                |
|-------------------------------------------|----------------|--------------|----------------|----------------|
|                                           | G-Clb<br>n=241 | Clb<br>n=116 | G-Clb<br>n=336 | R-Clb<br>n=321 |
| Prolonged neutropenia, <sup>*†</sup> n/N  | 5/184 (3)      | 8/86 (9)     | 5/256 (2)      | 10/268 (4)     |
| Late onset neutropenia, <sup>‡§</sup> n/N | 37/213 (17)    | 10/90 (11)   | 45/297 (15)    | 36/304 (12)    |
| Second malignancies <sup>¶</sup>          | 33 (14)        | 8 (7)        | 37 (11)        | 33 (10)        |
| Squamous cell carcinoma                   | 6 (2)          | 0 (0)        | 6 (2)          | 5 (2)          |
| Basal cell carcinoma                      | 5 (2)          | 1 (<1)       | 6 (2)          | 4 (1)          |

***No new late-onset toxicity detected***

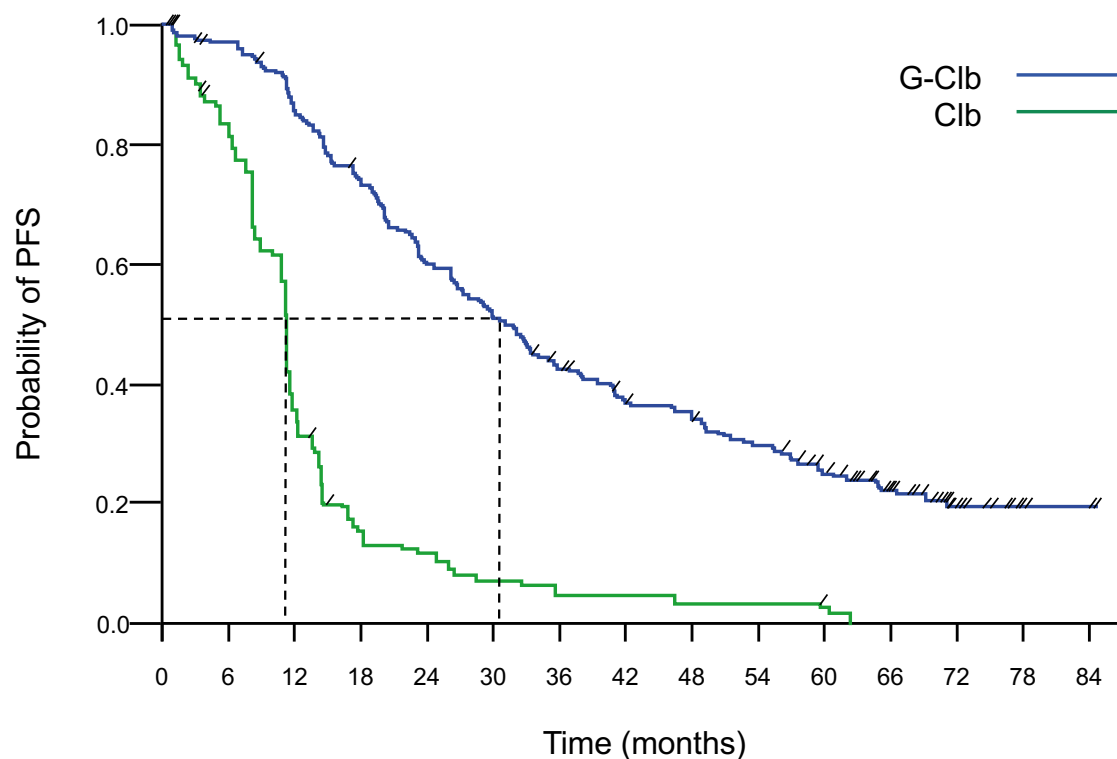
\*Neutropenia not resolved within 28 days of treatment completion; †includes patients who completed treatment with a neutrophil assessment available 24–41 days after EOT; ‡neutropenia (<1000 cells/mm<sup>3</sup>) occurring ≥28 days after treatment completion or discontinuation; §includes patients who completed treatment with a neutrophil assessment available 24–200 days after EOT; ¶second malignancies starting 6 months after initiation of study treatment

# MRD negative response at EOT\*

|                                                                              | G-Clb vs Clb   |              | G-Clb vs R-Clb <sup>†</sup> |                |
|------------------------------------------------------------------------------|----------------|--------------|-----------------------------|----------------|
|                                                                              | G-Clb<br>n=238 | Clb<br>n=118 | G-Clb<br>n=333              | R-Clb<br>n=330 |
| Patients included in analysis<br>(peripheral blood and bone marrow combined) | 166            | 90           | 237                         | 246            |
| MRD-negative response, <sup>‡</sup> n (%)                                    | 42 (25%)       | 0 (0%)       | 57 (24%)                    | 6 (2%)         |
| Difference in MRD negativity rates                                           | 25%            |              | 22%                         |                |

\*Includes all patients with an evaluable MRD result in peripheral blood and/or bone marrow at EOT; <sup>†</sup>minor changes to MRD results due to a re-run in sequencing of samples for some patients, triggered by receipt of additional follow-up samples after cut-off for primary analysis; <sup>‡</sup>defined as <1 CLL cell per 10,000 leukocytes

# PFS: G-Clb vs Clb



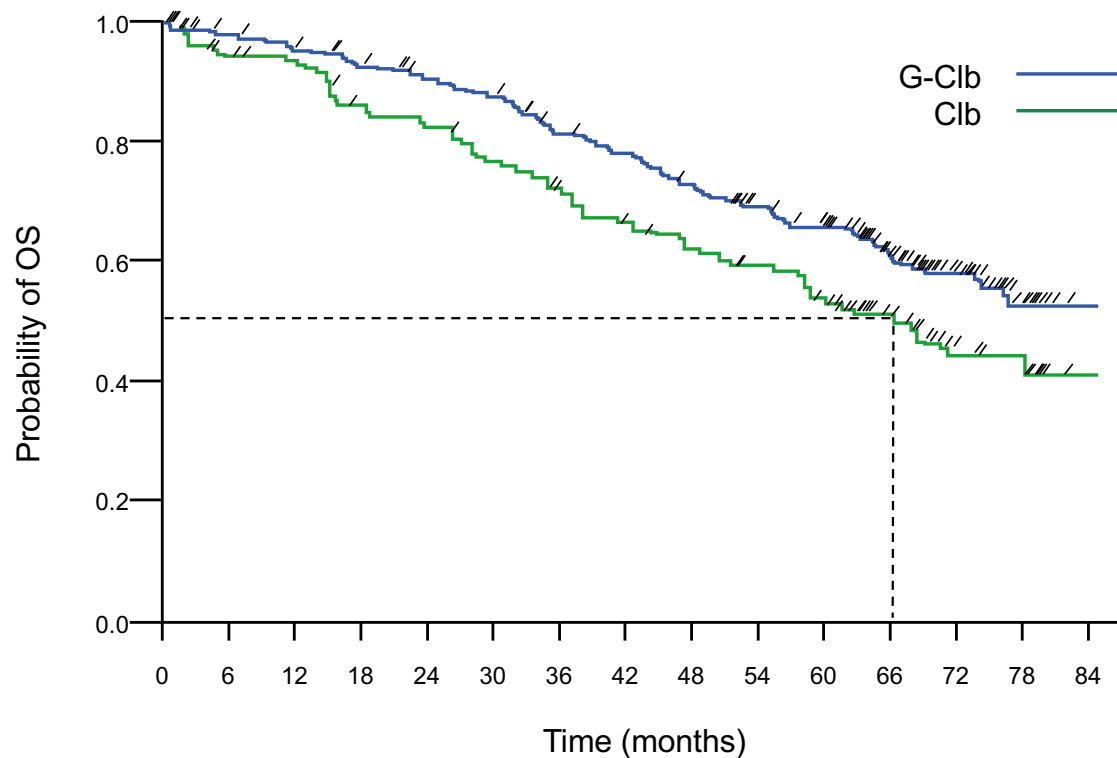
No. of pts at risk

|       |     |     |     |     |     |     |    |    |    |    |    |    |    |   |   |
|-------|-----|-----|-----|-----|-----|-----|----|----|----|----|----|----|----|---|---|
| G-Clb | 238 | 218 | 190 | 162 | 133 | 114 | 92 | 78 | 73 | 60 | 48 | 33 | 14 | 4 | 2 |
| Clb   | 118 | 90  | 37  | 14  | 11  | 7   | 5  | 5  | 3  | 3  | 1  | 0  | 0  | 0 | 0 |

|                                | G-Clb<br>n=238                | Clb<br>n=118  |
|--------------------------------|-------------------------------|---------------|
| Patients with events,<br>n (%) | 173<br>(72.7)                 | 107<br>(90.7) |
| 5-year PFS, %<br>(95% CI)      | 25<br>(19–31)                 | 2<br>(0–4)    |
| Median PFS, months             | 31.1                          | 11.1          |
| HR (95% CI), p-value           | 0.21 (0.16–0.28),<br>p<0.0001 |               |

**Median observation time: 62.5 months**

# OS: G-Clb vs Clb



No. of pts at risk

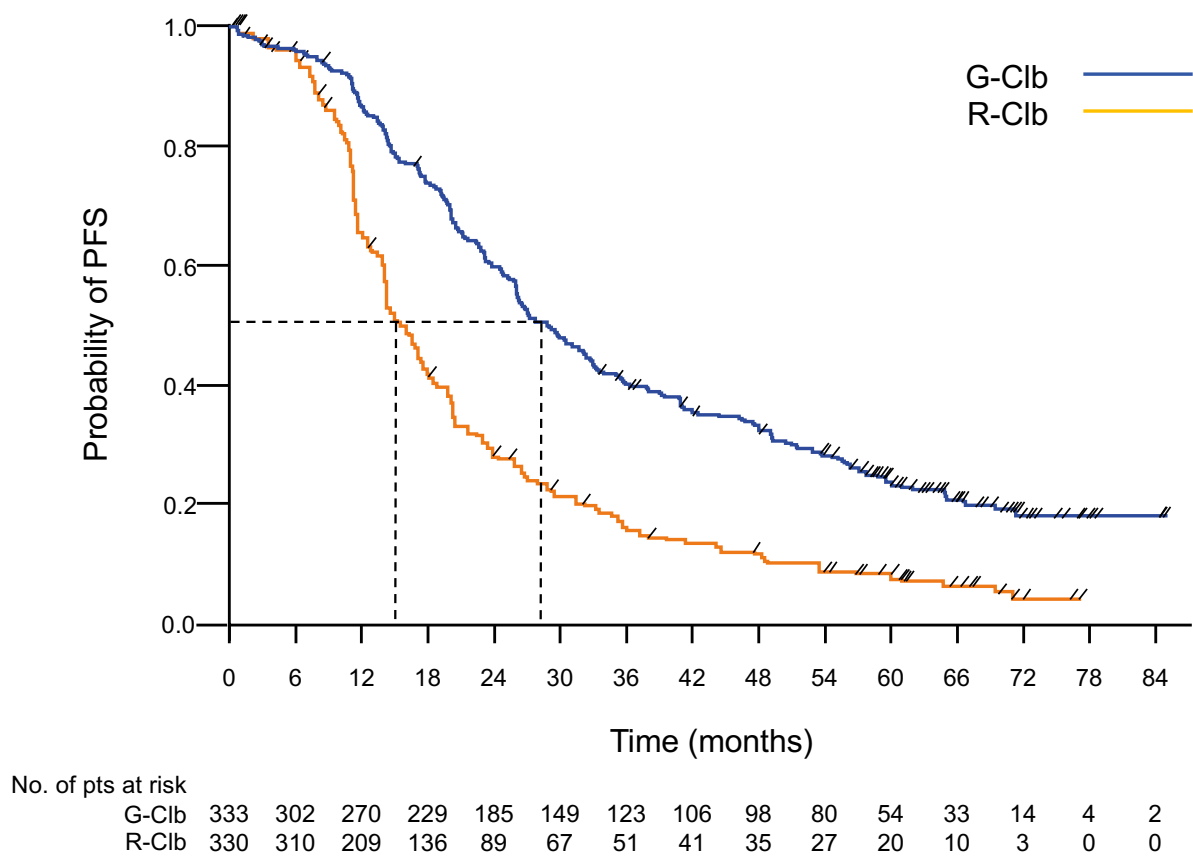
|       |     |     |     |     |     |     |     |     |     |     |     |     |    |    |   |
|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|---|
| G-Clb | 238 | 223 | 216 | 208 | 200 | 194 | 177 | 169 | 158 | 147 | 134 | 107 | 69 | 28 | 2 |
| Clb   | 118 | 105 | 102 | 91  | 88  | 81  | 74  | 68  | 61  | 57  | 51  | 37  | 20 | 14 | 1 |

|                                | G-Clb<br>n=238                | Clb<br>n=118  |
|--------------------------------|-------------------------------|---------------|
| Patients with events,<br>n (%) | 93<br>(39.1)                  | 57<br>(48.3)  |
| 5-year OS, %<br>(95% CI)       | 66<br>(60–72)                 | 53<br>(43–62) |
| Median OS, months              | NR                            | 66.7          |
| HR (95% CI), p-value           | 0.68 (0.49–0.94),<br>p=0.0196 |               |

**Median observation time: 62.5 months**



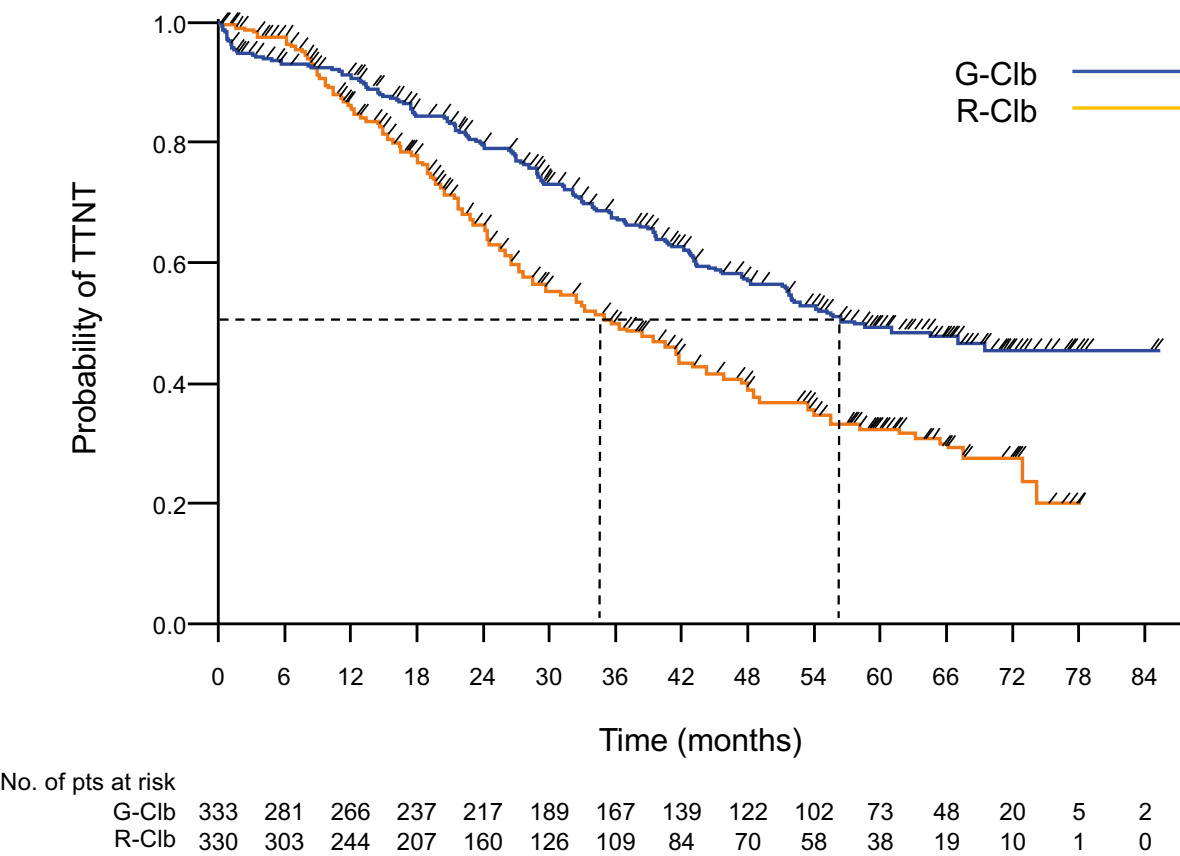
# PFS: G-Clb vs R-Clb



|                                | G-Clb<br>n=333                | R-Clb<br>n=330 |
|--------------------------------|-------------------------------|----------------|
| Patients with events,<br>n (%) | 244<br>(73.3)                 | 292<br>(88.5)  |
| 5-year PFS, %<br>(95% CI)      | 23<br>(19–28)                 | 9<br>(6–12)    |
| Median PFS, months             | 28.9                          | 15.7           |
| HR (95% CI), p-value           | 0.49 (0.41–0.58),<br>p<0.0001 |                |

Median observation time: 59.4 months

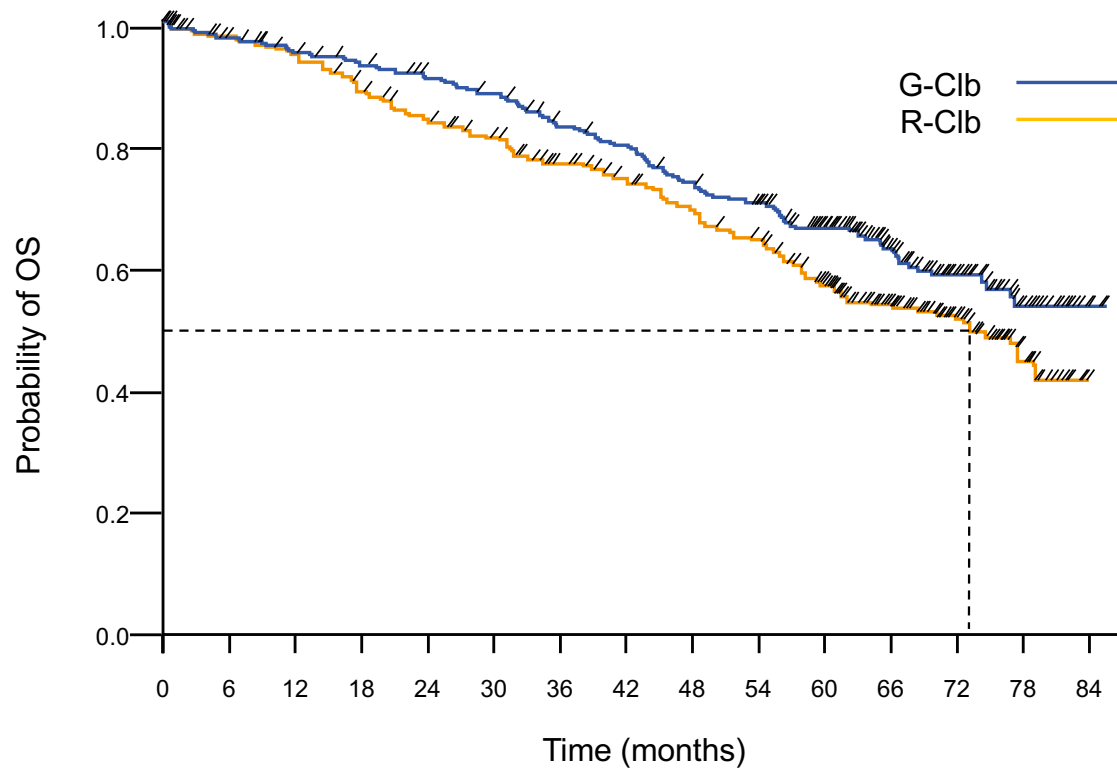
# TTNT: G-Clb vs R-Clb



|                                | G-Clb<br>n=333                | R-Clb<br>n=330 |
|--------------------------------|-------------------------------|----------------|
| Patients with events,<br>n (%) | 136<br>(40.8)                 | 174<br>(52.7)  |
| 5-year TTNT, %<br>(95% CI)     | 49<br>(42–55)                 | 32<br>(25–38)  |
| Median TTNT, months            | 56.4                          | 34.9           |
| HR (95% CI), p-value           | 0.58 (0.46–0.73),<br>p<0.0001 |                |

**Median observation time: 59.4 months**

# OS: G-Clb vs R-Clb



No. of pts at risk

|       |     |     |     |     |     |     |     |     |     |     |     |     |    |    |   |
|-------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|---|
| G-Clb | 333 | 310 | 299 | 290 | 279 | 270 | 250 | 239 | 220 | 206 | 171 | 108 | 69 | 28 | 2 |
| R-Clb | 330 | 314 | 303 | 283 | 263 | 248 | 227 | 212 | 197 | 178 | 147 | 96  | 64 | 22 | 0 |

|                                | G-Clb<br>n=333                | R-Clb<br>n=330 |
|--------------------------------|-------------------------------|----------------|
| Patients with events,<br>n (%) | 121<br>(36.3)                 | 147<br>(44.5)  |
| 5-year OS, %<br>(95% CI)       | 66<br>(61–72)                 | 57<br>(51–62)  |
| Median OS, months              | NR                            | 73.1           |
| HR (95% CI), p-value           | 0.76 (0.60–0.97),<br>p=0.0245 |                |

**Median observation time: 59.4 months**

## Common AEs (any grade)\*

| N (%)                      | G-Clb vs Clb   |              | G-Clb vs R-Clb |                |
|----------------------------|----------------|--------------|----------------|----------------|
|                            | G-Clb<br>n=241 | Clb<br>n=116 | G-Clb<br>n=336 | R-Clb<br>n=321 |
| Infusion-related reactions | 167 (69)       | 0 (0)        | 222 (66)       | 121 (38)       |
| Neutropenia                | 99 (41)        | 21 (18)      | 129 (38)       | 104 (32)       |
| Nausea                     | 32 (13)        | 29 (25)      | 40 (12)        | 42 (13)        |
| Thrombocytopenia           | 37 (15)        | 9 (8)        | 48 (14)        | 21 (7)         |
| Anaemia                    | 30 (12)        | 12 (10)      | 36 (11)        | 36 (11)        |
| Vomiting                   | 13 (5)         | 14 (12)      | 19 (6)         | 22 (7)         |
| Diarrhoea                  | 25 (10)        | 13 (11)      | 34 (10)        | 24 (8)         |
| Pyrexia                    | 26 (11)        | 8 (7)        | 30 (9)         | 24 (7)         |
| Fatigue                    | 17 (7)         | 12 (10)      | 27 (8)         | 30 (9)         |
| Constipation               | 17 (7)         | 12 (10)      | 28 (8)         | 16 (5)         |

\*Incidence  $\geq 10\%$  in any arm

# Common Grade 3–5 AEs\*

| N (%)                       | G-Clb vs Clb   |                | G-Clb vs R-Clb |                |
|-----------------------------|----------------|----------------|----------------|----------------|
|                             | G-Clb<br>n=241 | Clb<br>n=116   | G-Clb<br>n=336 | R-Clb<br>n=321 |
| Infusion-related reactions  | 52 (22)        | 0 (0)          | 68 (20)        | 13 (4)         |
| Neutropenia                 | 84 (35)        | 18 (16)        | 111 (33)       | 92 (29)        |
| Thrombocytopenia            | 27 (11)        | 5 (4)          | 35 (10)        | 11 (3)         |
| Anaemia                     | 11 (5)         | 5 (4)          | 13 (4)         | 14 (4)         |
| Febrile neutropenia         | 4 (2)          | 5 (4)          | 8 (2)          | 4 (1)          |
| Leukopenia                  | 13 (5)         | 0 (0)          | 16 (5)         | 4 (1)          |
| <b>Infections</b>           | <b>28 (12)</b> | <b>16 (14)</b> | <b>41 (12)</b> | <b>46 (14)</b> |
| Pneumonia                   | 8 (3)          | 4 (3)          | 13 (4)         | 19 (6)         |
| Sepsis                      | 2 (<1)         | 4 (3)          | 2 (<1)         | 2 (<1)         |
| Respiratory tract infection | 1 (<1)         | 3 (3)          | 2 (<1)         | 0 (0)          |

\*Incidence ≥3% in any arm

# Deaths

| N (%)                       | G-Clb vs Clb   |              | G-Clb vs R-Clb |                |
|-----------------------------|----------------|--------------|----------------|----------------|
|                             | G-Clb<br>n=241 | Clb<br>n=116 | G-Clb<br>n=336 | R-Clb<br>n=321 |
| All deaths                  | 95 (39)        | 57 (49)      | 123 (37)       | 144 (45)       |
| Treatment period/follow-up* | 35 (15)        | 19 (16)      | 43 (13)        | 45 (14)        |
| <i>Main causes of death</i> |                |              |                |                |
| AEs                         | 23 (10)        | 17 (15)      | 29 (9)         | 36 (11)        |
| Disease progression         | 12 (5)         | 2 (2)        | 14 (4)         | 9 (3)          |
| Survival follow-up period†  | 60 (25)        | 38 (33)      | 80 (24)        | 99 (31)        |
| <i>Main cause of death</i>  |                |              |                |                |
| Disease progression         | 24 (10)        | 22 (19)      | 34 (10)        | 48 (15)        |

\*Time from D1C1 until last day of treatment, and from last day of treatment until disease progression or next therapy; †time from disease progression or next therapy until last visit or death

# GCLLSG trial

(time of recruitment)

Unfit or older patients

CLL5

(1999-2004)

CLB

F

CLL11

(2010-2012)

CLB

CLB+R

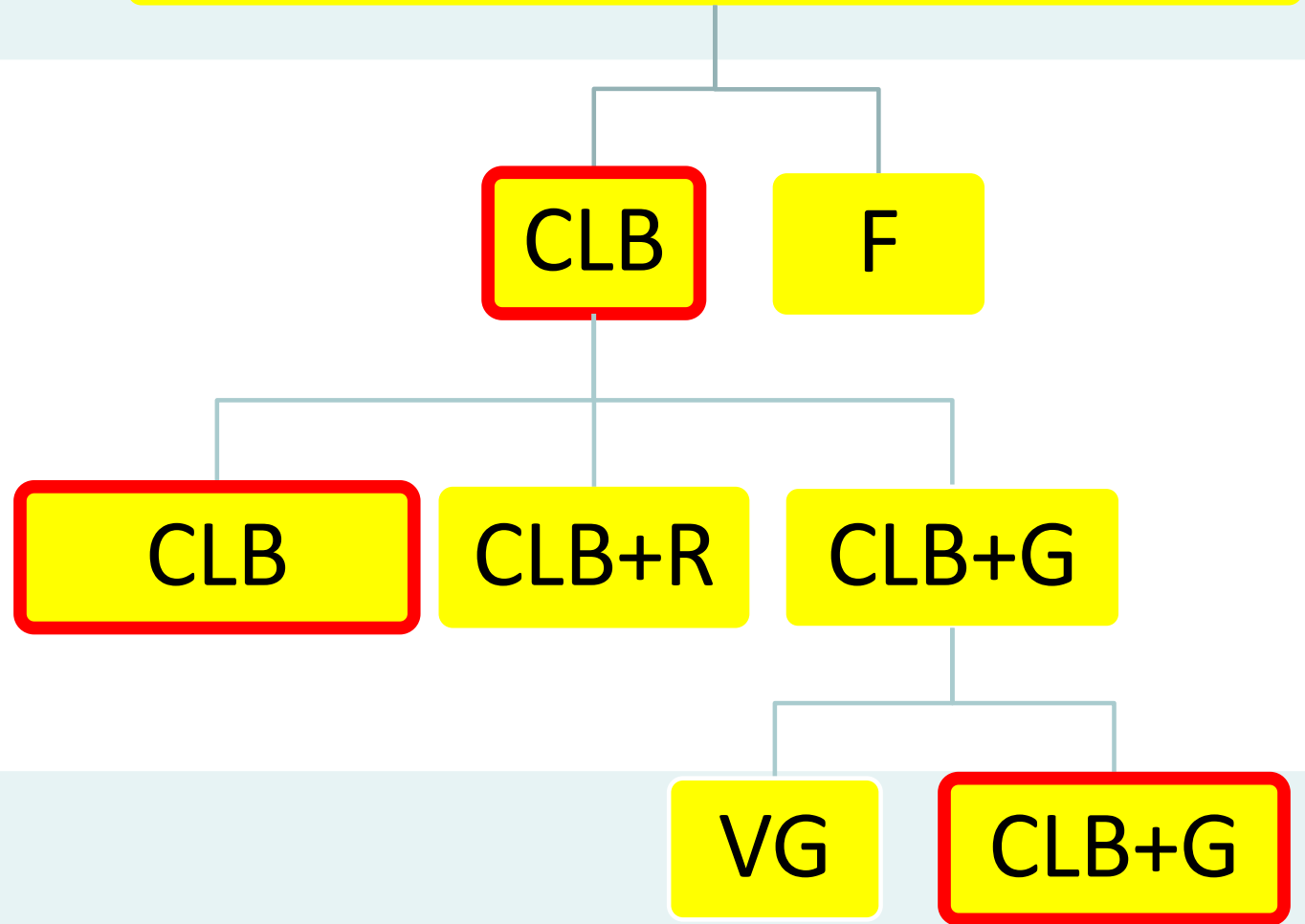
CLB+G

CLL14

(2016-2018)

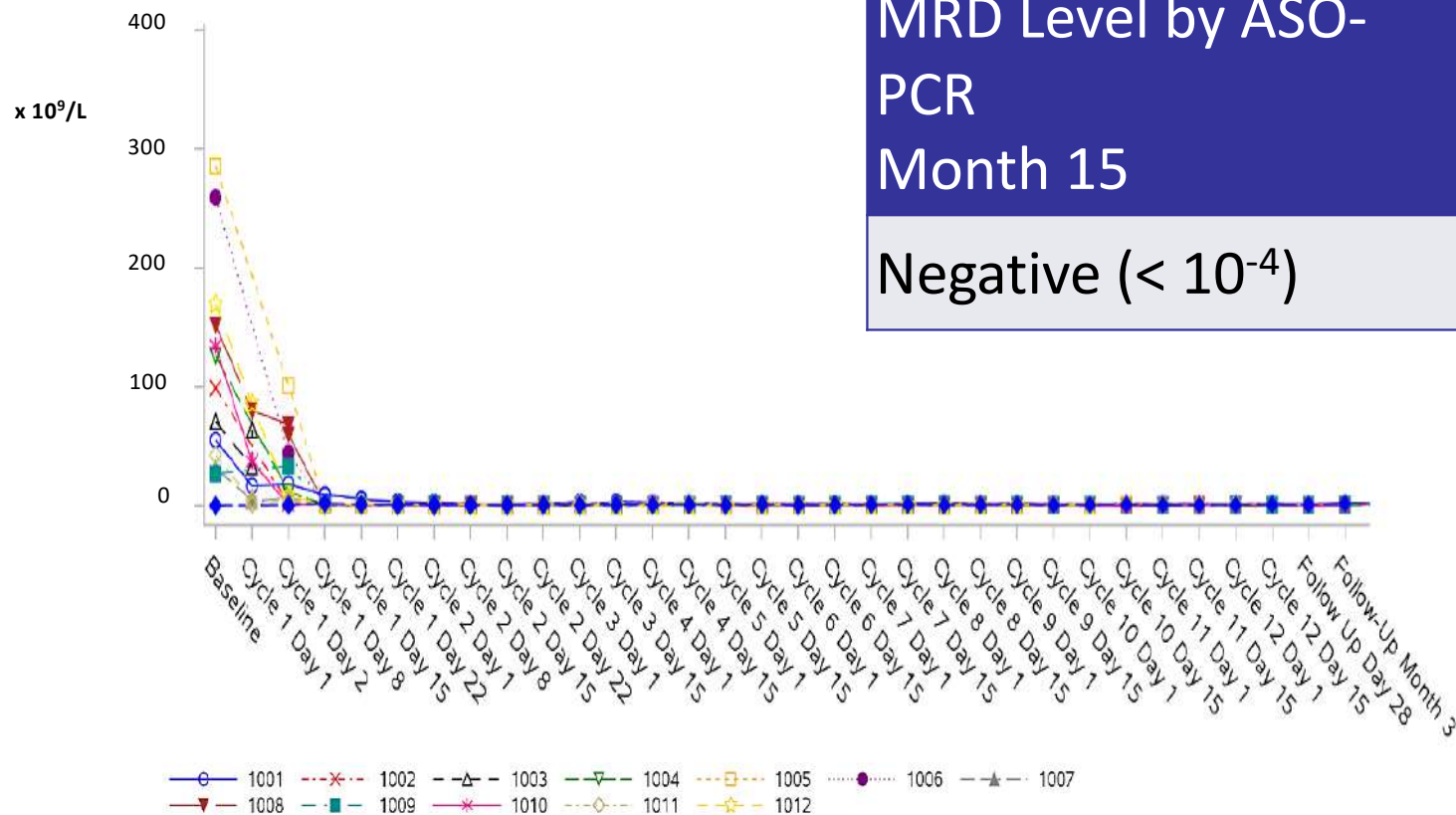
VG

CLB+G



# CLL14 protocol: Response to therapy with Venetoclax and Obinutuzumab – Peripheral blood leukocytes

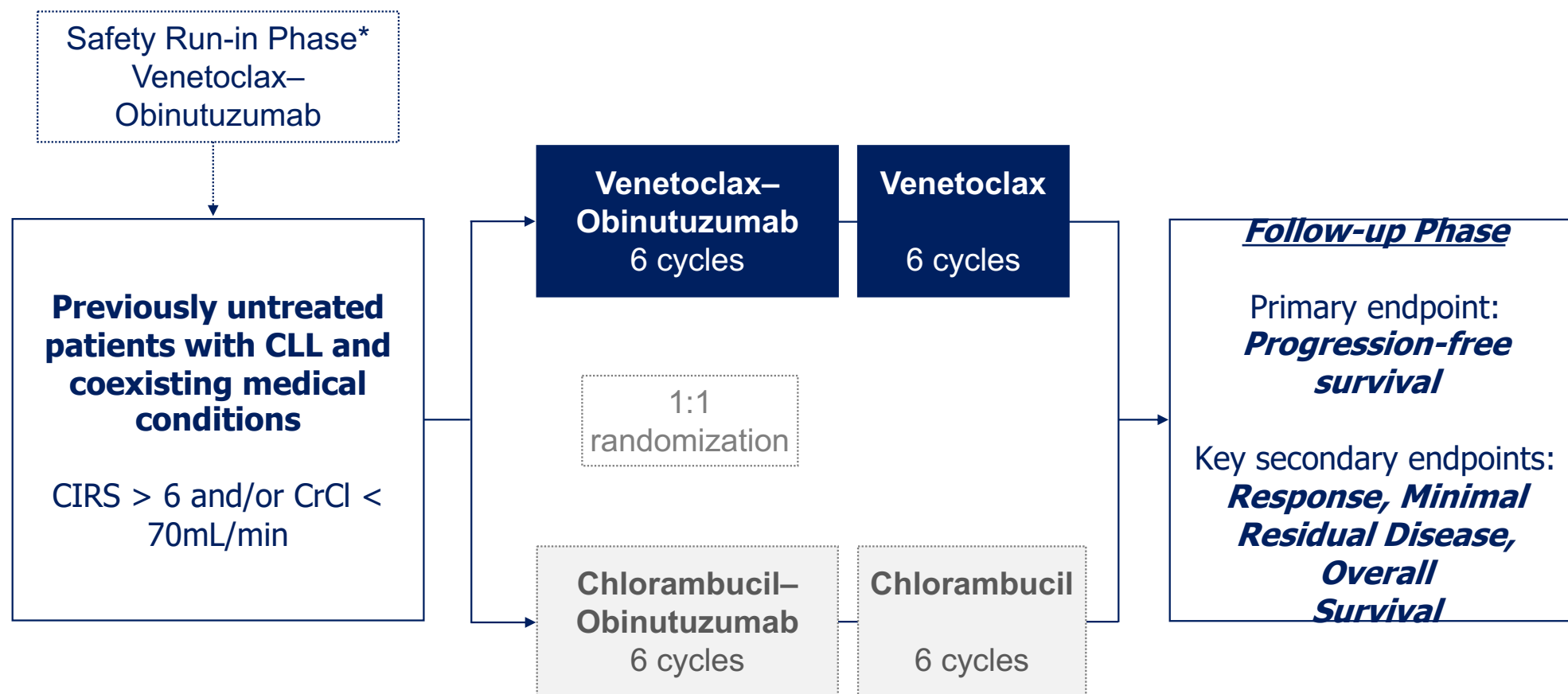
N=12



Fischer et al.  
Blood 2017



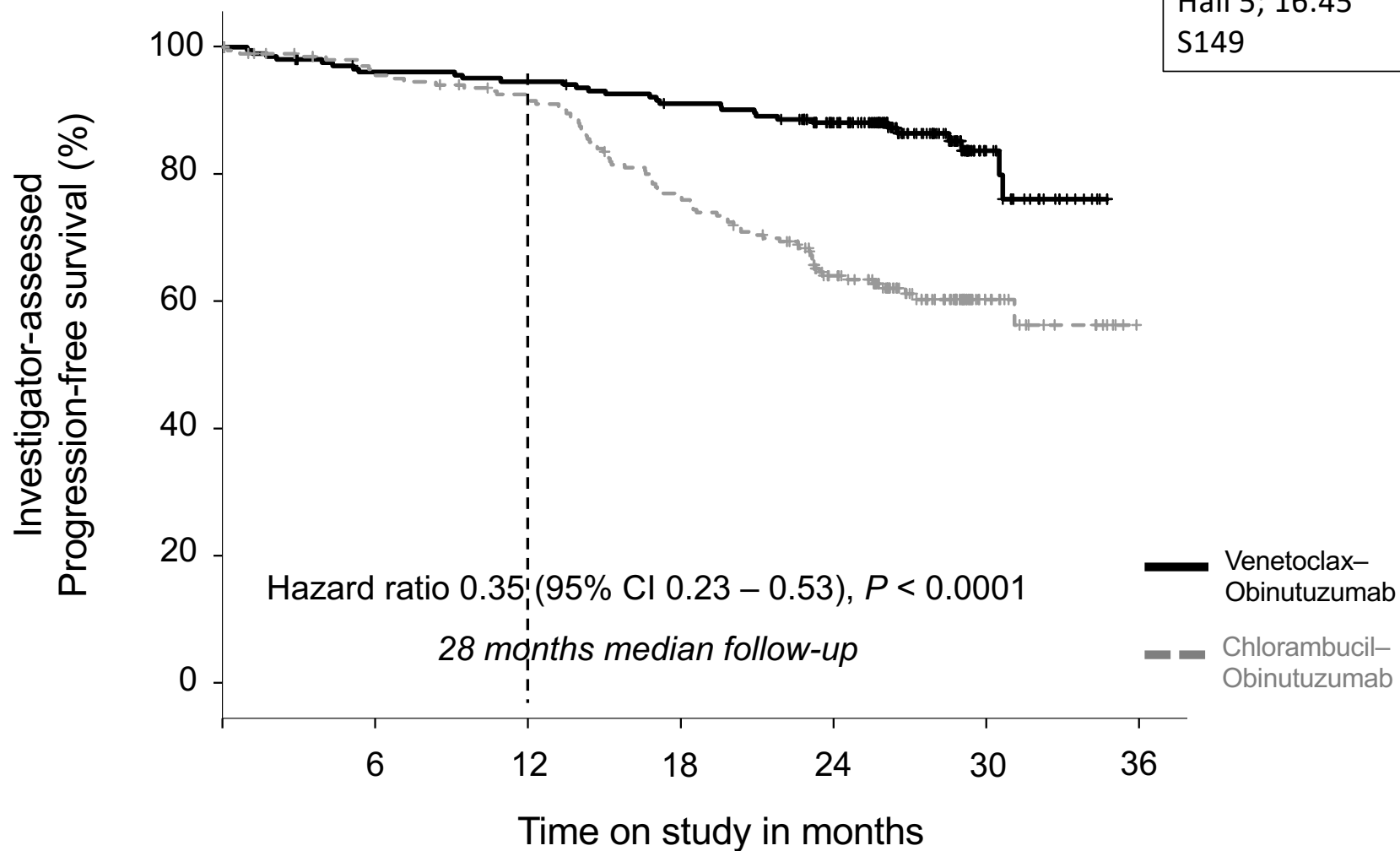
# Trial Design



\* Fischer K et al. Venetoclax and Obinutuzumab in chronic lymphocytic leukemia, Blood 11 May 2017

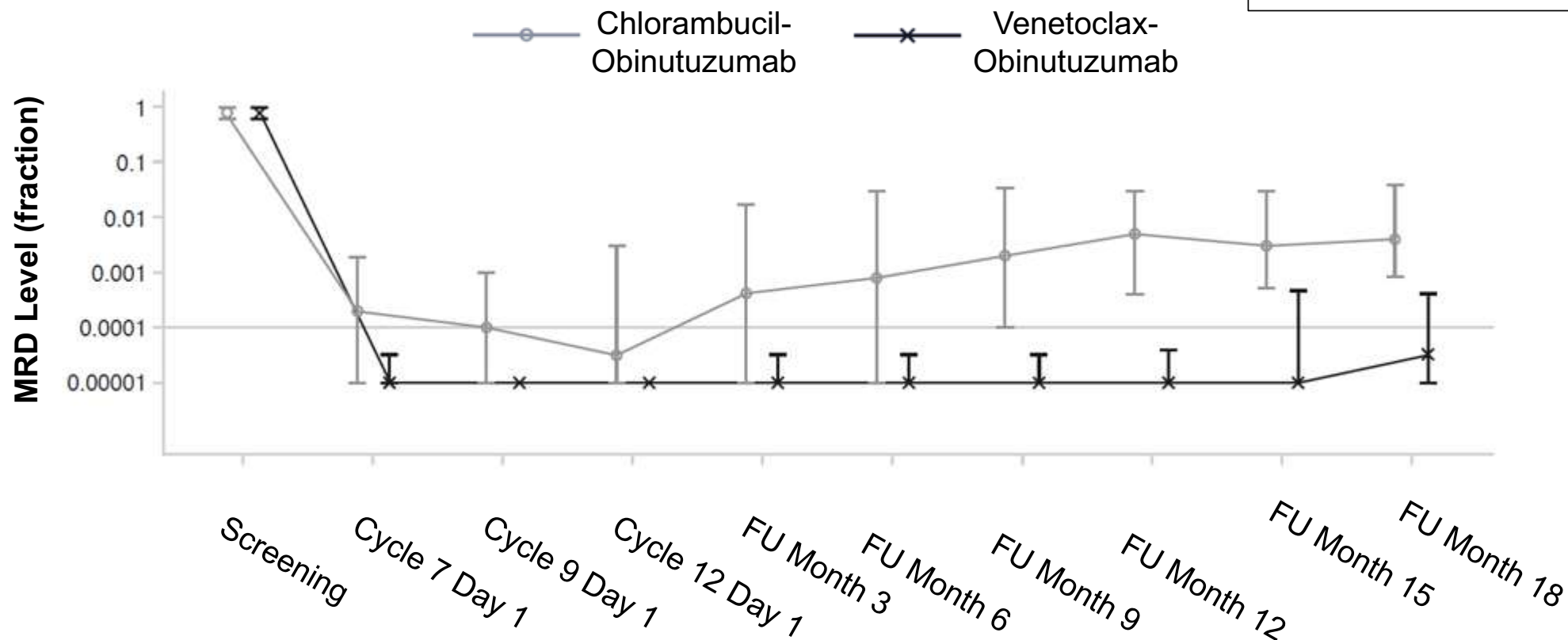
## Progression-free survival

Fischer et al.  
Presidential Symposium  
Hall 5; 16:45  
S149



## MRD levels Over time

Fischer et al.  
Presidential Symposium  
Hall 5; 16:45  
S149



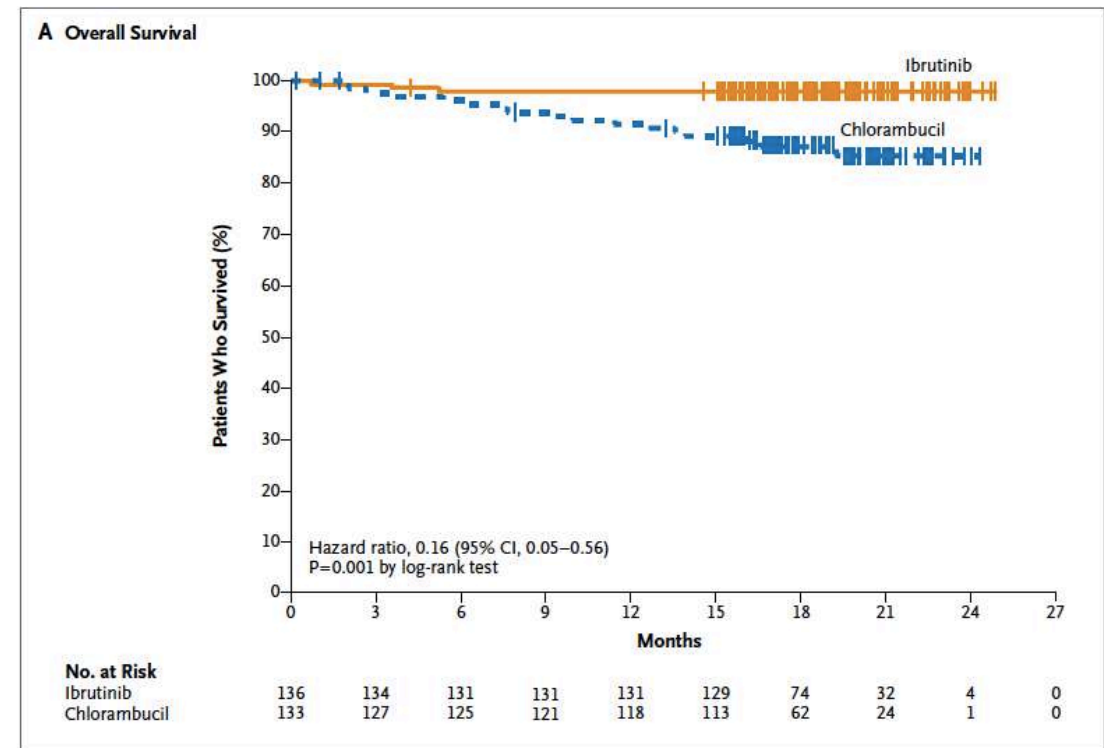
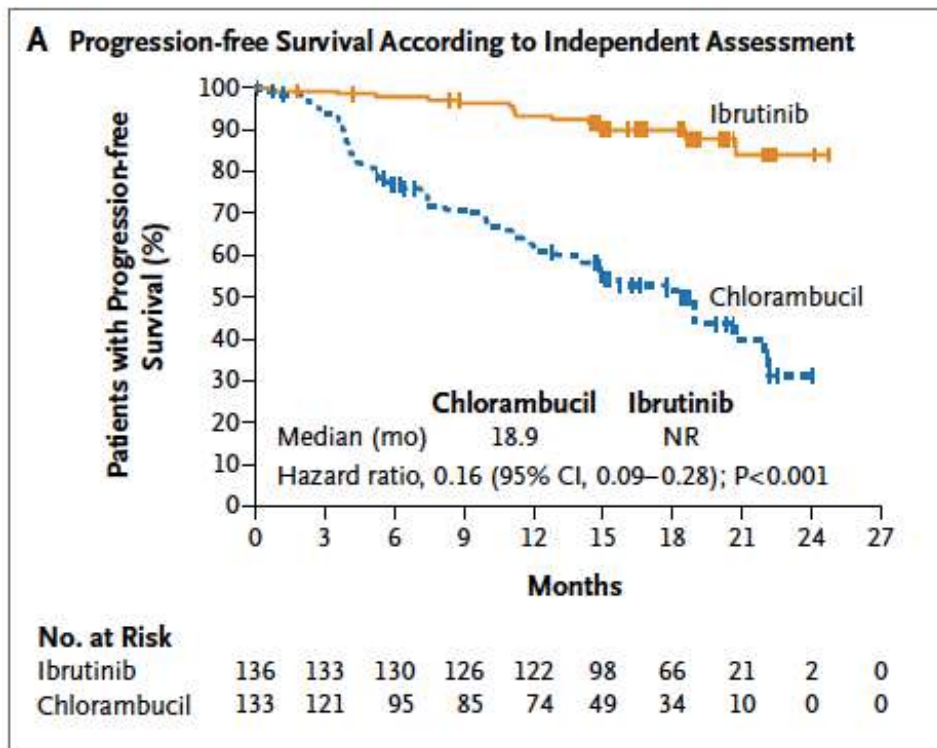
By ASO-PCR in peripheral blood

## MRD negativity by NGS

|                                | Venetoclax-<br>Obinutuzumab | Chlorambucil-<br>Obinutuzumab |
|--------------------------------|-----------------------------|-------------------------------|
| Number of patients, N          | 216                         | 216                           |
| Minimal residual disease level |                             |                               |
| < $10^{-6}$                    | 42 %                        | 7 %                           |
| $\geq 10^{-6}$ and $<10^{-5}$  | 26 %                        | 13 %                          |
| $\geq 10^{-5}$ and $<10^{-4}$  | 11 %                        | 14 %                          |
| $\geq 10^{-4}$ and $<10^{-2}$  | 6 %                         | 23 %                          |
| $\geq 10^{-2}$                 | 5 %                         | 29 %                          |
| No sample / not evaluable      | 12 %                        | 14 %                          |

By NGS in peripheral blood 3 months after completion of treatment

# Resonate-2 Trial: Ibrutinib vs. Chlorambucil



Burger et al., NEJM 273 (25): 2425-37, 2016

# iLLUMINATE (PCYC-1130) Study Design

## Patients (N=229)

- Previously untreated CLL/SLL
- Requiring treatment per iwCLL criteria
- Age ≥65 years or <65 years old with ≥1 coexisting condition:
  - CIRS >6
  - CrCl <70 mL/min
  - del(17p) or TP53 mutation

Stratification: del(17p) vs. del(11q) vs. neither del(17p) or del(11q); ECOG 2 vs 0-1

## Primary end point

- PFS by IRC assessment

CIRS, Cumulative Illness Rating Scale; IRC, independent review committee; iwCLL, International Working Group on CLL; MRD, minimal residual disease; ORR, overall response rate; OS, overall survival; PD, progressive disease; PFS, progression-free survival.  
ªPatients in the chlorambucil-obinutuzumab arm could receive next-line single-agent ibrutinib in crossover following IRC-confirmed PD.

R  
A  
N  
D  
O  
M  
I  
Z  
E  
  
1:1

## Ibrutinib-obinutuzumab

Ibrutinib 420 mg once daily until PD or unacceptable toxicity + obinutuzumab 1000 mg split on days 1-2, and on day 8 and 15 (cycle 1) then day 1 (total 6 cycles)

## Chlorambucil-obinutuzumab

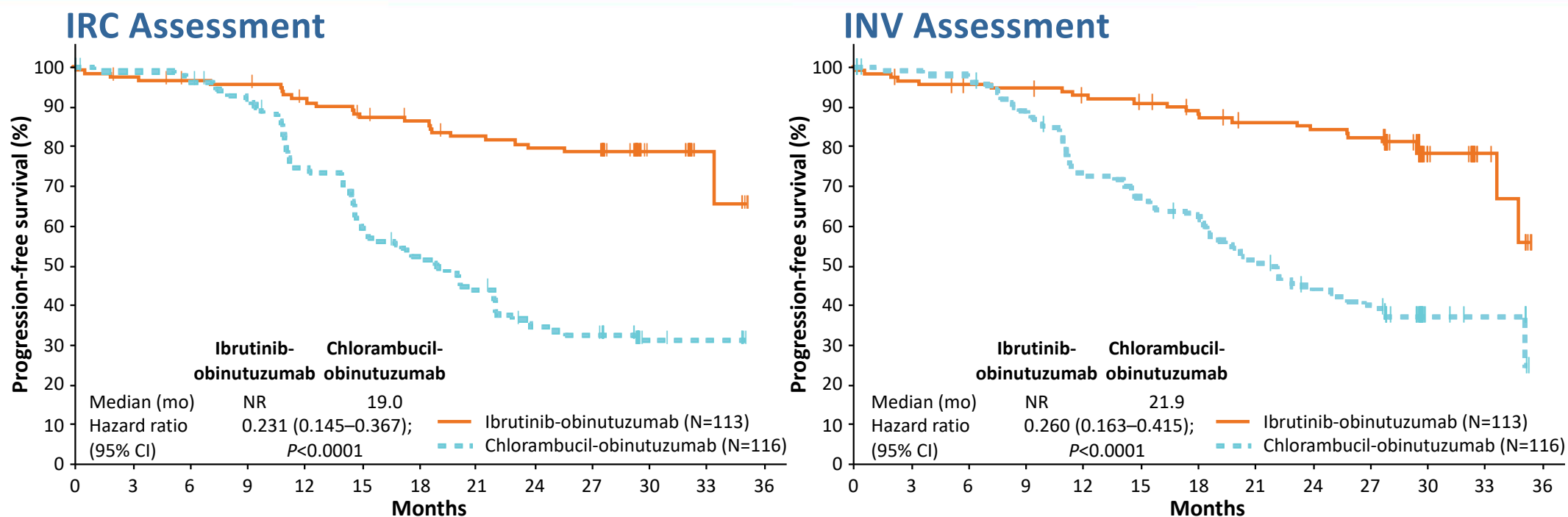
Chlorambucil 0.5 mg/kg on days 1 and 15 (6 cycles) + obinutuzumab 1000 mg split on days 1-2 and on day 8 and 15 (cycle 1) then day 1 (total 6 cycles)

After IRC-confirmed PD, patients were allowed to receive single-agent ibrutinibª

## Secondary end points include

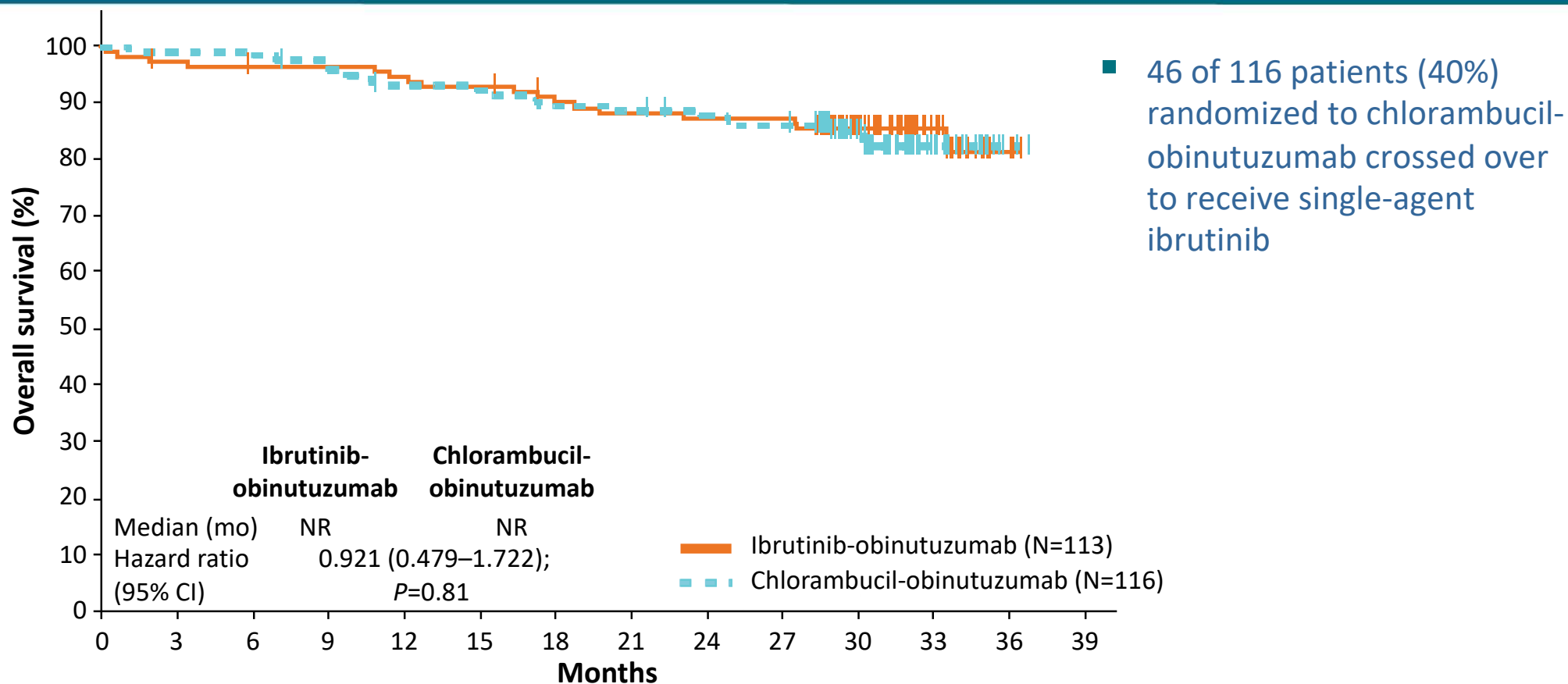
- PFS by IRC in high-risk population
- Rate of undetectable MRD
- ORR
- Infusion-related reactions
- Safety

# Superior Progression-Free Survival with Ibrutinib-Obinutuzumab



- Median follow-up, 31.3 months (range, 0.2–36.9)
- Estimated PFS at 30 months: 79% with ibrutinib-obinutuzumab vs. 31% with chlorambucil-obinutuzumab
- Even after excluding patients with del(17p): 74% reduction in risk of progression or death with ibrutinib-obinutuzumab

# Overall Survival with Median 31 Months of Follow-Up





## CLL first line treatment (updated June 2019)

| Stage                                   | del(17p) or p53mut | Fitness    | IGVH       | Therapy                                                                                                       |
|-----------------------------------------|--------------------|------------|------------|---------------------------------------------------------------------------------------------------------------|
| Binet A-B, Rai 0-II, inactive disease   | Irrelevant         | Irrelevant | Irrelevant | None                                                                                                          |
| Active disease or Binet C or Rai III-IV | Yes                | Irrelevant | Irrelevant | Ibrutinib or <b>Venetoclax + Obinutuzumab</b> or Idelalisib + Rituximab (if contraindications for ibrutinib)* |
|                                         | No                 | Go go      | M          | FCR (BR above 65 years) or ibrutinib*                                                                         |
|                                         |                    |            | U          | Ibrutinib or FCR (BR above 65 years)*                                                                         |
|                                         |                    | Slow go    | M          | <b>Venetoclax + Obinutuzumab</b> or Chlorambucil + Obinutuzumab or Ibrutinib*                                 |
|                                         |                    |            | U          | <b>Venetoclax + Obinutuzumab</b> or Ibrutinib or Chlorambucil + Obinutuzumab*                                 |

\* Consider and discuss with patient: long-term vs fixed (6-12 m) duration therapy, lack of convincing evidence of overall survival differences, specific side effects of each therapeutic option (myelosuppression, infections, secondary malignancies for CIT; cardiac toxicity, bleeding and autoimmune disease for Ibru; TLS and infections for Ven-Obi; autoimmune disease (diarrhea) and opportunistic infections for Idelalisib).

